Impact of low-thermal-injury devices on margin status in laryngeal cancer. An experimental ex vivo study

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S U M M A R Y
Introduction: Status of margins significantly affects disease-free survival. This study examines in ex vivo model the effect of thermal-injury on margins status comparing traditional instrument with several low-thermal-injury devices.

Methods: We conducted a prospective study on 10 excised larynges from patients affected by advanced laryngeal cancer, to assess the thermal-effect due to surgical incisions made at standard distance by using: scalpel, CO2 Laser, harmonic scalpel and electrocautery. Upon histopathological examination, thermal damage (Surgical Artifact, SA), tissue lost/retraction (Shrinkage, S), and tissue alterations were compared for each instrument.

Results: Low-thermal-injury devices increased SA mean value from 800.7 to 11447.85 μm (72%), and S mean value from 2.226 to 2.910 mm (68.4%) (p < 0.05).

Conclusions: The choice of surgical device could influence the histopathological margins status, consequently affecting post operative therapeutic strategies and risk of recurrence.

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Introduction
Over the last decades, low-thermal-injury devices use has widely increased in surgical procedures, this is due to their intrinsic thermal properties which are able to guarantee a simultaneous cut and coagulation at reduced energy, by maintaining the operative field dry and clean with reduced risk of injuries to adjacent structures [1]. These changes in surgical techniques have been accompanied by a progressive extension of conservative surgical indications to more advanced tumor stages, also in head and neck cancer (HNC) patients. Therefore, an accurate assessment of surgical margins status represents a crucial point to achieve both oncologic radicality and organ function preservation.

This is particularly true especially in laryngeal surgery, where the removal of only 1 mm more of unaffected mucosa, could impair the entire functional results and the chance to avoid demolitive surgery. Nonetheless, neither the frozen section, which is accepted to help surgeons during excision [2], nor definitive histopathological analysis on margins, are able to guarantee the goal of any surgical procedure, i.e. total excision of any malignant cells with organ function preservation.

In head and neck oncology, the positivity of surgical margins ranges between 3% and 60% but it is generally around 10%, and it depends upon primary tumor sites, being about 4% in laryngeal cancer surgery [3].

Despite the key role of surgical margins status to define the procedure “disease-free”, there is no agreement in definition of positive margins [4]; nonetheless, a classification of “close” or “positive” margins exists and is based on the distance between the closest cancer cells to the line of resection.

When a patient presents a positive-resection margin, approximately in 75% of cases, they will either develop a local recurrence or demonstrate residual tumour upon reoperation [3–5].

Conversely, the presence of a disease-free resection margin does not guarantee that residual tumor is not present within the remaining-host tissue and consequently that recurrence will not supervene. In fact, 25% of patients with negative margins will still go on to develop a recurrence at the primary site [6–9].

Specifically, false-positive margins could be obtained due to: (1) post-operative shrinkage of tissue, (2) inking process, (3) surgeons’ skill, and not (4) appropriately sampling and conservative practices. False-negative margins also represent a serious issue resulting into under-staged and consequently under-treated disease. According to Slaughter field cancerization theory [10], in HNC
may have small and multiple foci near the margin which could be rendered unreadable by thermal tissue damage, thus creating the illusion of radical tumor excision. Thus, the wider use of low-thermal-injury instruments with their variable thermal damage on excised tissue might have a significant impact on surgical margins status; in this setting, the awareness of quantitative tissue damage variable according to different type of low-thermal-injury devices, may help pathologists in increasing the accuracy of histopathological assessment and, at least in theory, might explain in part some recurrences in “disease-free” margin patients.

Few studies are reported in literature about the effect of thermal tissue injury in head and neck area, and the vast majority of them are based on animal or cadaveric models [11–17]; here, a laryngeal model, created using excised larynges, was developed to quantify the effect of thermal injury of different devices on laryngeal normal mucosa and to predict their effect on margins status and final histopathological report.

Methods

Prospective study

The protocol for the prospective controlled clinical study was approved by the Institutional Review Board, and it was conducted in accordance with all accepted standards for human clinical research. All patients gave written informed consent prior to study enrollment. Ten consecutive patients, with primary advanced squamous cell laryngeal cancer newly diagnosed, biopsy proven, were treated between January 2010 and December 2011, at our academic tertiary referral center (First Clinic of Otorhinolaryngology, University of Florence, Azienda Ospedaliero-Universitaria Careggi, Italy).

Clinical data of the ten patients are summarized in Table 1. The sites and stage of the tumor have been classified according to the AJCC TNM, 2010 [18].

These patients underwent total laryngectomy in general anesthesia as primary treatment, because of lack of eligibility for laryngeal preservation protocol. Exclusion criteria were: (1) laryngeal cancer recurrence, previously treated by surgery or radiotherapy, (2) larynges widely affected by cancer or radiochemiotherapy, (3) larynges with T stages and N stage of the tumor have been classified according to the AJCC TNM, 2010 [18].

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Histological preparation of excised incision samples

Excised tissue samples were fixed in 10% neutral buffered formalin for a minimum of 24 h, followed by paraffin embedding, cut and stained with haematxoinyl and eosin. During the embedding process, the tissue was orientated such the control calibrated margin (scalpel side) was placed on the central part of each slide, thereby allowing slices to show the relationship of the tissue transitioning from unaffected histology to tissue with thermal injury. Histological levels were cut from each paraffin block, when deemed appropriate. Histopathological evaluation was performed blindly by two pathologists (D.M., V.M.). The study of calibrated margins has been led without invalidating the final histopathological result.

Definition of thermal injury model looking at generated calibrated margins

There is currently no standardized technique for the evaluation or quantification of thermal injury on histopathological slides in head and neck area. For the current study, we adopted the quantitative thermal injury model together with its thermal damage definitions, suggested by Ruidiaz and colleagues in breast cancer surgery [19]. Accordingly, we identified two zones of fibrocollagenous thermal injury (FTI) and four zones of cellular thermal injury (CTI), as previously described according to successive levels of heat-exposure-induced thermal stress on cellular and fibrocollagenous tissue components. On the basis of their discernable visual characteristics on tissue sections, in terms of differences in staining properties, fibrocollagenous and cellular structure at low and high magnification, we measured the extension of the thermal tissue damage. We identified two zones of fibrocollagenous thermal

<table>
<thead>
<tr>
<th>ID</th>
<th>Sex</th>
<th>Age (years)</th>
<th>Smoke habit (pack/year)</th>
<th>Alcohol consumption</th>
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<tbody>
<tr>
<td>1</td>
<td>F</td>
<td>61</td>
<td>35</td>
<td>No-Rare</td>
<td>CT3N1M0</td>
<td>PT3N2M0</td>
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<td>2</td>
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<td>&lt;1 L/die</td>
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<td>3</td>
<td>M</td>
<td>80</td>
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<td>&lt;1 L/die</td>
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<td>4</td>
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<td>5</td>
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<td>9</td>
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<td>PT4N2C0</td>
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<tr>
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<td>No-rare</td>
<td>CT4N1M0</td>
<td>PT4N2aM0</td>
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We assumed the hypothesis that when surgeon cuts a tissue with a low-thermal-injury device, the consequent thermic damage is caused on both sides of the cutting, which implies the presence of SA and S, on both the remaining healthy tissue on patient’s bed side and the resection margin sent to the pathologist. On this setting, when we re-evaluated our four historical cases, we started from the assumption to have performed a surgical incision at the middle point of a calibrated distance of 5 mm, equal to the half value of the standard distance of 1 cm proposed in our quantitative model (see “Prospective study” section in Methods), from the more external cancer cell of the nearest malignant cell clusters visible in the histopathological slide (Fig. 4). Therefore, by theorizing that tissue retraction (S) together with loss of readable tissue (SA) could involve about the 50% of both resection margins, which are one on patient surgical field and the other one on surgical specimen, we applied as theoretical visible tissue damage the 50% of the mean value of the amount of S + SA, to our retrospective histopathologic measurements (Table 2).

Statistics

Statistical analysis was performed by STATA (Stata Corporation, College Station, TX, USA). Statistical significance was defined as \( p < 0.05 \). Kaplan-Meyer disease-free survival was used to compare results among group A and group B.

Results

Prospective study

Characterization and measurement of SA

Average, instrument-dependent depth of thermal injury to the calibrated-margin, measured from the cauterized surface to the respective boundary, was calculated by evaluating: (1) the depth of the Zone II of fused tissue, and (2) the extension of the Zone III of distressed tissue, per each histologic slides.

Table 2 shows ranges, mean values, and confidence intervals with standard deviations (CI ± DS), which estimate thermal tissue injury created by the three surgical devices (CO2 laser, harmonic scalpel, and monopolar electrocautery) in comparison with traditional cold instrument. Monopolar electrocautery created the widest thermal injury, showing a large unreadable tissue boundary whose bidimensional distribution reduced in case of harmonic scalpel use and reached the lowest visible tissue damage by CO2 laser. In fact, fused tissue presented a bidimensional extension which reduced by 45% passing from electrocautery to harmonic scalpel use, and it dropped by 72% by comparing electrocautery and CO2 laser thermic effect. Moreover, the Zone III of distressed tissue, showed a progressive reduction in unreadable tissue due to denaturation affects; it declined by 19% from electrocautery to harmonic scalpel use, and of further 19% by using CO2 laser, with a global difference of 35% between electrocautery and CO2 laser thermal effect.

All of these measurements reported in extenso in Table 2 showed a statistically significant difference \( p < 0.0001 \).

Fig. 5 shows the concise representation of thermal injury tissue distribution in accordance with each thermal surgical device.

Estimation of calibrated-margin measurements

Average, instrument-dependent shrinkage, that means the mean value of margin retraction which could be measurable, together with ranges and confidence interval with standard deviation of each measurement, are summarized in Table 3.

Compared to CO2 laser, whose mean value of shrinkage presents a bidimensional account of 2.09 mm, electrocautery and

Hypothetical model

In order to apply our technique of margins measurement to real cases, we created a hypothetical retrospective re-evaluation of histopathological reports.
harmonic scalpel produce a higher tissue disruption mean value equal to 2.433 mm and 2.774 mm, respectively (see Fig. 5). These results were statistically significant if compared to each other: (1) CO2 laser vs. harmonic scalpel (p = 0.0001), (2) CO2 laser vs. electrocautery (p = 0.0172), and (3) harmonic scalpel vs. electrocautery (p = 0.0045).

Hypothetical model

By applying our model based on estimation of calibrated-margin measurements (see Table 3), we critically re-evaluated four representative cases, whose clinical characteristics are summarized in Table 4, are described as follows:

**Case 1. Final report indicative for close-margin, re-interpreted as false close-margin.**

This was a laser cordectomy type II. Fig. 6a shows the distance measured from the inked resection margin to the nearest cancer cell. This distance was 0.4 mm and it was histopathologically interpreted as close-margin, due to its extent lower than the conventional safe margin distance of 1 mm. By our quantitative model, we have reinterpreted this final result in accordance with thermal CO2 laser properties (Table 2 and 3). Starting from the assumption that a loss of 50% of readable margin occurred (1.45 mm), this close-margin would reach the measure of 1.49 mm, from the sum of 0.4 mm (the measure reported by the pathologist) + 1.45 mm (the 50% of the mean value of surgical tissue disruption in case of CO2 laser use) respectively. According to this hypothesis, this could be a case of false close-margin, i.e. negative one.

**Case 2. Final report indicative for close-margin, re-interpreted as false close-margin.**

Example of superior resection margin of glottic cancer, performed by harmonic scalpel during open partial laryngectomy. Fig. 6b shows the distance measured from the inked resection margin to the nearest cancer cell. This distance was of 0.9 mm and the pathologist interpreted this margin as close-margin, due to its extent lower than the conventional safe margin distance of 1 mm. By our quantitative model, we have reinterpreted this final result in accordance with thermal harmonic scalpel properties (Tables 2 and 3). We should add to the reported measure of 0.9 mm, the value of 1.113 mm, which represents the 50% of the mean value of...
readable margin in case of harmonic scalpel use. Thus, the true resection margin value would reach a size over the safe standard resection tumor distance. As above, this could be a case of false close-margin, i.e. negative one.

**Case 3.** Final report indicative for negative-margin, re-interpreted as false negative-margin.

We present a paradigmatic case in which the use of low-thermal-injury device could have destroyed a small focus of tumor cells, far from the primary tumor. Fig. 6c, in fact shows the presence of a small area of carcinoma (red circle) far from the primary tumor (T). This area extended for 0.4 mm far from the inked margin. The surgeon using harmonic scalpel partially destroyed this cluster, because of thermal effect. The use of monopolar electrocautery in place of harmonic scalpel would have resulted in a complete reduction of this small area presenting, in accordance with our model, a wider unreadable boundary of 55% than harmonic scalpel (Fig. 6c). Thus, surgical artifacts due to devices can also create false-negative margins, this may be due to two main mechanisms: (1) cell damage of normal tissue resembling cancer cells (artifacts); and more frequently, (2) direct destruction by thermal injury of cancer cell cluster close to the margin.

**Case 4.** Final report indicative for positive-margin, re-interpreted as false positive-margin.

Here an example of superior resection margin of supraglottic cancer, performed by monopolar electrocautery during open partial laryngectomy. Fig. 6d shows the maximum distance (1.3 mm) because of extensive and massive thermal damage rendering unreadable the full distance between inked resection margin and growth tumor line, the pathologist interpreted this margin as positive. According to our model, if we had a mean thermic distruction tissue of 1.28 mm by monopolar electrocautery, we could have considered this margin as negative (see, Tables 2 and 3).

**Discussion**

It is common knowledge among surgeons that an oncologic procedure with safe surgical margins represents the best chance in order to achieve local control; thus, resection margins status is strictly related to the choice of adjuvant treatment strategies [20–23].

Nonetheless, in spite of though negative resection margins are reported in literature, a percentage of head and neck cancer patients from 15% to 30% experienced a local tumor recurrence during the follow-up [7–9, 24, 25].

The histologically confirmed presence of tumor at the resection margin of a surgical specimen is described in the literature as a positive tumor margin [23].

On the other hand, the significance of the presence of invasive carcinoma near the border of resection remains an issue under discussion [7, 24–27].
There is no consensus on how much normal tissue should be removed around a tumor in order to reduce the risk of local recurrence; it is widely accepted, however, by head and neck surgeons that inadequate excision of a tumor leads to early primary site recurrence; anatomic site in head and neck seems to influence an oncologically safe resection margin [20]. In the larynx, Bocca et al. [28] suggest that a margin of a few millimeters may be enough in some areas, whereas in the hypopharynx submucosal spread of 1 cm may occur, thus margins of 2 cm are necessary [29].

Postoperative management of patients with positive surgical margins is another controversial issue. Revision surgery has been shown to be a valid option for such cases. Moreover, it has been suggested that postoperative radiotherapy may protect patients from local recurrence whenever surgical margins are compromised and additional surgery is not feasible; however, it has also been stated that further treatment may compromise functional recovery [20].

Here, for the first time, we reported an experimental ex vivo model of a quantitative measurement of thermal injury induced by low-thermal-injury devices which may help in improving the accuracy in margin status assessment in laryngeal surgery. An excessive thermal injury may have two potential histopathological outcomes: (a) according to a higher thermal damage, the effective readable distance between the margin and the first line tumor growth can be decreased thus, creating “close” or apparent “positive margins” (false-positive, with indications for re-excision or adjuvant post-operative radiotherapy); (b) thermal injury can destroy small independent malignant or pre-malignant cell islands near the margin, “false-negative” ones, (lacking indications for re-excision or adjuvant radiotherapy).

In order to understand better the potential prognostic impact of different surgical devices on status of cancer resection margins, we proposed, for the first time in head and neck district, a quantitative ex vivo model of measuring histopathological artifacts in accordance with each thermal device properties. We quantified the degree of thermal injury from three different thermal devices (harmonic scalpel, CO2 laser and electrocautery) on calibrated margins created on healthy laryngeal mucosa, and we measured tissue damage considering two principle parameters: thermal injury (surgical artifact, SA) and tissue retraction (Shrinkage, S).

Our results show statistical significative differences among hot and cold (control incision) devices, with monopolar electrocautery showing the highest thermal tissue damage (26% more than harmonic scalpel and 45% more than CO2 laser) \((p < 0.05)\). This thermal tissue damage turns into unreadable boundaries whose thickness depends on the nature of device. We succeeded in measuring, in a bidimensional way, the extension of this thermal injury, by looking for SA and S in our histopathological slides, which presented the highest mean value by harmonic scalpel use.

Thus, the choice of surgical device might improve the specific margin assessment with a clear implication for post-surgical treatment efficacy and prognosis.

In our opinion, pathologists should know device nature, in order to be able to read histologic slides by keeping in mind the different possible influences of each device on margin status.

In this study, we analyzed a limited number of cases and histopathological examinations were made by different pathologists, and these represent potential limitations, also because the assessment of histological variables of the primary cancer, including measurement of the margins, may vary among pathologists. The
interobserver variations and intraobserver reproducibility are inherent weaknesses of this study design, and can be fully overcome only by prospective studies that incorporate these variables. Accordingly, if surgery is performed by a low-thermal-injury device, we also suggest that experimental and retrospective data carried by us might, at least in theory, justify the possible occurrence of some local recurrence in “disease-free” surgical procedures.

Even if low-thermic-injury devices have dramatically changed the surgical techniques with less risk of bleeding, and reduced operating time with less complains, they bring with them a price which is the possible alteration by thermal artifacts of the histopathologic resection margins status. This is not secondary, because all possible consequences of a second re-operation or radio-chemio adjuvant therapy on tissue already damaged, can lead towards acute and late complications such as condronecrosis, edema, infections, excessive scar formation, recovery delayed, fistula, which particularly in laryngeal conservative surgery mean dyspnea, delay in decannulation and/or starting with oral feeding, frequently unsolved or responsible for functional total laryngectomy.

In conclusion, this study is the first to quantify the thermal effects of different devices on surgical margins status in HNC surgery. We demonstrate that the use of different surgical instruments might affect the histopathological assessment of excision margins in laryngeal surgery, with potential therapeutic and prognostic implications. Accordingly, on the basis of our findings, we suggest to avoid the use of monopolar electrocautery in laryngeal surgery, especially in conservative surgical procedures, not only because of its diffuse mucosal thermic damage effect which could compromise resection margins status with potential consequences on intraoperative decision making, but also because it may affect the surrounding healthy structures such as: muscles, cartilage, vessels and nerves, with possible postoperative functional impairments. Conversely, CO2 laser and ultrasonic scalpel represent the most suitable devices to perform this type of surgery, due to their lower thermal tissue induced damage and their well known surgical advantages [1]. On the other hand, nevertheless the demonstrated lower measured thermic damage (Surgical artifact, SA) and surgical margins’ retraction (Shrinklage, S) caused by these new technologies, we advocate the use of cold instruments especially when few millimeters of unaffected mucosa represent the limit for a safe surgical excision to obtain both a radical and conservative surgical procedure, thus avoiding thermal artifacts able to influence frozen section analysis and final histopathological report.

Conflict of interest statement
None declared.

References


