

# Outflanking Bandwidth Limitations for Terahertz Generation in Silicon Metasurfaces

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**Abstract**—Terahertz (THz) radiation is of utmost interest for applications ranging from communications to safety and biological spectroscopy. However, the generation of THz frequencies above 5 THz is challenging due to absorption in crystals and pump bandwidth requirements. Here, a silicon-based metasurface, featuring resonant modes in the near-infrared (NIR), is employed as nonlinear platform for the generation of THz radiation via degenerate four wave mixing process. We show that it is feasible to achieve on demand frequency generation by sweeping the central wavelength of a visible narrowband pulse and keeping the NIR pump beam unaltered, thus avoiding the need of broadband pump pulses. The usage of a metasurface allows to drastically reduce the absorption in the THz region. Our results pave the way to broadband spectroscopy in the THz region.

**Index Terms**—Terahertz, four wave mixing, nonlinear optics, metasurfaces.

## I. INTRODUCTION

TERAHERTZ (THz) frequencies correspond to the spectral range where the optical response ascribed to molecular motion appears. This allows spectroscopic fingerprinting of biological molecules, drugs, and materials for applications spanning security screening, quality control, and agricultural diagnostics [1], [2], [3]. As in all spectroscopic applications, probing a large spectral range is desirable. Such broad frequency range can be achieved either by employing a broadband source or by sweeping the spectral position of an extremely narrowband source over the desired frequency range. However, THz sources are not readily available and are either hardly tunable single frequency lasers, such as quantum

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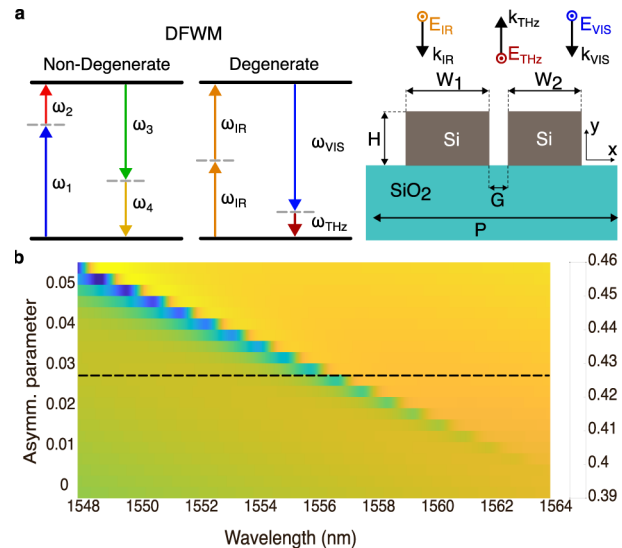


Fig. 1. a. Schematic of the difference four wave mixing processes and the unit cell of the investigated metasurface. b. Reflectivity as a function of the asymmetry parameter ( $\alpha$ ) and the wavelength. The dashed line corresponds to the value of  $\alpha$  employed for nonlinear simulations.

cascade lasers [4], or require ultrashort laser pulses to operate photo-conductive antennas (PCAs) or perform difference frequency generation (DFG) in crystals. Although PCAs offer a relatively compact and easy to operate THz pulse source [5], the maximum bandwidth is still limited to few THz (typically  $< 3$  THz). Intrapulse frequency generation in nonlinear crystals, such as ZnTe or GaP, are a well established alternative to achieve higher THz frequencies [6]. However, several gaps in the generated spectra are present and the maximum achievable THz frequencies are limited by the absorption in crystals and the pump pulse bandwidth. The absorption effects can be reduced by thinning the crystal, thus reducing the propagation length. This approach has been successfully employed to achieve THz generation up to 7 THz in a 400- $\mu\text{m}$ -thick GaP slab [7] or 500-nm-thick LiNbO<sub>3</sub> film [8]. However, thinner crystals make no use of phase-matching and are inherently less efficient. The additional limiting factor is the pump pulse bandwidth, which must be broad enough to allow intrapulse DFG. Assuming a typical gaussian-like pulse shape, the spectral power available for high THz frequency generation rapidly decreases. To overcome the limitations of available bandwidth, dual beam DFG [9], [10], [11] and four-wave

mixing [12], [13], [14], [15], [16], [17] configuration, even involving plasmas as nonlinear medium, have been proposed. However, the limitations due to absorption in the THz region still remain. In the past, solutions based on nanophotonics devices have been proposed to overcome the difficulties related to phase matching over long distances by engineering the dispersion of waveguides or optical fibers [18], [19], [20], [21]. However, such approaches do not allow to achieve broadband phase matching and are not easily tunable. On the other hand, metasurfaces have been demonstrated as viable platforms to generate frequencies above 6 THz by intrapulse DFG [8], [22], where the enhancement is usually provided by the resonant behavior of the nonlinear polarizability close to phonon modes. The generation of such broadband THz pulses requires ultrashort laser pulses with large frequency content that poorly exploits high quality factor (Q-factor) modes, such as quasi-bound states in the continuum (qBIC), which provide strong field enhancement [23]. In this work, we propose a tunable THz radiation source based on a degenerate difference four wave mixing (DFWM) process in a silicon (Si) metasurface consisting in a one dimensional periodic grating featuring a high Q-factor qBIC mode in the NIR (Fig. 1a). The DFWM process is specifically designed to exploit as inputs two narrowband NIR pulses at frequency  $\omega_{IR}$  (spectrally resonant to the BIC mode) and a visible pulse at  $\omega_{VIS} \approx 2\omega_{IR}$ , such that the output radiation is generated at  $\omega_{THz} = 2\omega_{IR} - \omega_{VIS}$ . In this condition, when  $\omega_{VIS}$  is spectrally varied by keeping  $\omega_{IR}$  fixed (thus, ensuring strong nonlinear response), the frequency of the output THz radiation can be tuned. The identification of the geometrical condition ensuring the occurrence of the BIC modes in the desired spectral range and the demonstration of the tunability of the output THz radiation have been achieved via numerical simulation based on finite element method. Our results provide an alternative approach for broadband spectroscopy in the THz spectral range based on a tunable narrowband source.

## II. RESULTS

The investigated metasurface consists in a one-dimensional periodic grating structure (with period  $P$ ) deposited on top of a  $\text{SiO}_2$  substrate. As shown in Fig. 1a, the unit cell comprises two bars with different width ( $W_1$  and  $W_2$ ), same height  $H$ , and separated by a distance  $G$ . The width difference induces the symmetry-breaking that generates a qBIC resonance and its magnitude allows to control the qBIC spectral position and bandwidth. The grating is designed to support a qBIC resonance in the IR region. Fig. 1b shows the reflectivity as a function of the wavelength and the asymmetry parameter  $\alpha = (W_1 - W_2)/W_1$  for  $G = 40$  nm,  $H = 200$  nm,  $P = 650$  nm. In the following, we set  $W_1 = 180$  nm and  $W_2 = 175$  nm. The presence of a qBIC allows to improve frequency conversion by enhancing the local electric field inside the grating bars. We consider a DFWM process (Fig. 1a), where two narrowband NIR pulses at  $\omega_{IR} \approx \omega_{BIC}$  are combined with a VIS pulse at  $\omega_{VIS} \approx 2\omega_{IR}$  to generate an output radiation in the low-frequency spectral range at  $\omega_{THz} = 2\omega_{IR} - \omega_{VIS}$ . In the visible region we operate out of resonance (see Fig. 2b) and in the THz region the metasurface is not resonant (see Fig. 2c).

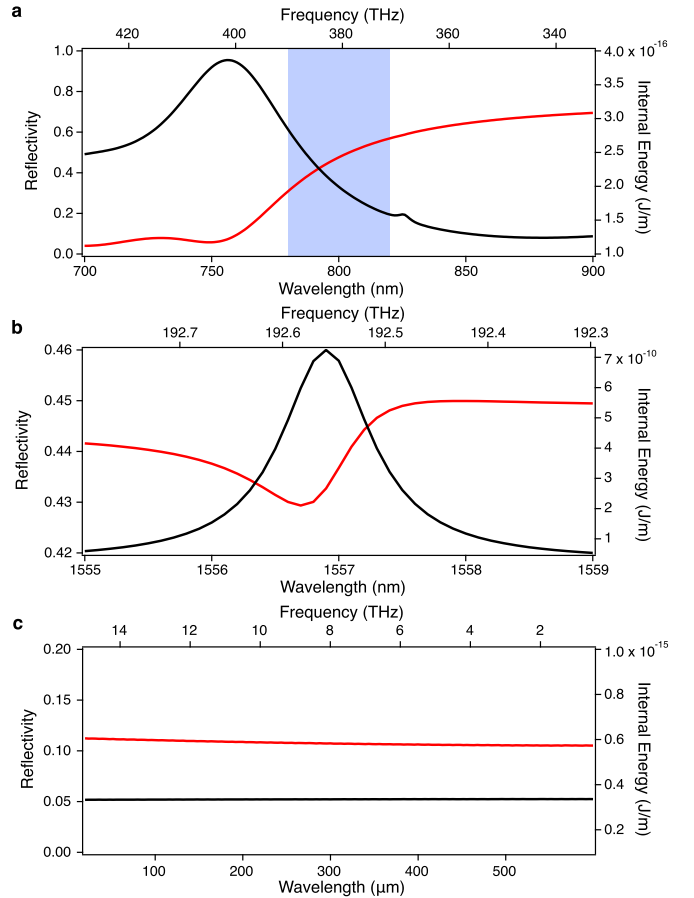


Fig. 2. Reflectivity (red lines, left axis) and Internal energy,  $U = \int \epsilon |E|^2 dA$ , (black lines, right axis) as a function of the wavelength in the visible (panel a), near infrared (panel b) and terahertz (panel c) regions at normal incidence. The light blue shaded area in panel a corresponds to the frequencies involved in the degenerate DFWM resulting in the frequency range in panel c.

Although triply resonant structures have been predicted to yield high conversion efficiencies [24], [25], [26], the generated spectra are extremely narrowband and not tunable. In our case, the nonlinear frequency conversion is boosted by the narrow resonance in the IR and the tunability is provided by the non resonant behavior in the other spectral regions. Even if the presence of broad resonances might be helpful to further increase the conversion efficiency, the research of such design is beyond the scope of this letter.

### A. Numerical Model

The nonlinear response for a degenerate DFWM process with local third order susceptibility response should be calculated as:

$$\tilde{P}_i^{(3)}(\omega_{THz}) = \epsilon_0 \chi_{ijkl}^{(3)} [(\tilde{E}_{j,IR} \otimes \tilde{E}_{k,IR}) \otimes \tilde{E}_{l,VIS}^*](\omega_{THz}), \quad (1)$$

where  $\tilde{P}_i^{(3)}(\omega_{THz})$  is the  $i$ -th component of the third order nonlinear polarization at THz frequency  $\omega_{THz}$ ,  $\chi_{ijkl}^{(3)}$  are the third order susceptibility components,  $\otimes$  stands for the convolution operation in frequency domain, and  $\tilde{E}_{IR,VIS}$  are the electric fields components at  $\omega_{IR,VIS}$ . As previously observed, the energy of a laser pulse that couples to a qBIC is only that of the spectral components matching the qBIC bandwidth [27].

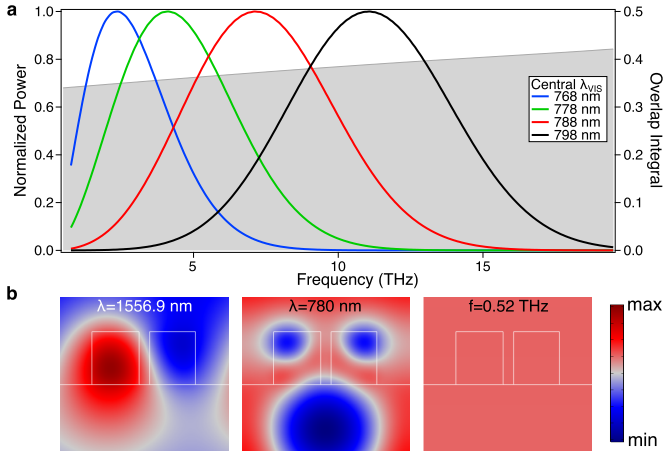


Fig. 3. a. Frequency-resolved power spectra (normalized units) of the output THz radiation for different values of  $\lambda_{VIS}$ . The shaded area corresponds to the overlap integral. b. Selected field profiles employed for the overlap integral calculation.

Indeed, degenerate four wave mixing involving two resonant photons at the qBIC leads to larger frequency conversion rather than non-resonant photons [28]. This drastically simplifies our model as qBIC can have extremely narrow bandwidth ( $< 0.1$  THz,  $Q \sim 2 \times 10^3$ ). Due to the coupling to the qBIC mode, the spectral components of the NIR pulse entering the nonlinear interaction can be approximated by a delta function,  $\tilde{E}_{IR} \approx \delta(\omega - \omega_{BIC})$  [28]. Therefore, for a gaussian VIS pulse  $\tilde{E}_{VIS} \propto \exp[-(\omega - \omega_{VIS})^2/\Delta\omega_{VIS}^2]$ , Eq. 1 provides:

$$\tilde{P}^{(3)}(\omega) \propto \exp[-(\omega + 2\omega_{BIC} - \omega_{VIS})^2/\Delta\omega_{VIS}^2], \quad (2)$$

where  $\tilde{P}^{(3)}(\omega) \neq 0$  when  $\omega$  is in the THz region.

We employ Comsol Multiphysics to perform frequency domain simulations and reconstruct the generated THz spectra under the undepleted pump approximation. The nonlinear results are obtained under the approximation that the only contribution to nonlinear interaction due to the IR frequencies is due to the qBIC mode. Under such approximation, we can obtain the THz spectra performing a parametric sweep over the visible frequencies only. Since silicon structures on the orders of few nm with similar geometry were reported in the literature [28], the simulations are performed in the frequency domain by imposing periodic boundary conditions at all frequency steps. The impinging electric field is always aligned along the bar direction ( $z$ -axis). The only non-zero term giving a contribution is  $\chi_{zzzz}^{(3)} = 1 \times 10^{-17} \text{ m}^2/\text{V}^2$  [29]. Even though second order nonlinearity dispersion has been shown to lead to large efficiency enhancement in THz generation [22], we assume the third order susceptibility to be dispersionless and real-valued. The symmetry of the problem allows to perform 2D simulations of the transverse plane only. For computational reasons, the input intensity at  $\omega_{BIC}$  is set to  $10 \text{ kW}/\text{m}^2$  and that of the VIS pulse is weighted by a gaussian profile with peak value of  $100 \text{ kW}/\text{m}^2$ .

We consider a lossless case in the THz region (additional results including the effect of losses can be found in the Supplementary Material Document). The normalized output power of the generated THz radiation as a function of the

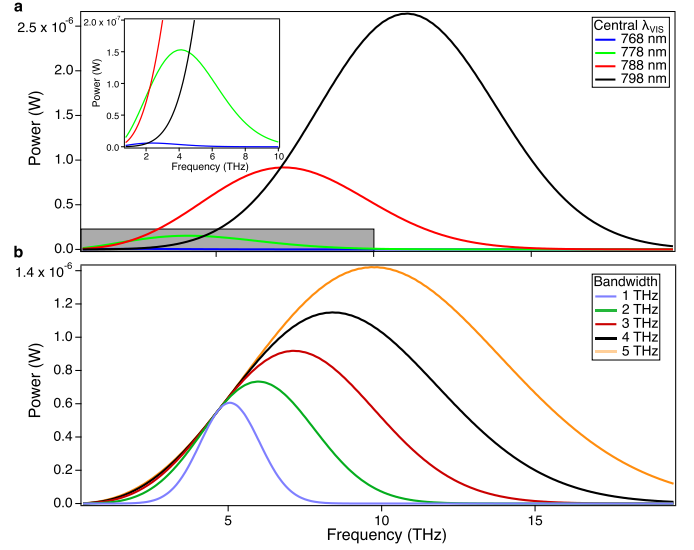


Fig. 4. Frequency-resolved power spectra of the output THz radiation for different values of  $\lambda_{VIS}$  and fixed bandwidth  $\Delta\omega_{VIS} = 3$  THz (panel a), and fixed  $\lambda_{VIS} = 788$  nm and different values of  $\Delta\omega_{VIS}$  (panel b). The inset in a shows a zoom of the shaded gray area.

generated frequency is shown in Fig. 3a. When  $\omega_{VIS} \approx 2\omega_{BIC}$ , the maximum of the generated frequency is below 1 THz. Decreasing  $\omega_{VIS}$  increases the peak THz frequency which is generated, showing the possibility to select the desired THz frequencies by properly tuning the pump visible pulse. As there are no resonances in the visible and THz regions, the overlap integral (shaded gray area in Fig. 3a) is almost constant over the entire region of interest. Fig. 3b shows the electric field distribution at 1556.9 nm (192.56 THz), 780 nm (384.35 THz) and 576523 nm (0.52 THz). Over one unit cell, the THz field is almost constant and the overlap integral is determined by the fields in the optical region. As can be seen by Fig. 4, the output power in the THz region rapidly increases when the visible pulse wavelength ( $\lambda_{VIS}$ ) increases. This is due to the second time derivative of the nonlinear polarization term in the source term of the Maxwell's equation which results in a quartic dependence of the output power upon the frequency difference. Such effect is also evident in Fig. 4b where the central pulse frequency is kept constant and the bandwidth changes. We note that in such a case, the generated spectra can be described by the function  $f(\omega) = \omega^4 \exp[-(\omega - \omega_0)^2/(\Delta\omega^2)]$ , where  $\omega$  is the frequency,  $\omega_0$  the central frequency of the gaussian and  $\Delta\omega$  the bandwidth.

We emphasize that the involved frequencies in the DFWM process do not belong to the same pulse and thus large peak power values can be easily employed for the visible pump pulse. Moreover, the involved frequencies are commonly available outputs of commercial optical parametric amplifiers.

We propose an alternative approach to lift the requirements of broad pump pulses thus achieving a tunable THz source for spectroscopic applications. In contrast to spectroscopy methods based on parallel approach [30], where the frequency components are measured simultaneously (thus needing a broadband source), our idea promotes the serial approach, in which the individual frequency components are measured one

at a time by sweeping a narrowband source over a range of frequencies (see Fig. 1a). The proposed approach allows to achieve a relatively narrowband THz source which can be tuned over the desired frequency range by simply changing the central frequency of the pump pulses. This approach is especially significant for spectroscopic applications where the reflectivity/transmittivity spectra can be reconstructed by the separate narrowband spectra. Even though our approach relies on non-propagating modes and does not allow for phase matching, this limitation is not particularly restrictive, as phase matching cannot be effectively exploited in thin crystals either. However, in our case we can exploit the strong field localization to increase nonlinear frequency conversion. Compared to second order processes, where the spectral frequencies are contained in the same pulse, our approach allows to achieve higher spectral intensities at larger frequency differences. Moreover, the pump beam in the IR can be operated independently from the visible source. Additionally, since our approach is based on third order susceptibility, the restrictions on material selection are lifted.

### III. CONCLUSION

We propose and theoretically investigate THz generation exploiting degenerate DFWM in a silicon metasurface. We show that operating close to a qBIC allows to drastically simplify the description of the problem and achieve a complete tunability over the THz region by properly tuning only the VIS pump pulse. The main advantage is that the proposed approach involves peak frequencies, rather than frequencies in the same pulse, which makes possible to exploit higher spectral power for large frequency differences above 6 THz. The use of metasurfaces improves the ease of tunability and reduces the absorption at the generated THz frequencies due to propagation in the material. Moreover, the use of third order susceptibility for THz generation paves the way to new materials beyond the non-centrosymmetric ones.

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