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ROLE OF MATERNAL IMMUNE ACTIVATION IN NEURODEVELOPMENTAL DISORDERS AND POSSIBLE PHARMACOLOGICAL TREATMENTS

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Abstract

Negli ultimi anni è emersa l'ipotesi che le alterazioni nel sistema immunitario durante la gravidanza possano partecipare, insieme con altri fattori (ad esempio genetici e ambientali), alla generazione di disfunzioni neurologiche caratteristiche di diversi disturbi del neuro-sviluppo. Queste ipotesi sono state supportate da numerosi dati epidemiologici in cui si è vista una correlazione tra l'attivazione del sistema immunitario materno e il rischio di sviluppare i disturbi del neuro-sviluppo. È stato dimostrato che l'attivazione del sistema immunitario materno determina alterazioni comportamentali e funzionali nella prole, sebbene i meccanismi alla base di queste alterazioni rimangano ancora da chiarire. Data la difficoltà di poter applicare questi studi direttamente sull'uomo, legati a motivi sia etici che tecnici, molti studi sono stati condotti sugli animali. Diversi modelli animali (dai roditori ai primati) sono stati utilizzati per indurre l'attivazione immunitaria materna, i così detti modelli di "maternal immune activation" (MIA). Tali modelli hanno dimostrato una stretta correlazione tra attivazione del sistema immunitario materno e il rischio di sviluppare i disturbi del neuro-sviluppo.

In questo contesto, i miei studi di dottorato si sono focalizzati nello studiare come l'attivazione del sistema immunitario materno possa indurre i disturbi del neuro-sviluppo e trovare un potenziale trattamento farmacologico che sia in grado di prevenire questi disturbi.

Nella prima parte del mio dottorato ho studiato come l'attivazione del sistema immunitario materno influenza lo sviluppo cerebrale nella prole, e nello specifico ho studiato il ruolo di NF-κB in queste malattie. Per far ciò, ho utilizzato due modelli animali di MIA, uno genetico, topi mancanti della subunità p50 (topi p50^{-/-}) e un modello indotto dove, come stimolo pro-infiammatorio è stato utilizzato il lipopolisaccaride (LPS). Nella seconda parte del mio dottorato ho focalizzato i miei studi sugli effetti comportamentali e funzionali, che si possono manifestare nella prole murina di topi esposti durante tutto il periodo della gravidanza ad un agente pro-infiammatorio e se queste alterazioni possano essere prevenute da un trattamento farmacologico condotto sulle madri.

Per capire il ruolo di NF- κ B nei processi del neuro-sviluppo, la prole di entrambi i modelli è stata valutata dal punto di vista dell'infiammazione (sia periferica che centrale), della citoarchitettura corticale (analisi delle minicolonne) e comportamentale (il comportamento della prole è stato studiato durante le tre fasi principali di vita dei topi: infanzia, adolescenza ed età adulta). Sia la prole del modello genetico (topi p50^{-/-}) che del modello indotto (topi LPS) hanno mostrato un'alterazione nell'infiammazione periferica e centrale: è stato trovato un aumento significativo nei livelli sierici di interluchina-1 β e un aumento significativo di marcatori dell'infiammazione centrale, glial fibrillary

acidic protein (GFAP)- and ionized calcium-binding adapter molecule 1 (Iba1)-positive cells nella corteccia della prole adulta. Inoltre, la prole adulta di entrambi i modelli ha mostrato significative alterazioni nella citoarchitettura corticale, e nello specifico nell'area somato-sensoriale e del cingolo anteriore. Anche dal punto di vista comportamentale la prole ha mostrato significative alterazioni sia nel periodo dell'infanzia, dell'adolescenza che nell'età adulta. Questi risultati hanno dimostrato che l'infiammazione durante la gravidanza, sia che sia legata a fattori genetici che ambientali, induce alterazioni funzionali e comportamentali permanenti nella prole.

Successivamente, il modello indotto con LPS è stato utilizzato per testare se un preventivo trattamento farmacologico con un classico antinfiammatorio, il meloxicam, fosse in grado di prevenire lo sviluppo delle alterazioni osservate nella prole. Il meloxicam è stato in grado di prevenire l'infiammazione periferica e centrale nella prole adulta, ma non è stato in grado di prevenire le alterazioni comportamentali e strutturali osservate nella prole.

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CHAPTER 1

Introduction

1. Neurodevelopmental disorders

Neurodevelopmental disorders (NDDs) are a heterogeneous group of disorders associated with impaired brain development. Generally, NDDs manifest in the early childhood and they are characterized by specific behavioral deficits including social, cognition, language and motor deficits. These deficits can change from very specific limitations of learning or control of executive functions to global impairments of social skills or intelligence [1]. However, NDDs frequently co-occur; for instance, individuals with Autism Spectrum Disorder (ASD) often have intellectual disability and many children with attention-deficit/hyperactivity disorder (ADHD) also have a specific learning disorder.

Diagnostic and Statistical Manual version 5 (DSM-5) classifies NDDs as follows: Intellectual disabilities (ID), Communication disorders, Autism spectrum disorder (ASD), attention deficit hyperactivity disorders (ADHD), neurodevelopmental motor disorders, specific learning disorder [2]. NDDs can also include pathologies associate to a genetic, environmental or medical specific condition such as fragile X syndrome, tuberous sclerosis, and Rett syndrome (genetic disorders), epilepsy (medical conditions) and very low birth weight and fetal alcohol exposure (environmental factors) (Fig.1). Due to the involvement of several factors including genetic, environmental, metabolic and immune factors, the etiopathogenesis of NDDs remains unknown. In recent years, the hypothesis has emerged that prenatal environmental factors, including maternal immune activation, may be implicated in the etiology of NDDs [3-7]. Indeed, maternal infection and the inflammation generated are plausible risk factors for these outcomes [5, 8-11].



Fig.1 The concepts of Gene x Environment interactions (GxE) and Gene-Environment correlations (G-E), as well as their potential implications for clinical NDDs and their experimental animal models [2].

2. Maternal immune activation (MIA)

Several epidemiological studies have shown a correlation between maternal immune activation (MIA) and NDDs [4, 9, 12, 13], but not without controversy. After the 1964 rubella pandemic, the incidence of two neurodevelopmental disorders, ASD and schizophrenia (SZ), rose from less than 1% in the unexposed population to about 13 and 20%, respectively [7]. Other studies revelated an association among flu [14], measles [15], chickenpox [16], polio [17] and SZ, ASD and mood disorders. However not all epidemiological studies, based on ecological data, have replicated these associations, probably due to a difference in the estimated population exposed [18]. Successively, these studies have been supplanted by several prospective studies following birth cohorts [3, 13, 19]. These studies used serological biomarkers of infection or inflammation in individual pregnancies to support the association between viral infections and NDDs in offspring [20-26]. Moreover, they added new potential pathogens to the list: bacterial infections, including pneumonia and others respiratory infections [27-29], genital/reproductive infections [26] and toxoplasma gondii infection [30-32]. All these studies strengthen the hypothesis that activation of maternal immune system can lead to NDDs. Furthermore, the presence of communicable diseases combined with an increase in autoimmune disorders [19], could explain the recent increase in incidence of NDDs [33]. Therefore, currently, prenatal stage is considered as a critical window which, if disrupted, could have longlasting influences on neurodevelopment [34]. In fact, considering orchestrated processes that occur during foetal development, such as neurogenesis, migration, differentiation, synapse formation and apoptosis, perturbation in one or more of these processes during pregnancy can cause neurodevelopmental disorders [13, 19, 34-37].

The strength of the association between maternal immune activation and NDDs appears to be critically influenced by the precise timing of prenatal exposure. Although the exact timing remains unknown, it appears to be crucial for inducing disease-specific neurodevelopmental processes. Indeed, some retrospective epidemiological studies found a significant association between prenatal infection during the second semester of pregnancy and SZ [14, 38, 39] or during mid and late gestational periods and ASD [40-43]. However, recent epidemiologic findings have shown that contract an infection in early gestation is associated with the highest risk to develop SZ [15, 26, 27, 44]. It follows that the vulnerability to infection-induced neurodevelopmental alterations differs between distinct stages of foetal development and that the exact time of infection contraction could become crucial in the development of different neurodevelopmental disorders.

2.1. MIA and Schizophrenia

Schizophrenia (SZ) is a chronic form of psychotic illness that affects approximately 1% of population worldwide [45, 46]. It is characterized by an alteration in mental functions, emotions and behaviour. Several epidemiological studies have shown that the etiology of SZ involves aberrant neurodevelopmental processes that can occur during early brain development long before its clinical manifestation [47-50].

In recent years, several studies have shown that there is a high risk of developing SZ following prenatal exposure to infection [3, 51-53]. Key epidemiologic results included the association between maternal infectious pathogens and SZ. For instance, in the Child Health and Development study (CHDS) based on a large birth cohort in northern California, it showed an increased risk of developing SZ in children whose mothers were exposed to influenza during early to mid-gestation [54]. An elevated maternal *T.gondii* IgG levels and maternal reproductive infections was associated with a twofold increase in SZ risk [26, 30]. Maternal exposure to herpes simplex type 2 virus (HSV-2) was associated with nonaffective and affective psychoses in the National Collaborative Perinatal Project but not in the birth cohorts of the CHDS [55] or of the Finnish prenatal studies [56]. Neonatal antibodies to *toxoplasma gondii* and cytomegalovirus have been associated with non-affective psychosis in adulthood [31]. Other studies showed a correlation between an increase in IL-8 or maternal C-reactive protein levels and risk of SZ [23, 54]. All these findings suggest that various infections can lead to SZ, probably following different pathogenic pathways.

Many additional investigations based on maternal immune activation (MIA) animal models have produced a large amount of new data supporting the predictive potential of epidemiological studies, such as behavioral, neurochemical, morphological and transcriptional changes [11, 57-61]. Interestingly, these animal models which are induced by various immune-activating pathogens, including Polyriboinosinic:polyribocytidylic acid (poly I:C), lipopolysaccharide (LPS), influenza virus and select inflammatory cytokines support the epidemiological findings suggesting that the association between MIA and SZ is not limited to a single infectious or inflammatory condition [3, 5]. Despite the similarities between MIA models, there are some notable differences between the models with respect to the morphological and behavioural changes. For instance, whereas prenatal poly I:C exposure in rodents have been shown to induce cellular, neurochemical, and behavioural phenotypes that are characteristic of a hyperdopaminergic state [62-64], prenatal LPS exposure induces a hypodopaminergic state in adult rodents. Prenatal LPS exposure in the rhesus monkey causes a significant increase in global white matter volume [65]. An opposite pattern with a decreased in white matter volume was observed in rhesus monkey offspring born to mothers infected with influenza [66]. These differences can be explained both by prenatal timing of exposure to pathogen and the genetic background, but also because different immunogens can induce a different neuroimmune abnormalities across brain development and, consequently, may lead to differing longterm deficits in brain structures and functions [12]. These results support epidemiological findings which suggest that not all immunogens have the same potential to increase neuropsychiatric risk [3, 67].

2.2. MIA and autism spectrum disorders

Autism spectrum disorders (ASDs) are a group of neurodevelopmental disorders characterised by impairments in social interaction and communication and repetitive behaviour [68, 69]. The estimated prevalence of ASDs has recently increased: in 1992, it was estimated that 1 in 500 children had ASDs but currently 1 in 68 children (and 1 in 42 boys) are estimated [19, 70]. This increase could be explained by the presence of environmental factors that increased the likelihood of developing ASDs. Consistent with this idea, several studies have shown that an alteration in immune response during prenatal or early postnatal development can contribute to development of ASDs [25, 42, 43]. For instance, some case studies have reported increased risk of ASDs in children following prenatal exposure to immunogens such as rubella or cytomegalovirus [71-76]. Recently, a Danish birth cohort study found a nearly threefold increased risk for ASDs following hospitalization for viral infection in the first trimester, as well as an increased risk following hospitalization for bacterial infections in the second trimester [43]. A study from Kaiser Permanente Research Northern California showed that episodes of fever during pregnancy, particularly with no anti-fever medication, were associated with increased risk of ASDs [77]. However, a study of a large Swedish cohort reported that the association between maternal infection and ASDs was independent of both infectious agent and time of pregnancy [42]. A recent study of the Swedish cohort found an association between increased risk of

ASDs and severe infections during pregnancy, such as sepsis, pneumonia, meningitis, pyelonephritis and influenza [78]. Further recent studies have also shown that risk of ASDs could increase if the infection occurs during the second trimester of pregnancy [79]. Collectively these studies suggest that the more severe infection is accompanied by a robust inflammatory response, the higher the risk of ASDs in children increases. This phenomenon appears to be related to the maternal response and the time during pregnancy rather than the pathogen itself [34]. Quantification of cytokines, chemokines, interleukins, maternal C-reactive protein and other inflammatory markers obtained from maternal serum samples and amniotic fluid, support the link between maternal infection and increased ASDs risk [44, 79-82].

Animal models further support this hypothesis; prenatal exposure to poly I:C, LPS or allergies and asthma can induce behavioural abnormalities such as social interaction deficit, alteration in ultrasonic communication and high level of repetitive behaviours [5, 83-86]. These models also showed morphological and cellular anomalies in offspring's brain implicated in ASDs, including abnormal cerebellar development, impaired expression of the extracellular matrix protein reelin, and altered synapse density and neural connectivity [4, 5, 61]. Notably, some of these rodent findings have been extended to rhesus monkeys, both at behaviour and brain morphology [34, 65, 87, 88].

2.3. Possible mechanisms mediating the effects of MIA on the offspring

The precise mechanisms responsible for the pathological effects of maternal infection on the offspring neurodevelopment remain to be determined. Exposure to a harmful pathogen during pregnancy provokes in the maternal environment a systemic inflammatory response with activation of different immune molecules, including cytokines, chemokines, prostaglandins, leukotrienes, and hypothalamic-pituitary-adrenal (HPA) axis [89-94]. Consequently, this inflammatory response can cause fever, lethargy, reduction of motivation in food and social contact in mothers [95-97]. The effects in the offspring are still more complex and the final outcome is still not clear. Different mechanisms have been speculated, but the prevailing hypothesis suggests that alteration in the expression of maternal immune molecules may be the key mediating factor for the observed change in offspring [98-103].

This hypothesis is based on the emerging discovery that there is a crosstalk between immune molecules and brain development through which these molecules acquire a pivotal role in the processes of neurodevelopment [4, 9, 37, 104, 105]. Normally immune molecules, in particular cytokines and glia cells, have an important role in brain development, including neurogenesis, synapse formation and plasticity [7, 19, 106-109]. In presence of inflammatory response placental cytokines levels, such as interleukin (IL)-1, IL-6 and Tumour Necrosis Factor (TNF)- α increase,

provoking a placental dysfunction that could induce changes in brain function [9, 110-112]. Successively, all these factors may induce the activation of inflammatory response in the foetal blood, with the activation of cytokines, chemokines, prostaglandins and other foetal pro-inflammatory factors that can lead to permanent changes in the foetal brain development [106-109] (Fig.2). Thus, the perturbation in the balance between immune molecules and brain development could explain the increased risk of developing NDDs when there is exposure to harmful pathogens.

Moreover, maternal infection can induce oxidative stress increasing the level of oxygen species (ROS) and nitrogen species (RNS), that can participate in the alteration of foetal neurodevelopment [9, 113, 114]. It changes also the maternal and foetal availability of several micronutrients, including iron and zinc, both of which are highly important for the normal development of peripheral and central organs [115-117]. All these factors, taken together, could increase the risk to develop neurodevelopment disorders [6, 118-123].



Fig.2 Possible sites of action and mechanisms of MIA [70]

3. Animal models of MIA

Animal models of MIA are necessary to understand the causal relationship between MIA and the risk of developing NDDs. In addition, to direct research on human subjects, basic animal research represents a convenient approach to study the neurobiological basis of brain and behavioral alterations in NDDs and to develop novel pharmacological therapies. Indeed, animal models, not only allow a rigorous experimental control of subjects in genetically homogenous populations and facilitate the identification of neurobiological factors, but also provide indispensable tools for testing hypotheses that cannot be directly tested on human subjects for technical and ethical reasons, including the verification of casual relationship in epidemiological studies. Moreover, clinical research on pregnant women is very complicated and in most cases the offspring are born healthy and the symptoms appear many years after birth [4, 124, 125]. However, the use of animal models to reproduce human psychiatric conditions, such as hallucinations and delirium, have always been met with some skepticism because they are specific to humans and cannot clearly be identified in animals [70, 126, 127]. Therefore, to avoid the inability to reproduce the entire syndrome in animals, the experimental approach focuses on individual behaviors using specific tests [128-130], such as prepulse inhibition of the acoustic startle reflex, social interaction and recognition tests.

Due to these challenges of studying MIA in humans, animal research remains essential for identifying the biological mechanisms and developing new therapies for NDDs. A plethora of animal studies, not only on rodents but also on non-human primates, conduced during the years, have clearly demonstrated a correlation between MIA and NDDs (Fig.3) [4, 9, 11, 65, 66, 88, 131]. In these models, animals are exposed to an immunological stimulus at a specific gestational stage. One class of animals is exposed to live pathogens, such as influenza virus [58-61] and Toxoplasma gondii [30,31] (table 1). These models are mainly used to verify causal relationships in epidemiologic studies evaluating the role of specific infectious pathogens. Another class of animal models makes use of immune-activating agents that stimulate the innate immune system, such as the bacterial endotoxin lipopolysaccharide (LPS) double-stranded RNA and the synthetic analogue polyriboinosinic:polyribocytidylic acid (poly I:C) [5, 11, 57, 61, 62, 132, 133], and more recently staphylococcal enterotoxin [134]. These models were initially developed to test whether alteration in maternal or fetal immune cytokines may be the critical mediator between prenatal infection and postnatal brain pathology [135-137]. An improvement of this second class of models was the application of specific cytokines as immune-activating agents, such as IL-1ß or IL-6 [7, 9, 57, 83, 99, 101]. This new approach is conducted to identify whether specific cytokines, or a cytokines networks, mediate the association between MIA and NDDs. A third class of models used specific

immunopathological processes that have been implicated in the etiology of NDDs [12]. Some important examples of this class are animal models of maternal exposure to autism-related maternal autoantibodies [138-140], toll-like receptor 7 agonists [141], allergic disorders and asthma [84, 142, 143].



Fig.3 Contribution of epidemiologic and science studies to translational research of MIA in NDDs [12]

Pioneers in the used of MIA as model for NDDs were Fatemi and colleagues. They exposed pregnant mice to human influenza virus and found that the offspring showed several neuropathological alterations. Several following studies, reported that the offspring of mothers treated with influenza virus showed deficient corticogenesis and brain atrophy, impaired development of the corpus callosum, reduced hippocampal volumes, and decreased expression of γ -aminobutyric acid (GABA) markers such as reelin [58, 59, 144, 145], long-term deficiency in serotonin (but not dopamine) production [146] and a set of behavioural abnormalities in adulthood [136, 147]. The mouse prenatal influenza model has recently extended in rhesus monkeys, demonstrating reduction in grey and white matter in distinct cortical and parieto-cortical brain regions of offspring [66].

Reference	Treatment and Species	Timing of Challenge	Behavioural Findings	Neuropathological Findings	
Fatemi et al. (1999) [58]	Influenza (IN) Mouse	E9	ND	 ↓ Reelin expression in cortical layer I and hippocampus ↓ Ventricular size ↑ Brain size Defective corticogenesis, pyramidal cell atrophy 	
Shi et al. (2003) [136]	Influenza (IN) Mouse	E9.5	↓ PPI↓ Exploratory behaviour ↓ Social interaction	ND	
Shi et al. (2009) [61]	Influenza (IN) Poly(I:C) (20 mg/kg, IP) Mouse	E9.5 (influenza) E12.5 (Poly(I:C))	ND	↓ Purkinje cells in lobule VII of cerebellum Delayed migration of granule cells	
Kirsten et al. (2012) [148]	LPS (100 µg/kg, IP) Rat	E9.5	↓ Play behaviour ↓ Social interaction ↓ USVs ↓ Exploratory behaviour ↑ Repetitive behaviour No change in anxiety-like behaviour	ND	
Xuan et al. (2014) [149]	LPS (75 µg/kg, IP) Poly(I:C) (20 mg/kg, IP) Mouse	E11.5, 12 (LPS) E12.5 (Poly(I:C))	↓ Locomotor activity * ↓ Social approach * ↑ Repetitive behaviour *	ND	
Sharova et al. (2014) [150]	LPS (45 µg/kg, IP) Mouse	E11.5	ND	↓ Neuron number in forebrain area ↑ Neuron number in nasal and olfactory bulb Altered gonadotropin-releasing hormone neuron migration	
Wischhof et al. (2015) [157]	LPS (100 µg/kg, IP) Rat	E15, 16	↓ PPI * ↓ Recognition memory	↓ Myelination in cortex and limbic regions ↓ PARV-expressing interneurons in medial prefrontal cortex, entorhinal cortex, and hippocampus	
Batinić et al. (2016) [158]	LPS (100 µg/kg, IP) Rat	E15, 16	↓ Locomotion * ↓ Response to amphetamines * ↓ Spatial learning and memory *	ND	
Fernández de Cossio et al. (2017) [159]	LPS (100 µg/kg, IP) Mouse	E15	↓ USV duration ↓ Social behaviour ↑ Stereotypical behaviour ↑ Repetitive behaviour *	Increased spine density in granule cells * No effect on pyramidal cells	
Kirsten et al. (2017) [160]	LPS (100 µg/kg, IP) Rats	E9.5	† Repetitive behaviour	Reduced dopaminergic activity in the hypothalamus	
Wu et al. (2018) [161]	LPS (75 µg/kg, IP) Mouse	E14.5	↑ Anxiety-like behaviour ↓ Social interaction ↑ Depression-like behaviour	Aberrant cytoarchitecture and lamination in neocortex ↓ Intermediate progenitor cells and astrocytes in neocortex	
Meyer et al. (2006, 2008) [152, 162] Li et al. (2009) [163]	Poly(I:C) (5 mg/kg, IV) Mouse	E9, 17	↓ Exploratory behaviour * ↓ Reversal learning ↓ PPI * ↑ Repetitive behaviour * ↑ Locomotor activity	↓ Hippocampal reelin expression ↓ Ventricular volume *↑ Dopamine-relater marker expression Altered glutamate-related marker expression	
Abazyan et al. (2010) [165] Lipina et al. (2013) [103]	Poly(EC) (5 mg/kg, IP) Mouse	E9	↓ PPI↓LI↓ Exploratory behaviour Hyperactivity	ND	
Hsiao et al. (2012) [164]	Poly(I:C) (20 mg/kg, IP) IL-6 (5 μg, IP) Mouse	E12.5	↓ PPI↓ LI↓ Exploratory behaviour	ND	
Malkova et al. (2012) [85]	Poly(I:C) (5 mg/kg, IP) Mouse	E10.5, 12.5, 14.5	↓ USVs ↓ Social interaction ↓ Female-induced scent marking ↑ Repetitive behaviour	ND	
Ehninger et al. (2012) [166]	Poly(I:C) (20 mg/kg, IP) Mouse	E10.5, 12.5, 14.5	No change in social approach, exploratory behaviour, or olfactory function	ND	
Hsiao et al. (2013) [167] Schwartzer et al. (2013)[168] Wu et al. (2015) [169]	Poly(I:C) (20 mg/kg, IP) Mouse	E12.5	↓ PPI↓ USVs↓ LI↓ Social approach ↑ Repetitive behaviour ↑ Anxiety-like behaviour ↑ Depressive-like behaviour	↓ Neuronal proliferation, maturation and survival in dentate gyrus ↓ VEGFA-VEGFR2 hippocampal expression Impaired hippocampal long-term potentiation	

Table 1. Main MIA animal models: rodent and primate models [70]

Reference	Treatment and Species	Timing of Challenge	Behavioural Findings	Neuropathological Findings	
Giovanoli et al. (2013) [172]	Poly(I:C) (1 mg/kg, IP) Mouse	E9	↓ LI No change in PPI or anxiety-like behaviour	↑ Reelin expression No change in microglia activation or GABAergic interneurons in CA regions	
Missault et al. (2014) [171]	Poly(I:C) (4 mg/kg, IP, IV) Rat	E15	↓ PPI ↑ Depressive-like behaviour No change in locomotor activity	No change in microglial number	
Giovanoli et al. (2016) [170]	Poly(I:C) (5 mg/kg, IV) Mouse	E9	ND	Presynaptic hippocampal deficits No change in microglia or astrocyte density	
Pendyala et al. (2017) [173]	Poly(I:C) (20 mg/kg, IP) Mouse	E12.5	↓ USVs ↑ Repetitive behaviour No change in motor coordination	Proinflammatory state in cerebellum Reduction in cerebellar synaptic organising proteins	
Lietal (2018) [174]	Poly(I:C) (10 mg/kg, IV) Rat	E9	\uparrow Locomotion \downarrow PPI \downarrow LI	Increased prefrontal cortex and hippocampus activity Increased activation of microglia	
Murray et al. (2019) [175]	Poly(I:C) (10 mg/kg, IP) Rat	E15	ND	Increased activation of microglia in hippocampus *	
Lins et al. (2019) [176]	Poly(I:C) (20 mg/kg, IP) Rat	E15	↓ Social interaction * No change in startle or PPI	ND	
Amodeo et al. (2019)[177]	Poly(I:C) (20 mg/kg, IP) Mouse	E12.5	↓ Social behaviour ↓Reversal learning	al Dysregulation of potassium ion channel activity in frontal cortex	
Carlezon et al. (2019) [178]	Poly(I:C) (20 mg/kg, IP) LPS (10 mg/kg, SC, postnatal day 9) Mouse	E12.5	↓ USVs ↓ Social behaviour * ↑ Anxiety-like behaviour *	Pro-inflammatory state in prefrontal cortex, amygdala, hippocampus and thalamus *	
Haida et al. (2019) [179]	Poly(I:C) (20 mg/kg, IP) Mouse	E12.5	↓ Social behaviour * ↓Motor coordination *	Reduced number of Purkinje cells in cerebellum * Reduced number of neurons in motor cortex*	
Samuelsson et al. (2006) [180]	IL-6 (9 µg/kg, IP) Rat	E10, 12, 16 or E16, 18, 20	↓ Spatial learning	↓ Neuron number in CA regions ↑ Astrogliosis ↑ Apoptosis ↑ GFAP expression	
Smith et al. (2007) [101]	IL-6 (5 μg, IP) Poly(I:C) (20 mg/kg, IP) Mouse	E12.5	↓ PPI ↓ LI ↓ Exploratory behaviour ↓ Social interaction	ND	

IN, intranasal; E, embryonic day; ND, not determined; PPI, prepulse inhibition; LPS, lipopolysaccharide; IP, intraperitoneal; IV, intravenous; USV, ultrasonic vocalisations; LI, latent inhibition; poly(EC), polyinosinic; polycytidylic acid; IL-6, interleukin-6; CA, cornu Ammonis. * Effects were sex-, age-, or genotype-dependent.

Reference	Treatment and Species	Timing of Challenge	Behavioural Findings	Neuropathological Findings	
Short et al. (2010) [66]	Influenza (IN) Rhesus macaque	Early 3rd trimester	Altered mother-infant interaction	\downarrow Total and cortical grey matter	
Willette et al. (2011) [65]	LPS (2 or 4 ng/kg, IV) Rhesus macaque	Early 3rd trimester	↓ PPI ↑ Emotionality No change in social interaction	↓ Medial temporal lobe grey matter ↑ Total white matter volume	
Bauman et al. (2014)[131]	Modified poly(I:C) (0.25 mg/kg, IV) Rhesus macaque	Late 1st and 2nd trimester	↓ Affiliative vocalisations ↓ Social approach ↑ Motor stereotypy Abnormal social behaviour Abnormal attachment to mother	ND	
Machado et al. (2015) [88]	Modified poly(I:C) (0.25 mg/kg, IV) Rhesus macaque	Late 1st trimester	↓ Social attention ↓ Visual fixation	ND	
Weir et al. (2015) [181]	Modified poly(I:C) (0.25, 0.5, or 1 mg/kg, IV) Rhesus macaque	Late 1st trimester	ND	↓ Apical dendrite size in prefrontal cortex ↑ Number of oblique dendrites	
Rose et al. (2017) [182]	Modified poly(I:C) (0.25 mg/kg, IV)	Late 1st or 2nd trimester	↑ Stereotyped behaviours	ND	
Bauman et al. (2019) [183]	Modified poly(I:C) (0.25 mg/kg, IV)	Late 1st or 2nd trimester	ND	↑ Striatal dopamine in late adolescence	

IN, intranasal; LPS, lipopolysaccharide; IV, intravenous; PPI, prepulse inhibition; ND, not determined; poly(EC), polyinosinic:polycytidylic acid.

Table 1 Main MIA animal models: rodent and primate models [70]

The majority of current MIA models are based on maternal exposure to non-virulent immuneactivating agents, such as poly I:C [5, 11, 57, 147] or LPS [9, 148-151]. Although these models offer some advantages, they are unable to reproduce the full spectrum of immune responses normally induced by infectious pathogens (such as influenza virus). For instance, they cannot reproduce the real-life influences in humans other than the primary exposure of interest or they cannot stimulate pathogen-specific humoral and cellular immune reactions, which may be part of the mechanisms of effects observed in the offspring [12]. However, the increasing in the used of these non-virulent immune-activating agents is based on their safety (they do not require a stringent biosafety level as infectious pathogens) and they allow to control the intensity and duration of the maternal immune response. The ability to restrict the immune response to a specific time point in gestation is important because not only allow to identify the effects of MIA on brain and behavioral functions in offspring, but also to determine if these are influenced by a precise gestational timing [100, 132, 136, 152-155]. Finally, these models are capable to alter pro- and anti-inflammatory cytokine levels in placenta, amniotic fluid and fetus, including the brain [100, 152, 156].

However, with a more emerging role of MIA as model for NDDs, difficulties in the reproducibility of the experiment are also emerging. Indeed, MIA paradigm is quite heterogeneous: each laboratory uses different strain of animals, doses, immune stimulus, time to immune insult, age of offspring, brain regions studied that can influence the reproducibility of experimental outcomes.

3.1. Viral model of infection: polyriboinosinic:polyribocytidylic acid

Polyriboinosinic:polyribocytidylic acid (poly I:C) is a synthetic double-stranded RNA analogue, used commonly to mimic aspects of viral infection. It is recognized as foreign by the mammalian immune system through the transmembrane protein toll-like receptor 3 (TLR-3) present in endosomal membrane [184]. TLRs are a class of pathogen recognition receptors which recognize invariant structures present on virulent pathogens and in the case of poly I:C, it is recognized by doublestranded RNA and induces translocation of nuclear factor κ light-chain-enhancer of activated B cells (NF- κ B) to the nucleus and induction of chemokines (CXCL) and cytokines, including CXCL-1, IL-1 β , IL-6 and TNF- α , type I of interferons [185-188] and HPA axis (fig.4) [186, 189]. Although, this model does not readily mimic the precise immunological insults normally induced by viral exposure, it is able to mimic the cytokine-associated viral acute phase response in maternal host and in foetal environment [147]. A limit in the use of poly I:C is related to its manufacture. Although it is a synthetic analogue, change in its manufacture can have significant effects on its efficacy to drive the maternal immune response. Indeed, in *vitro* and in *vivo* studies showed that poly I:C length varies among manufactures and it can affect the magnitude of immune response [6, 190, 191]. Another limit in the use of poly I:C is the gestational timing of poly I:C administration, the doses and the type of animal used.

Offspring prenatally treated with poly I:C have been reported to have significant abnormalities in several behaviors, including acoustic startle response, decrease in exploratory behavior, increase in immobility, decrease in social interaction [9, 147, 185, 192-194] (Table 2). Moreover, they also showed increased level of gamma aminobutyric acid (GABA)_A, receptor α_2 immunoreactivity and dopamine hyperfunction as well as delay in hippocampal myelination [63, 195, 196]. Other neurochemical deficits observed in poly I:C models are reduction in NMDA receptor hippocampal expression and reduced numbers of reelin and parvalbumin-positive cells in prefrontal cortex as well as reduction in D1 and D2 receptors in prefrontal cortex and enhanced tyrosine hydroxylase in striatum [162, 197].

All these effects are dependent on the prenatal timing, poly I:C doses and rodent species. Since poly I:C induces a controlled immune reaction lasting 24-48h, it is used to study the most vulnerable pregnancy times. Meyer and colleagues demonstrated that maternal poly I:C-induced immunological stimulation at different gestational times provokes distinct psychopathological and neuropathological symptom clusters in offspring [147]. For instance, prenatal poly I:C treatment on gestational day (GD) 9 (early-middle pregnancy) leads to a pathological profile characterized by deficit in exploratory behavior, abnormalities in selective associative learning, impairments in sensorimotor gating, enhanced sensitivity to the indirect dopamine receptor agonist AMPH and deficit in spatial working memory when the demand on temporal retention is high [152, 197, 198]. On the other hand, the same poly I:C treatment on GD17 (late pregnancy) leads to impairment in the discrimination reversal learning, deficits in spatial working memory and recognition memory even when the demand on temporal retention is low, potentiated response to AMPH and to dizocilpine (a non-competitive NMDA-receptor antagonist) and also can induced impairs sociability and decreased in cerebrospinal fluid volume [152, 163, 197-200]. This difference observed between early/middle (GD9) and long (GD17) gestation may be related to different symptoms of SZ. Viral-like immune challenge at GD9 induced in mice a variety of abnormalities associated with positive symptoms including hyperdopaminergic state specially in mesolimbic areas [201], increased sensitivity to acute dopaminergic stimulation [132, 162, 197] and increased in striatal dopaminergic activity [64, 132, 197], while viral-like immune activation on GD17 is associated with hypodopaminergic and hypoglutamatergic state in prefrontal cortical and hippocampal areas [199], cognitive and negative

symptoms including preservative behavior, social interaction deficits, anhedonic behavior and behavioral/cognitive inflexibility [198-200].



Fig.4 Involvement of the TLR3 and TLR4 signalling pathways in neuropsychiatric disorders. [202]

3.2. Bacterial model of infection: lipopolysaccharide

Lipopolysaccharide (LPS), a cell wall component of gram-negative bacteria, is a well characterized model to mimic an innate acute phase response to bacterial infection. LPS is recognized mainly by the pathogen recognition receptor transmembrane protein toll-like receptor 4 (TLR-4) presents on the cellular membrane [203]. LPS by binding TLR-4 triggers a signal transduction cascade that leads to the activation of transcription factors, such as NF- κ B, which induces activation of cytokines, chemokines, prostaglandins, leukotrienes and HPA axis (Fig.4) [89-94]. These pro-inflammatory factors, particularly IL-1, IL-6 and TNF- α , provoke a placental dysfunction leading to

activation of inflammatory response in the blood and in the brain of fetus, including cytokines, chemokines, prostaglandins and glia cells, that can induce changes in brain function of offspring (Fig.5) [9,110,111]. Like poly I:C, also LPS induces immunological challenges that are time-limited, ranging from 24 to 48 h depending on the precise dose used. This allows to set the start of maternal immune activation with a specific period of fetal development [132, 204].



Fig.5 Potential LPS mechanisms mediating effects of prenatal infection on brain function [9]

There are some analogies between the cytokine-associated inflammatory responses triggered by LPS and poly I:C [203, 205], but there are considerable differences in the activation of the total immune response. For instance, poly I:C induces activation of type I IFNs that stimulate antiviral immune responses [205]. By contrast, LPS is more effective in stimulating the production and secretion of TNF- α from innate immune cells such as macrophages [206]. This difference can explain why LPS is more potent than poly I:C in triggering anorexia, lethargy, and febrile responses [207]. Furthermore, LPS appears to induce activation of microglia during embryonic stage, whereas poly I:C appears to induce microglia alterations at postnatal or adult age [208,209].

Offspring prenatally treated with LPS have been reported to have significant abnormalities in several behaviors, including alteration in ultrasonic vocalizations (USVs) in pups [148,2010], deficit in prepulse inhibition [133, 211, 212], increase in anxiety, impairment in spatial learning and social

	Principal Mode of Action	Behavioral and Cognitive Abnormalities in Adult Offspring Born to Immune-Challenged Mothers								
Immunogen		Sensorimotor Gating	Selective Attention	Social Behavior	Exploratory Behavior	Working Memory	Cognitive Flexibility	Sensitivity to Psychotomimetic Drugs	Methodological Advantages	Methodological Disadvantages
Influenza	Broad innate and adaptive antiviral immune response, including production of cytokines and antibodies, and activation of B- and T- cells after maternal intranasal applications	ţ	ND	ţ	11	ND	ND	1	Full spectrum of immune response normally induced by infections, including antibody production	Stringent biosafety precautions necessary No easy control of immune response intensity and duration
Poly(EC)	Recognition by TLR3 and induction of cytokine- associated viraHike acute phase response after systemic maternal administration.	111	+++	11	11	111	111	***	No stringent biosafety precautions necessary Strictly limited duration of immune response Easy control of (cytokine- associated) immune response intensity	Limited immune response spectrum
LPS	Recognition by TLR4 and induction of cytokine- associated bacterial-like acute phase response after systemic maternal administration.	11	ND	11	11	ND	ND	t	No stringent biosafety precautions necessary Strictly limited duration of immune response Easy control of (cytokine- associated) immune response intendity	Limited immune response spectrum Marked fetal losses due to spontaneous abortion (high doses)
Turpentine	Local Essue damage, recruitment and activation of immune cells, and secretion of pro- inflammatory cytokines after maternal intranuscular intection.	ļ	ND	ND	ND	ND	ND	Ţ	No stringent biosafety precautions necessary Strictly limited duration of inflammatory response Maternal localization of maternal inflammatory response	Limited immune response spectrum Muscular injury/trauma
IL-6	Induction of fever and acute phase responses after systemic maternal administration.	1	1	ł	ł	ND	ND	ND	No stringent biosafety precautions necessary Strictly limited duration of immune response Easy control of immune stimulus intensity	Limited immune response spectrum

Table 2. A Sample of long-term behavioral and cognitive dysfunctions as identified in developmental immune activation models in rats and mice [11]

interaction, increase in repetitive behavior [113, 114, 148, 186, 213] increase in recognition of novel object and exploration in familiar object [214,215], increase in ethanol preference [216] and decreased sexual behavior [217] (Table 2).

Morphological changes observed in the offspring prenatally treated with LPS appear normally several days to months after birth. LPS induces increase in cerebral cortical lesions [218] and increase in striatal apoptosis [219], decrease in hippocampal neurogenesis and decrease in dendritic length, arborization and spine density in hippocampus and medial prefrontal cortex [220]. Moreover, LPS induces cell death in white matter and decreased myelin basic protein immunostaining [219, 221-224]. Changes in the level of neurotransmitters were also found after administration of LPS; it reduces tyrosine hydroxylase (TH) in dopaminergic neurons [225-229], decreases DA level in nucleus accumbens and *striatum* [133, 213, 225, 227, 229, 230] and also LPS decreases serotonin levels in various brain regions [231]. Regarding glutamatergic function, LPS increases AMPA and NMDA receptor levels in hippocampus [114, 232].

4. Genetic risk factor in SZ and ASDs

Pregnancy exposed to infections does not always develop several neurodevelopmental brain disorders such as SZ or ASD [51]. This suggests that the etiology of neurodevelopmental disorders can be a combination of multiple hits (exposure to more than one risk factor), such as environmental factors [172, 174, 235, 236] and genetic mutations [103, 165, 166, 233]. In this contest, MIA appears to act as a "disease primer" that makes the individual more susceptible to the effects induced by other hits in triggering disease related symptoms later in life [4, 234] (Fig.6).



Fig.6 MIA as a disease primer [4]

The combination of MIA models with genetic animal models of NDDs may represent a fruitful approach to identify critical interactions between genetic and infection-associated environmental factors and to assess their modulatory influence on brain development. Therefore, the long-term brain and behavioral effects of MIA models may be compared to animals with different genetic backgrounds. Indeed, in these studies based on the involvement between genetic and environmental factors, genes directly involved in innate and acquired immunity were examined. Some of these genetic risk factors are promoter polymorphisms of pro-inflammatory [37, 238] and anti-inflammatory cytokines [239, 240], human leukocyte antigens (HLA) and alleles [241, 242]. For instance, Meyer et al. [197] have compared the neuropathological consequences of prenatal poly I:C exposure in control mice and transgenic mice constitutively overexpressing the anti-inflammatory cytokine IL-10 in macrophages. They showed that enhanced IL-10-mediated anti-inflammatory signalling during prenatal development is sufficient to prevent brain abnormalities in the adult offspring [197]. In another study, it has been demonstrated that poly I:C prenatal exposure is

inefficient in inducing alterations in brain development in animals with a genetic deletion of IL-6 compared with control animals [101].

In addition to immune-related genetic factors, the MIA models can be also used to identify a possible synergism between prenatal immune challenge and aberrant genes which are considered the major genetic susceptibility factors of neuropsychiatric disorders of neurodevelopmental origin. For schizophrenia, this may include neuregulin-1 (NRG-1), catechol-O-methyltransferase (COMT), disrupted in schizophrenia-1 (DISC1), and V-akt murine thymoma viral oncogene homolog 1 (AKT1) [243] and for ASD it may include methyl-CpG-binding protein-2 (Mecp2), ubiquitin protein ligase 3A (Ube3A), neuroligin (Nlgn), Shank proteins (SHANK3), contactin-associated protein-like2 (CNTNAP2), fragile mental retardation 1 locus (FMR1) and serotonin transporter (SERT) [244-246] It has been thought that disruption of these genes synergically with prenatal infection may enhance the risk to develop NDDs [5,247,248]. For instance, Abazyan and colleagues demonstrated that mutant DISC1 mice prenatally treated with poly IC (GD9) developed several both behavioral (reduced social interaction) and neuro-morphological (reduction spine density in hippocampal neurons and volumetric reduction of amygdala) changes that were not present in immunologically non-challenged mhDISC1 mice [147, 165].

5. p50^{-/-} mice

In our laboratory we are studying a new potential gene involved in the pathogenesis of NDDs, NF- κ B1 coding for the NF- κ B p50 subunit. NF- κ B represents a family of inducible transcription factors present in all type of cells, which regulates a large group of genes involved in different processes of inflammation, immunity, cell proliferation, apoptosis and neural plasticity [249-257]. This family is composed of five related members: RelA (also named p65), RelB, c-Rel and the precursor proteins NF- κ B1 (p105) and NF- κ B2 (p100), which are processed into their active forms, p50 and p52, respectively. These transcription factors generally work as homodimers or heterodimers, and once translocated to the nucleus, they induce or repress specific gene expression by binding to the canonical kB sites or to non-canonical sequences [258-260]. NF- κ B pathway can be activated by two major signalling pathways, the canonical and non-canonical pathway [261, 262]. The canonical NF- κ B pathway responds to different stimuli, including cytokines, growth factors, mitogens, microbial components and stress agents [263-265]. In the canonical pathway, the heterodimers p50 and p65 are retained in the cytoplasm through their interaction with the nuclear factor of kappa light polypeptide gene enhancer in B-cells inhibitor alpha (I κ Ba) proteins (Fig.7A). I κ Ba is phosphorylated by the

inhibitor of NF-kB kinase subunit beta (IKKb, also termed IKK2), for degradation and released from the p50/p65 complex [252, 266, 267]. I κ B α dissociation from the p50/p65 complex allows the NF- κ B members to translocate to the nucleus and exert their functions as transcription factors [253, 267, 268]. In contrast, the non-canonical NF- κ B pathway selectively responds to a specific group of stimuli, including ligands of a subset of TNFR superfamily members such as LT β R, BAFFR, CD40 and RANK [261, 269] and it acts on the relies of the NF- κ B2 precursor protein, p100/RelB [261,

269].



Fig.7 Signalling of NF-κB pathway [279]

p100/RelB complexes are held inactive in the cytoplasm until p100 is phosphorylated and partially processed to become the active p52/RelB form, which will translocate to the nucleus to exert its function (Fig.7B) [261, 265, 269]. Functionally, canonical NF-κB pathway is involved in immune response, whereas the non-canonical pathway appears to be involved as an additional signalling to the canonical pathway in the regulation of specific functions of the adaptive immune system [264, 269].Although the pivotal role of NF-κB signalling is to regulate the immune system and inflammation, it has been demonstrated to regulate different stages of embryonic development and adult neurogenesis [270-272]. Indeed, the importance of NF-κB pathway is highlighted by the embryonic lethality of several KO mice with disrupted key components of the NF-kB pathway [273]. For instance, KO mice for p65 [274], IκBα [275] and IKKα/IKKβ [276] showed developmental defects, dying in early stages of embryonic development [274,277,278]. These effects are related to the regulation by NF-κB of early differentiation of neural stem cells, as well as the proliferation/apoptosis of neuroepithelial cells, neuroblast migration, maturation and neuron plasticity [279]. Moreover, NF- κ B has an important role also during postnatal and adult period. In the postnatal nervous system development and then in adult brain, NF- κ B induces the expression of genes involved in cell differentiation and survival [280] and also it has a key role in neurogenesis and neuronal plasticity as adults [271,281,282].

5.1. $p50^{-/-}$ mouse as model of NDDs

p50^{-/-} mice are generated by targeted deletion of the NF-κB1 gene that encodes for the precursor of the p50 NF-κB subunit. They are fertile and viable with no macroscopical developmental and histopathological abnormalities, although at the age of 6-10 months their body weight decreases and about 25% begin to die before old age [283]. p50^{-/-} mice show functional and behavioral alterations. For instance, p50^{-/-} mice showed functional deficit in some sensorimotor tests, they exhibited anxietylike behavior, elevated exploratory behavior, deficit in acquiring escape behavior and in short-term memory and reduced tendency to establish dominant-subordinate relationships among cage mates [283-285].

Our research group demonstrated that p50^{-/-} mice at postnatal day (PND) 2 present an increase in brain lipid binding protein (BLBP) positive cells, a marker of radial glial cells, an increase in reelin, a protein involved in the neuronal migration, and increased thickness of deeper cortical layers [286]. Adult mice showed abnormal columnar organization in the somatosensory cortex with an increase in cell density per column, decreased cell spacing and loss of linearity of minicolumn. Moreover, they showed a decrease in somatostatin and parvalbumin-expressing interneurons and an alteration in cortical neurons neurite orientation and a reduction in synaptic connections (Synapsin I protein levels). From a behavioral point of view, p50^{-/-} mice have an increase in locomotor and exploratory activity, deficit in reciprocal social interaction (both in male-male social test and male-female social test), increased repetitive behavior and altered ultrasonic vocalizations [286-288].

6. Possible pharmacological treatments

The origin of NDDs are so varied and heterogeneous that finding a preventive cure for these disorders is currently an extremely demanding challenge.

In vivo rodent models of MIA represent a unique opportunity to evaluate possible pharmacological treatment related to prenatal exposure to pathogen stimuli. To date, there are three potential strategies to prevent and/or act on neurodevelopmental symptoms induced by immune challenge: (a) during the

pre-conceptional period, (b) during the acute phase of maternal exposure to infection, and (c) during the early phases of the offspring' postnatal development [5].

Some severe viral infections may be prevented by appropriate vaccinations [289, 290]. Indeed, vaccinations during pre-conceptional period reduce the incidence of infection mediated neurodevelopmental brain disorders. For instance, Brown and colleagues reported that the association between prenatal influenza infection and higher risk of schizophrenia would have been reduced by 14-21% if maternal infection had been prevented by influenza vaccinations [21]. Nevertheless, vaccinations may reduce the risk of schizophrenia associated with prenatal exposure to genital and reproductive infections [26].

Intervention during the acute phase of maternal infection may be another important period for pharmacological treatment. Blocking the inflammatory response, that is responsible for the morphological and behavioral alterations observed in the offspring, is currently the most studied strategy because it aims to prevent all these alterations. For instance, administration of IL-10, (an anti-inflammatory cytokine) or anti-inflammatory drug, N-acetylcysteine, to pregnant rodents given uterine LPS, prevents foetal loss and white matter damage or hippocampal dysfunctions and learning deficits in the offspring, respectively [113, 291-293]. However, a strong attenuation in the inflammatory response during pregnancy may facilitate rather than limit the infectious processes in the maternal host. For instance, mice with genetically enforced of IL-10 over-expression showed an increase in the bacterial loads and retarded bacterial clearance if exposed to mycobacterial infection [294]. Similarly, high levels of IL-10 reduce the host defence against pneumococcal pneumonia in mice [295]. Furthermore, molecules with anti-inflammatory properties may also have teratogenic effects [296-298].

Currently, therapeutic interventions during juvenile offspring represent the only pharmacological treatment available for NDDs. This is possible because the full spectrum of behavioral and morphological abnormalities induced by prenatal immune challenge continues also during the post-pubertal period [62, 63, 152, 162, 299]. However, the pharmacological treatment in the peri-adolescents is based on the action of behavioral deficits and not on the preventing the development of symptoms. Indeed, chronic administration of antipsychotic or antidepressant drugs to peri-adolescent and/or adolescent subjects that showed psychotic symptoms, has recently been introduced as preventive treatment of schizophrenia and other psychosis-related disorders in humans [300-303]. Although, the use of these drugs has provoked several ethical concerns and therefore still remains highly controversial [304, 305], several studies on MIA models have shown that peri-adolescent treatment with antipsychotic drugs are able to normalized the symptoms in subjects

observed after exposure to pathogens [62, 63, 136, 211, 212, 306-308]. For instance, clozapine improves spatial working memory and long-term memory deficits in rodents [63, 147, 308]. Risperidone appears to rescue from aberrant hippocampal neurogenesis, parvalbumin expression and from social deficits observed in rodents after exposure to poly I:C or LPS [202, 287, 309]. A long-term treatment with fluoxetine or the antipsychotic aripiprazole, has shown to completely reverse amphetamine-induced locomotor activation in offspring after poly I:C exposure [310]. Minocycline, a microglia modulator, prevented the emergence of MIA-induced behaviours and changes in pro-inflammatory cytokines in the adult brain [13, 311, 312].

CHAPTER 2

COMPARISON BETWEEN AN LPS-INDUCED AND A GENETIC (p50 $^{\prime -}$) MOUSE MODEL OF NEURODEVELOPMENTAL DISORDERS

In the first part of my PhD program I focused my attection on studying the effect of maternal immune activation in NDDs and more specifically the role of NF- κ B pathway in these disorders. For this reason, p50^{-/-} mice lacking the NF- κ B1 gene encoding the precursor of the p50 NF- κ B subunit, were used. Along with this genetic model, a wel-known MIA model (LPS-induced model) was also used to analyze the effects of a different type of maternal immune activation (genetic and induced) in the offspring of these two models.

In order to understand the effects of maternal immune activation and how the alteration in the NF- κ B pathway can affect offspring neurodevelopment, different types of behavioral tests were conducted at different time of mouse's life (infancy, youth and adulthood). Furthermore, to understand whether external perturbation in the maternal inflammatory response (LPS) or genetic alteration in maternal inflammatory response (p50^{-/-} mice) can induce structural and functional changes, the central and peripheral inflammation and the cortical cytoarchitecture of the adult offspring were analyzed.

7. Materials and methods

7.1. Animals

In this study, wild type (B6;129PF2) mice and NF-κB p50^{-/-} mice (B6;129P2-Nfκb 1tm 1 Bal/J) were used. Mice were purchased from The Jackson Laboratories (Bar Harbor, ME, USA). Wild type (WT) and p50^{-/-} mice (from now called KO mice) used for these experiments were obtained in our animal facility from mating mice. Each breeder adult male mouse was maintained in the same cage with an adult virgin female for mating. A late gestation (from day 15 after fecundation) female was individually housed and inspected twice daily at 10:00 a.m. and 6:00 p.m. to determinate the day of pup's birth (PND 0).

Animals were housed two-to-three per cage in a 12-h light/dark cycle (light phase: from 8:00 a.m. to 8:00 p.m.), with food and water available ad libitum. The cage size was 15 cm wide \times 35 cm long \times 12 cm deep. Temperature (22 °C) and humidity (50% \pm 1) in the cage were automatically

regulated by Sealsafe Aero System by individually ventilated cages with EPA filters (Tecniplast Group, Varese, Italy). Experiments were conducted in conformity with the European Communities Council Directive of 1986 (86/609/EEC) and approved by the Italian Ministry of Health (910/2016-PR), Animal Care and Use Committee of the University of Brescia.

7.2. MIA model

Female WT mice were mated with male WT mice (1 female -1 male) at 12–14 weeks of age for a period of 24 h. The morning after mating, the presence of a vaginal plug was verified, and in case of positivity, this was considered the gestational day (GD) 0. Successively, female WT mice (from now on called WT dams) were divided randomly in 2 groups of 4–6 dams each.

The first group of WT dams was treated with vehicle (VH, phosphate-buffered saline, PBS) subcutaneously (s.c.) on alternate days from GD1 to the end of pregnancy. The second group of WT dams was injected with 0.3 mg/kg of LPS from Escherichia coli (L-3129 serotype 0127:B8, MilliporeSigma, Merck KGaA, Darmstadt, Germany) subcutaneously (s.c.) on alternate days from GD1 to the end of pregnancy [211].

7.3. Offspring

Offspring obtained from the WT, KO and WT-LPS dams were divided in three groups (WT-mice, KO-mice and WT-LPS mice) and housed two to three per cage after the weaning (4 weeks). All the structural and behavioral analysis carried out in this study were conducted on the offspring at different time periods: pups (ultrasonic vocalizations and homing test), juvenile mice (olfactory habituation/dishabituation test) and adult mice (open field test, reciprocal social interaction test, marble burying test, home cage observation test). After the behavioral tests, mice were sacrificed either to obtain serum for ELISA testing, or brains for immunohistochemistry and minicolumn analysis.

7.4. Immunohistochemistry

Three adult mice per group were used for immunohistochemistry (IHC). At the end of behavioral experiments, mice were anesthetized with chloral hydrate (400 mg/kg) and perfused with 4% paraformaldehyde (PFA). Then, brains were fixed, cryoprotected and coronally crysectioned at 20 μ m. IHC for glial fibrillary acidic protein (GFAP), marker for astrocytes, and ionized calcium-binding adapter molecule 1 (Iba1), marker for microglia, was performed. The following primary antibodies were used: α -Iba1 rabbit (W.D. 1:500, Wako Chemicals GmbH, Neuss, Germany), α -GFAP mouse (W.D. 1:300, Sigma Aldrich, Saint Louis, MO, USA). The following secondary antibodies were used:

biotinylated α -rabbit (W.D. 1:1000, Vector, Burlingame, CA, USA) and biotinylated α -mouse (W.D. 1:1000, Vector, Burlingame, CA, USA), respectively. Immunochemistry signal was detected with 3,3-diaminobenzidine tetrahydrochloride (DAB). For each animal, a complete series of one-in-eight sections (160 µm apart) through the cortex was analyzed, and positive cells were counted. An average of 8 –10 sections per animal was used. Images of the cortex were acquired by using a x20 (Iba1) and a x40 (GFAP) objectives with an Olympus BX41 microscope (Olympus Life Science, Waltham, MA, USA). Iba1- and GFAP-positive cells were counted in the entire cortex for each group. For the cell count, we drew squares with a defined area (width of 200 µm and height of 600 µm for Iba1 cells, and width of 500 µm and height of 600 µm for GFAP cells) by using the ImageJ software (National Institute of Health, Bethesda, Maryland, USA). A minimum of 6 areas for each section were counted. Analyses have been performed in a blinded manner. Data are expressed as Iba1- and GFAP-positive cells per area.

7.5. Cortical column analysis

Three adult mice for group were used for cortical column analysis. Coronal brain sections were stained with cresyl violet (Nissl staining). Images of Nissl-stained brain sections were acquired by using a x10 objective of an Olympus BX41 microscope. Successively, cortical minicolumns were semiautomatically analyzed using the ImageJ software (National Institute of Health, Bethesda, Maryland, USA) as previously described [286]. Anterior cingulate (AC) cortex (corresponding to bregma 1.70 and 1.94 mm) and somatosensory (SS) cortex (corresponding to bregma -1.28 and -1.64 mm) were analyzed in both cerebral hemispheres of three mice per group. A mouse brain atlas (Franklin and Paxinos) was used as a reference to ensure the correct positioning of the cortical images acquired. A rectangular area with the size of a minicolumn (region of interest, ROI) (width 60 µm; height 400 µm) was marked in layers II–IV, where columnar organization of the cortex is clearly defined. Up to 15 ROIs per section, placed at regular, non-overlapping intervals across the image were analyzed. Average cell density and total path length ratio (TPLR), an index of column vertical organization, were measured in each ROI. Only cells having a size of 70–350 μ m² (pyramidal neurons) were included in the count. The cell area range defined was set to restrict cell count to pyramidal neurons (excluding glia and interneurons) and to avoid multiple cell clusters. Analyses have been performed in a blinded manner.

7.6. ELISA test

Levels of interleukin-1 β (IL-1 β) were quantified in serum of 5 WT-, and 6 KO- and 4 WT-LPS adult mice. Mouse IL-1 β ELISA kit (Invitrogen, ThermoFisher Scientific, Vienna, Austria) was used and the test was performed following the manufacturer's protocol.

7.7. Behavioral testing

Behavioral tests were carried out during the light phase of circadian cycle, between 9:00 a.m. and 5:00 p.m. In addition, 32–38 pups, 20 juvenile mice and at least 7 adult male mice (4–6-month-old) per group were used. 6 WT, 6 KO and 6 WT-LPS primiparous (4-6 moth-old) females were used to study the maternal behavior without any influence of previous maternal experiences. During the tests, the operator remained in an adjacent room, separated with a dark sliding door from the test room. All the behavioral analyses have been performed in a blinded manner.

7.8. Mother-pups interaction

Maternal behavior was analyzed for 18 litters derived by 6 WT, 6 KO and 6 WT-LPS primiparous dams (4-6 months-old). From PND 1 to 7, dams were observed twice daily for 1h (at 11 a.m. and at 4 p.m.) as reported by Oddi and colleagues [288,313]. Briefly, one observation was performed every 2 minutes, for a total of 30 observation in 1-hour session. Maternal behaviours, including nursing postures, non-nursing posture, pups grooming and nest building were analysed and expressed as frequencies [314,315].

7.9. Pups behavior

7.9.1. Ultrasonic vocalizations in pups

Ultrasonic Vocalizations (USVs) emitted by 37 WT, 37 KO and 38 WT-LPS pups after maternal separation for 3 min on post-natal day (PND) 4, 6, 8, and 10 were analyzed using Avisoft Bioacoustics system as previously described [288,316]. Briefly, USVs were recorded with ultrasound microphone (UltraSoundGate CM16, Avisoft Bioacoustics, Berlin, Germany) sensitive to frequencies of 10–180 kHz and analysed with SasLab Pro (version 5.2; Avisoft Bioacoustics, Glienicke/Nordbahn, Germany). Number and duration of calls were analysed. Moreover, the body weight of each group of mice was analyzed daily from PND 4 to 10.

7.9.2. Homing test

Homing test has been performed as previously described [317]. Briefly, on PND 14, 37 WT, 37 KO and 38 WT-LPS pups were transferred in a Plexiglas T-maze (arm size: 10 cm length \times 8 cm width). The maze was divided into a start arm, clean arm (covered by clean sawdust) and nest arm

(covered by sawdust collected from the nest of the pups' cage). The nest and clean arms were alternated between right and left between each pup. Each pup was placed in the start arm and was free to explore the maze for 5 min. Videos captured by a camera above the maze were analysed by the operator, measuring first latency to reach the nest, time spent in the nest and in the clean arms and locomotor activity by square crossing.

7.10. Juvenile mice behavior

7.10.1. Olfactory habituation/dishabituation test

Olfactory habituation/dishabituation test has been performed as previously described [288, 318]. Briefly, 20 WT, 20 KO and 20 WT-LPS juvenile mice (6 weeks old) were placed in an empty cage and exposed to different odors, classified as non-social (vanilla and orange) and social odors (urine from same-gender or opposite-gender mice), in three consecutive trials of 2 min duration each. Time of olfactory investigation (considered as sniffing activity up to 2 cm distance from applicator) of each mouse was evaluated.

7.11. Adult mice behavior

7.11.1. Open field test

Open field test was performed as previously described [287]. Briefly, each mouse was acclimated in the procedure room for 10 min, then placed in the center of the arena (40×40 cm Plexiglas box) and allowed to freely explore for 5 min, during which time the mouse was recorded by a camera placed on top of the arena. The Plexiglas box was cleaned after each test session to delete any odor deposited by previous mouse. Mouse locomotor activity, total distance travelled, and average speed were automatically analyzed with Ethovision XT software (version 13, Noldus, Wageningen, The Netherlands).

7.11.2. Reciprocal social interaction test

Reciprocal social interaction test was performed following the Silverman protocol [319]. Briefly, mice were individually housed for 48 h preceding behavioral testing. For the test, after a period of acclimation of 10 min in the procedure room, each mouse was exposed to an age, sex and strain-matched unfamiliar subject (intruder subject) for 10 min. The test was video recorded and analyzed with Observer XT (version 14.1, Noldus, Wageningen, Netherlands). Specific social (nose-to-nose sniffing, anogenital sniffing, body sniffing, following, wrestling, mounting, body contact) and non-social (cage exploring, self-grooming) behaviors were evaluated.

7.11.3. Home cage observation test

In the home cage observation test mice were individually placed in a clean cage, with water and food, and observed for 1 h. The test was video recorded by a digital camera (HD, GigE monochrome) (Noldus, Wageningen, Netherlands) and analyzed by two independent observers. Time spent on self-grooming activity was measured.

7.11.4. Marble burying test

For this test, a protocol modified from Kedia [320] was applied. In detail, a rat cage (37 length \times 18 width \times 21 cm height) was filled with a 5-cm-deep sawdust layer. In the habituation phase, each mouse was placed in the cage and allowed to explore freely for 10 min after which it was removed. Twelve dark glass marbles were spaced evenly in a 3 \times 4 grid on the surface of the sawdust. The mouse was then placed in the cage and was allowed to explore and interact with the marbles for 5 min. At the end of the test, the mouse was removed, and the number of the marbles buried were counted (a marble was considered buried if at least two-thirds of it was covered with sawdust). The test was recorded with digital camera (Noldus, Wageningen, Netherlands) and analyzed by the operator.

7.12. Statistical analysis

Statistical analysis was performed by Prism 6 software (GraphPad, San Diego, CA, USA). The data were analysed by one- or two-way analysis of variance (ANOVA) followed by post hoc tests. For mother-pup interaction, ultrasonic vocalizations pup's analysis and the olfactory habituation/dishabituation tests, the repeated measurements (RM) two-way ANOVA, followed by Tukey's multiple comparison test was used. For homing test and body weight the RM two-way ANOVA, followed by Sidak's multiple comparisons test was performed. For serum analysis the one-way ANOVA followed by the Dunnett multiple comparison test was used. For analysis of minicolumns, the counts of GFAP and Iba1 cells, open field testing, as well as social, stereotyped and repetitive tests, the one-way ANOVA followed by a Tukey's multiple comparison test was used. Data were presented as the means \pm standard error mean (S.E.M.), with the statistical significance level set at p < 0.05.

8. Results

8.1. Both adult p50^{-/-} mice and WT-LPS mice showed a long-lasting activation of peripheral and central inflammation

With this study we wanted to verify whether $p50^{-/-}$ mice can be considered a valid model of NDDs also comparing the offspring of KO dams with the offspring of a validated NDDs model, the LPS-induced model. Initially, we measured the inflammatory markers in both genetic (KO mice) and induced (LPS mice) adult offspring. In particular, we measured in adult mice offspring: a) IL-1 β levels in the serum as a marker of peripheral inflammation by ELISA; b) Iba1- and GFAP-positive cells in the cortex by IHC as markers of central inflammation. As reported in Figure 1A, KO mice



Figure 1. Peripheral and central inflammation on adult KO and WT-LPS offspring. (A) Interleukin-1 β (IL-1 β) serum levels in adult offspring (F (2, 12) = 7.268, p = 0.0086). *p = 0.017 for WT-LPS mice vs. WT mice, **p < 0.008 for KO mice vs WT mice. Data were analysed by one-way ANOVA followed by the Dunnett's post-test analysis (B) GFAP-positive cells as marker of astrocytes in the cortex of adult offspring (F (2, 241) = 15.69, p < 0.0001). * p < 0.0001 for WT-LPS and KO mice vs. WT mice. (C) ionized calcium-binding adapter molecule 1 (Iba1) positive cells as marker of microglia in the cortex of adult offspring (F (2, 157) = 9.049, p = 0.0002). *** p = 0.0001 for KO mice vs. WT mice, *p = 0.02 for WT-LPS mice vs WT mice. Data were analysed by one-way ANOVA followed by Tukey's post-test analysis.

and WT-LPS mice showed increased IL-1 β levels compared with WT mice (% mean ± S.E.M.: WT 81.00 ± 23.75, WT-LPS325.3 ± 14.03, KO 329.6 ± 71.68). This indicates that KO mice show a long-lasting peripheral inflammation in the offspring similar to WT-LPS mice. Looking at the brain, both KO mice and WT-LPS mice reported a significant increase in both Iba1- and GFAP-positive cells in the cortex compared with WT mice (GFAP+ cells/area mean ± S.E.M.: WT 2.46 ± 0.16, WT-LPS 4.27 ± 0.32, KO 3.82 ± 0.27; Iba1+ cells/area mean ± S.E.M.: WT 3.81 ± 0.36, WT-LPS 5.13 ± 0.36, KO 5.88 ± 0.30) (Figure 1B-C). GFAP is a marker of astrocytes, whereas Iba1 is a microglial marker. Taken together, these results suggest that both KO and WT-LPS mice have a permanent alteration in both peripheral and central inflammation that can be compared with a MIA model of NDDs.

8.2. Abnormal organization in the cortex of adult p50^{-/-} mice and WT-LPS mice

To examine the cytoarchitecture of adult KO mice and the effect of a maternal LPS treatment on the cytoarchitecture of offspring, columnar organization in the somatosensory (SS) cortex and anterior cingulate (AC) cortex of three mice per group was analyzed. These two areas were chosen for their importance in the contest of neurodevelopmental disorders [58,321-323]. Disruption of columnar organization can be detected by different parameters, such as an altered number of cells and cell positioning in the column [324]. Only pyramidal neurons were included in the analysis. KO mice showed an increase in cell density within a defined column in the SS cortex compared with WT mice, but not in the AC cortex (Figure 2A-D). Whereas, WT-LPS mice showed an increase in cell density within a defined column in both the SS and AC cortex compared with WT mice (cell density per column mean \pm S.E.M.: somatosensory cortex, WT 20.70 \pm 0.35, WT-LPS 24.27 \pm 0.28, KO 24.82 ± 0.65 ; anterior cingulate cortex, WT 23.63 ± 0.48 , WT-LPS 28.23 ± 1.05 , KO 21.98 ± 0.56) (Figure 2A–D). Furthermore, the path length ratio (TPLR), a measurement of linearity of the cell column, was increased in both KO mice and WT-LPS mice SS cortex compared with WT mice (TPLR in the somatosensory cortex, mean \pm S.E.M.: WT 1.31 \pm 0.013, WT-LPS 1.44 \pm 0.011, KO 1.54 \pm 0.025). Only WT-LPS mice showed a significant difference in TPLR in the AC cortex compared to WT mice (TPLR in anterior cingulate cortex, mean \pm S.E.M.: WT 1.40 \pm 0.020, WT-LPS 1.53 \pm 0.035, KO 1.36 \pm 0.019) (Figure 2B-E). These data demonstrate that both the genetic (p50 KO mice) and induced (LPS) models, show specific cortical structure alterations.



Figure 2. Abnormal columnar organization in the cortex of adult KO and WT-LPS mice. Graphic representation of data obtained from the minicolumn analysis in somatosensory (SS) cortex (A, B) and anterior cingulate (AC) cortex (D, E) of adult WT, WT-LPS and KO mice. (A) Average cell density per column in the SS cortex (F (2, 529) = 35.41, p<0.0001). *** p < 0.0001 for WT-LPS and KO mice vs. WT mice. (B) Path length ratio (TPLR) in the SS cortex (F (2, 529) = 41.27, p=0.0001). *** p < 0.0001 for WT-LPS and KO mice vs. WT mice. (D) Average cell density per column in AC (F (2, 119) = 18.65, p < 0.0001). *** p < 0.0001 for WT-LPS mice vs. WT mice. (E) TPLR in the AC cortex (F (2, 117) = 10.28, p < 0.0001). *** p < 0.002 for WT-LPS vs WT mice. Data were analysed by one-way ANOVA followed by Tukey's post-test analysis. (C) Representative images of minicolumns in WT, WT-LPS and KO mice SS cortex.

8.3. Reduced maternal care in $p50^{-/-}$ mice

Maternal care, such as nursing postures, non-nursing postures, pups grooming and nest building, were analyzed during the first postnatal week (PND1-7) of WT, WT-LPS and KO pups in order to examine whether there were any alterations in maternal behavior of each group (Figure 3). Maternal behavior has been analyzed because several studies have shown that alteration in maternal behaviors can affect pup's development and have long-lasting effect on neuroendocrine and behavioral responses [325-327] in particular on social behavior [328-330]. KO pups received significantly lower nursing
postures compared with WT pups, whereas no differences were found in non-nursing posture, pups grooming and nest building (nursing postures, mean \pm S.E.M.: WT 357.50 \pm 9.31, WT-LPS 350.80 \pm 16.48 and KO 159.20 \pm 36.82). On the contrary, WT-LPS pups showed no difference compared with WT pups, suggesting that LPS treatment does not alter maternal behavior. These data suggest that KO pups received less maternal care which could affect pup's neurodevelopment.



Figure 3. Maternal behaviour assessment in WT, WT-LPS and KO mice. Detailed representation of different maternal activities frequency in WT, WT-LPS and KO mice from PND 1 to PND 7. (Fgenotype (2, 15) = 13.54, p=0.0004). ***p < 0.0001 for KO pups vs WT pups. Data were analysed by two-way ANOVA followed by Tukey's post-test analysis.

8.4. Altered ultrasonic vocalizations and reduced body weight in p50^{-/-} pups

Body weight was measured in pups until PND 12; no significant differences emerged between genotype, except for the last two days (Figure 4A). Indeed, at day 10 KO pups reported a significant decrease in body weight compared with WT pups (weight in grams day 10, mean \pm S.E.M.: WT 5.27 \pm 0.10, WT-LPS 5.52 \pm 0.08 and KO 4.80 \pm 0.13). On the contrary, no differences were observed at day 10 for WT-LPS pups, but at day 12 they showed a significant increase in body weight compared with

WT pups (weight in grams day 12, mean \pm S.E.M.: WT 5.87 \pm 0.15, WT-LPS 6.40 \pm 0.10 and KO 5.50 \pm 0.16).

WT, KO and WT-LPS pups' vocalizations were studied during maternal separation on PND 4,6,8 and 10. As reported in Figure 4B, the USVs-emitted curve of WT pups has the common U-inverted shape, with a pick at day 6. This pattern was exacerbated in KO pups, indeed KO pups emitted significantly more USVs at PND 6,8 and 10 compared with WT pups (number of calls, mean \pm S.E.M. at day 6: WT 230.1 \pm 24.98, WT-LPS 223.4 \pm 26.47, KO 412.6 \pm 14.36; at day 8: WT 130.1 \pm 24.04, WT-LPS 230.9 \pm 24.19, KO 336.6 \pm 22.97; at day 10: WT 108.4 \pm 22.91, WT-LPS 150.6 \pm 24.62, KO 246.3 \pm 23.73). Additionally, looking at call duration, KO pups showed an increase in duration of calls compared to WT pups (duration of calls, mean \pm S.E.M. at day 4: WT 28.43 \pm 2.40, WT-LPS 25.23 \pm 1.90, KO 38.38 \pm 1.41; at day 6: WT 30.30 \pm 1.77, WT-LPS 24.45 \pm 1.46, KO 41.86 \pm 1.48; at day 8: WT 24.77 \pm 1.76, WT-LPS 26.65 \pm 1.70, KO 38.63 \pm 1.50) (Figure 4C). LPS treatment appears to not change significantly neither the number nor the duration of call emitted by WT-LPS pups compared with WT pups. These results show that KO pups have altered ultrasonic



communication, indeed they emitted more numbers and duration of calls when separated from the dams.

Figure 4. (A) Body weight was analysed in pups on post-natal day (PND) 4, 6,8,10 and 12 (F genotype (2, 109) = 11.74, p<0.0001). * p = 0.018 for KO pups vs. WT pups on PND 10. ** p = 0.005 for WT-LPS pups vs. WT pups on PND 12. Data were analysed by two-way ANOVA followed by Sidak's post-test analysis. (B) Number of calls emitted on PND 4, 6, 8, 10 by pups in response to maternal separation during three minute session (F genotype F (2, 109) = 39.00, p<0.0001). *** p<000.01 for KO pups vs. WT pups on PND 6 and 8. **p=0.0007 for KO pups vs WT pups on PND 10. (C) Duration of calls emitted on PND 4,6,8,10 by pups in response to maternal separation during a three-minute session (F genotype (2, 109) = 27.28, p < 0.0001). ***p=0.004 for KO pups vs WT pups on PND 4. ***p=0.0003 for KO pups vs WT pups on PND 6. ****p<0.0001 for KO pups vs WT pups on PND 8. Data were analysed by repeated measurements (RM), two-way ANOVA followed by Tukey's post-test analysis.

8.5. p50^{-/-} pups and WT-LPS does not show alteration in social recognition and motility test

Social recognition in pups was evaluated by means of the homing test; this test permits measurement of the ability of pups to discriminate between their nest (litter-sawdust) odors and new ones (clean sawdust and start empty area) (Figure 5A).



Figure 5. Social recognition analysis in WT, WT-LPS and KO pups. (A) Schematic representation of homing test. (B) Social recognition was evaluated in homing test on PND 14 by measuring the time (s) spent in nest area and clean area (F zone (2, 218) = 22.13, p < 0.0001). * p < 0.0001 for time spent in nest area vs. clean area for WT pups. § p < 0.0005 for time spent in nest area vs. clean area for WT-LPS pups. # p < 0.005 for time spent in nest area vs. clean area for WT-LPS pups. # p < 0.005 for time spent in nest area vs. clean area for KO pups. Data were analysed by two-way ANOVA followed by Sidak's post-test analysis. No significant difference among groups was observed in latency to reach the nest area (C) and number of square crossings (D).

In addition, their motor ability was evaluated. At first, the time spent in each of the two arms of the apparatus was measured: no difference among groups was observed (Figure 5B), they all spent

significantly more time in the nest area (seconds spent in the nest area, mean \pm S.E.M.: WT 174.7 \pm 20, WT-LPS 152.2 \pm 20.92, KO 140.4 \pm 22.32; seconds spent in the clean area, mean \pm S.E.M.: WT 30.19 \pm 12.6, WT-LPS 34.16 \pm 13.68, KO 39.35 \pm 13.78). Additionally, the latency to reach the nest area presented no significant differences between groups (latency in seconds to reach the nest area, mean \pm S.E.M.: WT 119.4 \pm 19.76, WT-LPS 144 \pm 21.24, KO 145.2 \pm 23.08) (Figure 5C). Concerning locomotor activity, no difference emerged among groups, in terms of square crossing (number of square crossing mean \pm S.E.M.: WT 1.46 \pm 0.31, WT-LPS 1.42 \pm 0.30, KO 2.08 \pm 0.46) (Figure 5D). These data demonstrated that in both genetic and induced model there is no alteration in social recognition and motility in pups.

8.6. Olfactory deficit in LPS-induced model

Mice use sense of smell for a wide range of behaviors, such as learning and sociality. To study the effects of prenatal LPS stimulus on olfaction in the offspring, we tested juvenile mice with the olfactory habituation/dishabituation test. During the test, different odors (social and non-social) are introduced to the mouse three times. As expected, both KO and WT mice were not interested in non-social odors (orange and vanilla), but highly interested by social odors (urine from same-gender or opposite-gender mice) (Figure 6). Interestingly, WT-LPS mice showed significant reduction of interest in social odors compared to WT mice (time sniffing in seconds, mean \pm S.E.M.: urine opposite gender 1, WT 11.66 \pm 1.84, WT-LPS 5.36 \pm 1.16 and KO 11.67 \pm 1.70), while KO mice did not show a reduction of interenst in social odors when compared with WT mice. These data suggest that while the genetic model (p50 KO juvenile mice) does not have a deficit in social odors, the prenatal treatment with LPS induced a deficit in social odors in juvenile mice.



Figure 6. Graphic representation of data collected during olfactory habituation/dishabituation test by measuring the time in seconds (s) spent by juvenile mice in sniffing cotton-tipped swabs saturated with different odors (F interaction (22, 627) = 3,744, p < 0.0001). *** p < 0.0001 for urine opposite gender 1, WT-LPS-mice vs. WT mice. Data were analysed by two-way ANOVA followed by Tukey's post-test analysis.

8.7. Behavioral alterations in adult $p50^{-/-}$ mice

Locomotion and exploratory activity of adult WT, KO and WT-LPS mice were measured in the open field test. Adult KO mice showed a significant increase in the total distance travelled and speed compared to WT mice. No significant differences in total distance travelled and speed were observed for WT-LPS mice compared with WT mice (total distance travelled (meters) mean \pm S.E.M.: WT 8.13 \pm 0.61, WT-LPS 11.25 \pm 1.68, KO 17.77 \pm 1.68; speed (m/s) mean \pm S.E.M.: WT 0.027 \pm 0.002, WT-LPS 0.037 \pm 0.005, KO 0.06 \pm 0.006) (Figure 7A). Male–male reciprocal social interaction testing was performed to analyze social behavior. During the 10-min test, the time spent engaging in social and non-social activities was quantified for the analysis. Both KO and WT-LPS mice showed a significant reduction in social activity compared with WT mice (time (seconds) spent engaging in social activity, mean \pm S.E.M.: WT 247.78 \pm 9.03, WT-LPS 194.5 \pm 12.36, KO 98.70 \pm 9.34) (Figure 7B).

The marble-burying test was also performed in order to check an innate and spontaneous behavior in mice: the tendency of burying objects in the sawdust. The number of marbles buried was analyzed at the end of the 5-min test. Both KO and WT-LPS mice showed a significant reduction in number of marbles buried compared with WT mice (% marbles buried mean \pm S.E.M.: WT 64.81 \pm 8.65, WT-LPS 33.33 \pm 8.6, KO 21.61 \pm 6.45) (Figure 6C).

Furthermore, self-grooming activity, a common stereotyped behavior, was analyzed in mice with the home cage observation test. Both KO and WT-LPS mice showed a significant increase in time spent on self-grooming compared with WT mice (time (seconds) spent on self-grooming: WT 215.2 \pm 55.80, WT-LPS 497 \pm 57.68, KO 442.1 \pm 52.64) (Figure 7D). Altogether, these data on mice behavior indicate that both the genetic and induced models show a significant alteration in several behavioral test commonly associated with model of NDDs.



Figure 6. Locomotor, social, stereotype and repetitive behavior of adult WT, WT-LPS and KO mice. (A) Graphic representation of data collected in the open field test by automatically measuring the total distance travelled and the speed, (F (2, 17) = 11.14, p = 0.0008). **p = 0.0008 for KO mice vs WT mice. (B) Time spent by adult offspring engaging in social (F (2, 47) = 64.98, p < 0.0001) and non-social (F (2, 47) = 69.57, p < 0.0001) activity during male–male social interaction test. *** p < 0.0001 for KO mice vs. WT mice. ** p = 0.0008 for WT-LPS mice vs. WT mice, *p = 0.0008 for WT-LPS vs WT mice. (C) Graphic representation of data collected in the marble burying test by manually measuring the number of marbles buried (F (2, 25) = 8.931, p = 0.0012). * p = 0.04 for WT-LPS mice vs. WT mice. ** p = 0.0009 for KO mice vs. WT mice. (D) Time spent by adult offspring on self-grooming during home cage observation test (F (2, 19) = 6.433, p = 0.007). * p = 0.03 for KO mice vs. WT mice. **p = 0.007 for WT-LPS mice vs. WT mice. Data were analyzed by one-way ANOVA followed by Tukey's post-test analysis.

9. Discussion and conclusion

In the first part of my PhD program I focused my studies on the role of inflammation induced by maternal immune activation on neurodevelopmental disorders, studying the role of NF- κ B in these diseases. In the second part of my PhD program I studied chronic prenatal exposure to a pro-inflammatory stimulus and possible pharmacological treatments. Therefore, to understand the role of immune system in neurodevelopmental disorders two different mouse models were used. A genetic model, p50^{-/-} mice lacking the p50 NF- κ B subunit, and a well-known MIA model, LPS-induced model that induced activation of NF- κ B pathway [89-94].

Previous studies have demonstrated that the NF-κB pathway plays a central role in the regulation of neurodevelopment [331-334]. In particular, during early stages of brain development NF-κB is selectively activated in neocortical neuronal progenitor cells to inhibit neuronal differentiation and maintain the undifferentiated progenitor cells [331]; in postnatal neurodevelopment, NF-κB is activated in growing neurons by neurotrophic factors and can induce the expression of genes involved in cell differentiation and survival. Therefore, the alteration in this pathway could lead to morphological and behavioral alterations [281, 286-288] comparable to those found in other models of NDDs. Based on these findings, we compared the p50^{-/-} genetic model, which is a potential genetic model of neurodevelopmental disorders, with a MIA model of neurodevelopmental disorders.

At first, peripheral and central inflammation was measured in p50 $^{-/-}$ mice to verify whether an alteration in the NF- κ B pathway leads to permanent changes in pro-inflammatory molecules. In fact, p50 $^{-/-}$ mice showed a significant increase in peripheral (IL-1 β) and central inflammation (Iba1 and GFAP positive cells), comparable to that observed in WT-LPS mice. These results prove that mice lacking the p50 subunit have long-lasting change in the peripheral and central inflammatory molecules. These findings could be related to the critical role of NF- κ B pathway during pregnancy. Indeed, a strict regulation of the NF- κ B pathway appears critical during pregnancy for controlling maternal cytokines profile and ensure pregnancy success [335].

Successively, due to the relevant role of NF- κ B pathway during neurodevelopment, we investigated how the lack of p50 could affect brain development, and in particular corticogenesis. For this reason, to check if alterations during neurodevelopment could have caused persistent abnormalities in the cortex of offspring, a minicolumn analysis was conduct on the cortex of both p50 ^{-/-} mice and WT-LPS mice. In the field of neuropsychiatry, the idea that alteration of minicolumnar cortical structure and function (minicolumnopathy) may be responsible for aberrant brain function is growing [336-338]. Minicolumns are basal and functional units of the brain cortex and

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minicolumnopathies are modifications of minicolumnar homeostasis induced by various factors, such as neuroinflammation, gene expression or environmental factors, typically present in several NDDs [339-341]. We decided to study minicolumns in the somatosensory (SS) cortex because in previous studies we found others NF-κB-dependent alterations during neurodevelopment in the SS area of p50 -/- mice cortex [281, 286]. Minicolumns were studied also in the anterior cingulate (AC) cortex for the functional role of the AC in linking behavioral outcomes to motivation, highly important aspect in disorders such as depression and schizophrenia [321, 323]. We demonstrated that p50 -/- mice have a significant area-specific disorganization of the minicolumnar structure in SS cortex with an increase in cell density per column and an increase in total path length ratio (TPLR), that is a measurement of linearity of cell column, compared with WT mice. Whereas LPS-mice show a disorganization of minicolumnar structure in both SS (increase in cell density and TPLR) and AC cortex (increased in cell density) compared with control mice. These data suggest that both the two models, genetic and induced, have impairments in the organization of neural circuits in specific cortical areas.

In order to study the effect of NF- κ B pathway on mice behavior, offspring were tested during infancy, youth and adulthood.

At first, development parameters, ultrasonic vocalizations (USVs) and behavior in dams and pups were analyzed. During the first 10 days of life, p50^{-/-} and WT-LPS pups showed USVs alterations compared to WT pups. USVs are social signals of communication and represent a relevant aspect to be analyzed in animal models of neurodevelopmental disorders [342-344]. We found a typical inverted U-shaped emission pattern with a peak in PND 6 in WT pups. This pattern was exacerbated in p50^{-/-} pups: the number and the duration of USVs were significantly increased compared to WT pups. We found also altered USVs in LPS-pups, which presented an increase in number of calls at PND 8. Therefore, to investigate if these USVs alterations could be related to maternal care, we decided to investigate the maternal behavior in both p50^{-/-} and WT-LPS dams. We found that p50^{-/-} dams, but not WT-LPS mice, present a significant deficit in maternal care compared to WT dams. Based on these results, the next question was whether the USV alterations we found in p50^{-/-} pups was related to genotype or it could be affected by maternal care. We demonstrated that this alteration is related to the genetic background as even in an enriched environmental (early social enrichment) the alteration in USVs for p50^{-/-} pups persists [288]. These results are in line with the idea that altered NDDs genes can cause atypical USVs in pups which alter the communication with the dams that consequently reduced their maternal behavior [345-347]. Although, other models of ASD showed a rescue in USVs alteration when the pups grow in an enriched environment [313]. Furthermore, during PNDs 4, 6, 8, 10 and 12, pups' body weights were measured. A significant increase in body weight

in WT-LPS pups versus WT pups was observed only on PND 12, while a decrease in body weight in KO pups was observed only on PND 10. These results may be related to the metabolic effects induced by lack of p50 NF- κ B subunit and by the LPS exposure, as previously reported [348-351].

Successively, social recognition and motor ability were evaluated in the homing test. Neither the genetic model nor LPS-induced model exhibited alteration in these parameters: WT, p50 ^{-/-} and WT-LPS pups spent more time in the nest area compared to the clean area, whereas they did not show differences in number of square crossing and latency to reach the nest area (motor ability). Instead, juvenile offspring showed significant differences in olfactory habituation/dishabituation test. Notably, WT-LPS juvenile mice, but not p50 ^{-/-} juvenile mice, presented a significant interest reduction in social odors compared to WT mice.

Finally, behavioral analyses were performed in adult mice. Reciprocal social interaction testing revealed a significant reduction in social activity in p50^{-/-} and WT-LPS mice compared to WT mice. Moreover, a significant increase in stereotyped and repetitive behavior was observed in adult p50^{-/-} and WT-LPS mice.

In conclusion, these data suggest that NF-κB1 could be consider a potential candidate gene for NDDs. Indeed, p50^{-/-} mice showed typical behavioral deficits observed in different models of NDDs, both genetic and induced models: they have alteration in communication, in social interaction and stereotyped and repetitive behavior. Moreover, lack in p50 subunit provokes a permanent alteration of some inflammatory molecules, both at peripheral and central level, and induces aberrant changes in the cytoarchitecture of cortex, in particular in SS cortex, whose alterations are associated with NDDs [336-338].

CHAPTER 3

ANALYSIS OF CHRONIC LPS EXPOSURE THROUGHOUT PREGNANCY IN WT MICE AND POSSIBLE PREVENTIVE PHARMACOLOGICAL TREATMENTS*

In the second part of my PhD program, I focused my studies on prenatal offspring treated with LPS and on potential new methods in the treatment of NDDs. To generate a MIA model, LPS was given chronically at low doses throughout pregnancy to WT dams. As preventive pharmacological treatment we decided to use meloxicam, a well-known nonsteroidal anti-inflammatory drug (NSAID) cyclooxygenase-2 (Cox-2) preferential. Meloxicam was given throughout pregnancy for two reasons: first, to understand whether acting on the blocking of the inflammatory response on a well-known MIA model is sufficient to prevent the behavioral and morphological alterations observed in this model, and second to understand whether a chronic treatment during pregnancy was well tolerated. For these reasons, I focused my studies only on WT mice and not on p50^{-/-} model, which needs further investigations to be characterized, especially for its deficits in the inflammatory response.

Commonly, nonsteroidal anti-inflammatory drugs (NSAIDs) are structurally different drugs used to treat acute or chronic inflammations, especially autoimmune disorders, such as rheumatoid arthritis or osteoarthritis [352]. Notoriously, there are two isoforms of Cox: Cox-1, constitutive isoform, expressed in many tissues, including the endothelium, stomach, and kidney [353] and Cox-2, inducible isoform, induced during an inflammatory response [354], but it is also constitutively expressed in brain, kidney, female reproductive tract and fetal tissue [355,356]. Although there are contrasting opinions concerning the use of NSAIDs during pregnancy, several studies reported that only non-selective Cox inhibitors or selective Cox-1 inhibitors induce teratogen effects during pregnancy. Moreover, collateral side effects were observed at high doses of NSAIDs and rarely at low doses [352, 356-360]. Since Cox-2 is the main enzyme responsible for inflammatory response during an infection [354, 356] and meloxicam (MLX) has a good safety index at low doses during pregnancy, it was chosen as anti-inflammatory treatment for dam mice.

*Data published (Aria et al., 2020)

10. Materials and Methods

10.1. MIA model

Female wild type (B6;129PF2) mice were mated with male mice (1 female –1 male)as previously reported in chapter 2. Successively, female mice (from now on called dams) were divided randomly in 3 groups of 4–6 dams each.

The first group of dams was treated with vehicle (VH, phosphate-buffered saline, PBS) subcutaneously (s.c.) on alternate days from GD1 to the end of pregnancy. The second group of dams was injected with 0.3 mg/kg of LPS from Escherichia coli (L-3129 serotype 0127:B8, MilliporeSigma, Merck KGaA, Darmstadt, Germany) subcutaneously (s.c.) on alternate days [211] from GD1 to the end of pregnancy. The third group of dams was treated with LPS and MLX simultaneously. This last group will be further described in detail below.

10.2. Drug treatment

MLX (MilliporeSigma, Merck KGaA, Darmstadt, Germany Sigma-Aldrich), a well-known preferential Cox-2 inhibitor, was administered (2 mg/kg per day) by oral gavage throughout pregnancy to dams, 30 min before LPS injection. LPS (0.3 mg/kg) was injected subcutaneously (s.c.) on alternate days from GD1 until the end of pregnancy. The dosage of MLX (2 mg/kg per day) was chosen based on median effective dose of previous studies [361–363].

10.3. Offspring

Offspring obtained from the three groups of dams were divided in three groups (VH-mice, LPSmice and LPS + MLX mice) and housed two to three per cage after the weaning (4 weeks). All the structural and behavioral analysis carried out in this study were conducted on the offspring at different time periods: pups (ultrasonic vocalizations and homing test), juvenile mice (olfactory habituation/dishabituation test) and adult mice (open filed test, reciprocal social interaction test, marble burying test, home cage observation test). After the behavioral tests, mice were sacrificed either to obtain serum for ELISA testing, or brains for immunohistochemistry and minicolumn analysis.

10.4. Immunohistochemistry

Three adult male mice per group were used for immunohistochemistry (IHC). For the cell count, we drew squares with a defined area (width of $200 \,\mu$ m and height of $600 \,\mu$ m for Iba1 cells, and width

of $300 \,\mu\text{m}$ and height of $400 \,\mu\text{m}$ for GFAP cells) by using the ImageJ software (National Institute of Health, Bethesda, Maryland, USA). The IHC procedure was performed as reported in chapter 2.

10.5. Cortical column analysis

Three adult male mice for group were used for cortical column analysis. The cortical column analysis was performed as previously reported in chapter 2.

10.6. ELISA test

Levels of interleukin-1 β (IL-1 β) were quantified in serum of 6 VH, 5 LPS and 5 LPS + MLX adult male mice. Mouse IL-1 β ELISA kit (Invitrogen, ThermoFisher Scientific, Vienna, Austria) was used and the test was performed following the manufacturer's protocol.

10.7. Behavioral testing

Behavioral tests were carried out during the light phase of circadian cycle, between 9:00 a.m. and 5:00 p.m. In addition, 32–38 pups, 20 juvenile mice and at least 7 adult male mice (4–6-month-old) per group were used. During the tests, the operator remained in an adjacent room, separated with a dark sliding door from the test room. All the behavioral analyses have been performed in a blinded manner.

10.8. Pups behavior

10.8.1. Ultrasonic vocalizations in pups

Ultrasonic Vocalizations (USVs) emitted by pups after maternal separation for 3 min on post-natal day (PND) 4,6,8,10 and 12 were analyzed using Avisoft Bioacoustics system as previously reported in chapter 2.

10.8.2. Homing test

Homing test has been performed as previously described in chapter 2.

10.8.3. Olfactory habituation/dishabituation test

Olfactory habituation/dishabituation test has been performed as previously described in chapter 2.

11. Adult mice behavior

All the adult behavioral tests (open field test, reciprocal social interaction test, home cage observation test, marble burying test) were performed as previously described in chapter 2.

12. Statistical analysis

Statistical analysis was performed by Prism 6 software (GraphPad, San Diego, CA, USA). The data were analyzed by one- or two-way analysis of variance (ANOVA) followed by post hoc tests. For body weight, ultrasonic vocalizations analysis and the olfactory habituation/dishabituation tests, the repeated measurements (RM) two-way ANOVA, followed by Tukey's multiple comparison test was used. For homing test, the RM two-way ANOVA, followed by Sidak's multiple comparisons test was performed. For serum analysis, counts of GFAP and Iba1 cells, open field testing, as well as social and repetitive tests, the one-way ANOVA followed by the Dunnett multiple comparison test was used. For analysis of minicolumns, the one-way ANOVA followed by a Tukey's multiple comparison test was used. Data were presented as the means \pm standard error mean (S.E.M.), with the statistical significance level set at p < 0.05.

13. Results

13.1. LPS induced both peripheral and central inflammation, partially prevented by meloxicam

The first milestone of this study was to verify whether chronically injected LPS in the mothers during pregnancy actually generated a MIA model by measuring inflammatory markers in adult offspring. Activation of the maternal immune system during pregnancy has been previously shown to induce long-lasting effects on immune system in the offspring [7, 9, 364]. In particular, we measured in adult mice offspring (from now on called LPS-mice): a) IL-1β levels in the serum as a marker of peripheral inflammation by ELISA; b) Iba1- and GFAP-positive cells in the cortex by IHC as markers of central inflammation. As reported in Figure 1A, LPS induced a significant increase in IL-1 β serum levels compared to VH-mice and the MLX treatment was able to prevent it (% mean \pm S.E.M.: VH 89.74 \pm 28.91, LPS 319.1 \pm 56.87, LPS+MLX 163 \pm 21.76). This indicates that LPS treatment during pregnancy induced a long-lasting peripheral inflammation in the offspring, and this effect was blocked by the MLX treatment. Looking at the brain, LPS-mice reported a significant increase in both Iba1- and GFAP-positive cells in the cortex compared to VH-mice; MLX was able to prevent only the increase in GFAP-positive cells, but not the increase in Iba1-positive cells $(GFAP + cells/area mean \pm S.E.M.: VH 2.89 \pm 0.12, LPS 5.29 \pm 0.26, LPS + MLX 2.93 \pm 0.12; Iba1 + 0.12; Iba1$ cells/area mean ± S.E.M.: VH 5.2 ± 0.14, LPS 7.75 ± 0.24, LPS+MLX 7.14 ± 0.26) (Figure 1B, C). GFAP is a marker of astrocytes, whereas Iba1 is a microglial marker. Taken together, these results suggest that a chronic LPS exposure during pregnancy alters the offspring's immune system in the long term and that MLX can partially rescue neuroinflammation in LPS-mice.



Figure 1. Effects of maternal exposure to lipopolysaccharide (LPS) on peripheral and central inflammation on adult offspring. (A) Effect of LPS on interleukin-1 β (IL-1 β) serum levels in adult offspring (F (2, 13) = 9.60, p = 0.0028). ** p = 0.0022 for LPS-mice vs. vehicle (VH)-mice. # p < 0.037 for LPS + meloxicam (MLX) mice vs. LPS-mice. (B) Effect of LPS on GFAP-positive cells as marker of astrocytes in the cortex of adult offspring (F (2, 709) = 61,02, p < 0.0001). * p < 0.0001 for LPS- vs. VH-mice. # p < 0.0001 for LPS+MLX-mice vs. LPS-mice. (C) Effect of LPS on Iba1-positive cells as marker of microglia in the cortex of adult offspring (F (2, 1569) = 46,37, p < 0.0001). * p < 0.0001 for LPS-, LPS+MLX-mice vs. VH-mice. Data were analyzed by one-way ANOVA followed by Tukey's post-test analysis

13.2. A preventive pharmacological treatment with meloxicam does not rescue from the columnar alteration observed in the cortex of adult LPS-mice

To examine the effect of a preventive treatment with meloxicam on the cytoarchitecture of offspring, columnar organization in the somatosensory (SS) cortex and anterior cingulate (AC) cortex of three mice per group was analyzed. LPS-mice showed an increase in cell density within a defined column in both the SS and AC cortex compared with VH-mice; MLX treatment did not significantly modify this parameter (cell density per column mean \pm S.E.M.: somatosensory cortex, VH 21.05 \pm 0.30, LPS 24.27 \pm 0.29, LPS + MLX 23.85 \pm 0.79; anterior cingulate cortex, VH 23.77 \pm 0.52, LPS 28.23 \pm 1.05, LPS + MLX 25.83 \pm 0.65) (Figure 2A–D). Furthermore, the path length ratio (TPLR), a measurement of linearity of the cell column, was increased in LPS-mice SS cortex compared with VH-mice and also in this case no significant difference was observed after the MLX treatment (TPLR mean \pm S.E.M.: VH 1.32 \pm 0.012, LPS 1.44 \pm 0.011, LPS+MLX 1.44 \pm 0.037) (Figure 2B). No significant differences in TPLR were reported in the CG AC cortex between the groups (TPLR mean \pm S.E.M.: VH 1.42 \pm 0.036, LPS 1.53 \pm 0.036, LPS+MLX 1.52 \pm 0.06) (Figure 2E). These data

demonstrate, for the first time, that LPS prenatal exposure causes specific cortical structure alterations in the offspring, that cannot be prevented by the MLX treatment.



Figure 2. Abnormal columnar organization in the cortex of adult LPS-mice. Graphic representation of data obtained from the minicolumn analysis in somatosensory (SS) cortex (A, B) and anterior cingulate (AC) cortex (D,E) of adult LPS-mice. (A) Average cell density per column in the SS cortex (F (2, 597) = 29.33, p < 0.0001). ** p < 0.0001 for LPS-mice vs. VH-mice. * p = 0.0003 for LPS+MLX-mice vs. VH-mice. (B) Path length ratio (TPLR) in the SS cortex (F (2, 604) = 21,57, p < 0.0001). ** p < 0.0001 for LPS-mice vs. VH-mice. (D) Average cell density per column in AC (F (2, 75) = 10,27, p = 0.0001). * p < 0.0001 for LPS-mice vs. VH-mice. (E) No significant difference among groups was observed in the TPLR of AC. Data were analyzed by one-way ANOVA followed by Tukey's post-test analysis. (C) Representative images of minicolumns in VH-, LPS- and LPS+MLX-mice SS cortex.

13.3. Effect of prenatal LPS exposure and meloxicam treatment on pups

Body weight was measured in pups until PND 12; no significant differences emerged between groups, except for the last day (Figure 3A). Indeed, at day 12 both LPS- and LPS+MLX-pups reported a significant increase in body weight compared with VH pups (weight in grams, mean \pm S.E.M.: VH 5.91 \pm 0.15, LPS 6.4 \pm 0.10, LPS+MLX 6.35 \pm 0.13). This body weight increase has already been reported previously in the literature in mice exposed to LPS [348, 349].



Figure 3. Effects of maternal exposure to LPS on pups' development and vocalization. (A) Body weight was analyzed in pups on post-natal day (PND) 4, 6,8,10 and 12 (F interaction (8, 510) = 1927, p = 0.05). * p = 0.0016 for LPS-mice vs. VH-mice on PND 12. ** p = 0.0005 for LPS+MLX-mice vs. VH-mice on PND 12. Data were analyzed by two-way ANOVA followed by Dunnett's post-test analysis. (B) Number of calls emitted on PND 4,6,8,10,12 by pups in response to maternal separation during three minute session (F interaction (8, 416) = 2325, p = 0.018). * p = 0.03 for LPS+MLX-mice vs. VH-mice and # p = 0.02 for LPS+MLX-mice vs. LPS-mice on PND 6. * p = 0.02 for LPS-mice vs. VH-mice on PND 8. ** p = 0.01 for LPS+MLX-mice vs. VH-mice on PND 8. (C) Duration of calls emitted on PND 4,6,8,10,12 by pups in response to maternal separation during a three-minute session (F interaction (8, 416) = 4781, p < 0.0001). * p = 0.008 for LPS+MLX-mice vs. VH-mice on PND 4. ## p = 0.0002 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 4. ## p = 0.0001 for LPS+MLX-mice vs. LPS-mice on PND 6. Data were analyzed by repeated measurements (RM) Two-Way ANOVA followed by Tukey's post-test analysis.

During this time lapse, pups' vocalizations were studied during maternal separation. As reported in Figure 3B, the USVs-emitted curve of VH-pups has the common U-inverted shape, with a pick at day 6. The LPS treatment induced a significant increase in USVs number at day 8 compared to VH-pups emitting USVs (number of calls, mean \pm S.E.M.: VH 130.1 \pm 24.04, LPS 225.3 \pm 24.21). Interestingly, LPS+MLX-pups emitted significantly more USVs than LPS-pups (number of calls, mean \pm S.E.M. at day 6: LPS 223.4 \pm 26.5, MLX + LPS 322.4 \pm 28.45). Additionally, looking at calls duration, meloxicam treatment induced a significant increase in duration of calls compared to VH-pups (duration of calls (milliseconds) mean \pm S.E.M. at day 4: VH 28.43 \pm 2.4, LPS + MLX 37.41 \pm 2.46) and to LPS-pups (duration of calls (milliseconds) mean \pm S.E.M. at day 4: LPS 25.23 \pm 1.9, LPS+MLX 37.41 \pm 2.46; at day 6: LPS 24.45 \pm 1.47, LPS + MLX 36.87 \pm 2.10) (Figure 3C). These

data demonstrated that a chronic LPS injection during pregnancy causes communication alterations in pups, and that a maternal meloxicam treatment exacerbates this abnormal vocalization pattern.

13.4. Prenatal LPS exposure and meloxicam treatment do not affect social recognition and motility in pups

Social recognition in pups was evaluated by means of the homing test; this test permits measurement of the ability of pups to discriminate between their nest (litter-sawdust) odors and new ones (clean sawdust and start empty area) (Figure 4A). In addition, their motor ability was evaluated. At first, the time spent in each of the two arms of the apparatus was measured: no difference among groups was observed (Figure 4B), they all spent significantly more time in the nest area (seconds spent in the nest area, mean \pm S.E.M.: VH 174.7 \pm 20, LPS 152.16 \pm 20.92, LPS+MLX 161 \pm 23.70; seconds spent in the clean area, mean \pm S.E.M.: VH 30.19 \pm 12.6, LPS 34.16 \pm 13.68, LPS+MLX 43.61 \pm 16.88). Additionally, the latency to reach the nest area presented no significant differences between groups (latency in seconds to reach the nest area, mean \pm S.E.M.: VH 119.4 \pm 19.76, LPS 144 \pm 21.24, LPS+MLX 130.4 \pm 23.72) (Figure 4C). Concerning locomotor activity, no difference emerged among groups, in terms of square crossing (number of square crossing mean \pm S.E.M.: VH 174.7, LPS 1.42 \pm 0.30, LPS+MLX 2.26 \pm 0.65) (Figure 4D). These data demonstrate that maternal LPS injection during pregnancy did not change social recognition and motility in pups and that the MLX treatment did not affect them.



Figure 4. Effect of prenatal LPS exposure and meloxicam treatment on social recognition in pups. (A) Schematic representation of homing test. (B) Social recognition was evaluated in homing test on PND 14 by measuring the time (s) spent in nest area and clean area (Fzone (1, 103) = 50,25, p < 0.0001). * p < 0.0001 for time spent in nest area vs. clean area for VH-mice. £ p < 0.0005 for time spent in nest area vs. clean area for LPS-mice. \$ p < 0.001 for time spent in nest area vs. clean area vs. clean area for LPS+MLX-mice. Data were analyzed by Two-Way ANOVA followed by Sidak's post-test analysis. No significant difference among groups was observed in latency to reach the nest area (C) and number of square crossings (D).

13.5. The olfactory deficit in juvenile LPS-mice is partially prevented by meloxicam

To study the effects of meloxicam treatment on olfaction in the offspring, we tested juvenile mice with the olfactory habituation/dishabituation test. As expected, VH-mice were not interested in non-social odors (orange and vanilla), but highly interested by social odors (urine from same-gender

or opposite-gender mice) (Figure 5). Interestingly, LPS-mice showed significant reduction of interest in social odors compared to VH-mice (time sniffing in seconds, mean \pm S.E.M.: urine same gender 1, VH 6.03 \pm 1.24, LPS 3.83 \pm 0.74; urine opposite gender 1, VH 11.66 \pm 1.84, LPS 5.36 \pm 1.16). Surprisingly, meloxicam was able to partially restore interest in social odors in mice. Indeed, LPS+MLX-mice spent significantly more time sniffing urine of opposite-gender mice compared to both LPS- and also VH-mice (time sniffing in seconds, mean \pm S.E.M.: urine opposite gender 1, VH 11.66 \pm 1.84, LPS 5.36 \pm 1.16, LPS+MLX 16.87 \pm 2.36; urine opposite gender 2, VH 1.3 \pm 0.49, LPS 0.06 \pm 0.05, LPS+MLX 3.02 \pm 0.72). These data suggest that juvenile mice prenatally treated with LPS present a deficit in social odors and that MLX treatment partially prevent it.



Figure 5. Graphic representation of data collected during olfactory habituation/dishabituation test by measuring the time in seconds (s) spent by adolescent mice in sniffing cotton-tipped swabs saturated with different odors (Finteraction (22, 627) = 6,8, p < 0.0001). * p = 0.04 for urine the same gender 1, LPS-mice vs. VH-mice. *** p < 0.0001 for urine opposite gender 1, LPS-mice vs. VH-mice and LPS+MLX-mice vs. VH-mice. ### p < 0.0001 for urine opposite gender 1, LPS+MLX-mice vs. LPS-mice. ## p = 0.003 for urine opposite gender 2, LPS+MLX-mice vs. LPS-mice. Data were analyzed by Two-Way ANOVA followed by Tukey's post-test analysis.

13.6. Effect of prenatal LPS exposure and of preventive treatment with meloxicam on adult mice behavior

Locomotion and exploratory activity of adult VH-, LPS- and LPS+MLX-mice were measured in the open field test. No significant differences in total distance travelled and speed were observed between groups (total distance travelled (meters) mean \pm S.E.M.: VH 9.08 \pm 1.54, LPS 11.25 \pm 1.68, LPS+MLX 9.7 \pm 1.1; speed (m/s) mean \pm S.E.M.: VH 0.033 \pm 0.005, LPS 0.04 \pm 0.005, LPS+MLX 0.03 0.003) (Figure 6A).



Figure 6. Effect of prenatal LPS exposure and meloxicam treatment on adult offspring behavior. (A) Graphic representation of data collected in the open field test by automatically measuring the total distance travelled and the speed. No significant difference among groups was observed. (B) Time spent by adult offspring engaging in social activity during male–male social interaction test (F (2, 42) = 14,04, p < 0.0001). * p = 0.007 for LPS-mice vs. VH-mice. ** p < 0.0001 for LPS+MLX-mice vs. VH-mice. Data were analyzed by one-way ANOVA followed by Tukey's post-test analysis. (C) Graphic representation of data collected in the marble burying test by manually measuring the number of marbles buried (F (2, 27) = 9857, p = 0.0006). * p = 0.02 for LPS-mice vs. VH-mice. ** p = 0.0003 for LPS+MLX-mice vs. VH-mice. (D) Time spent by adult offspring on self-grooming during home cage observation test (F (2, 26) = 5487, p = 0.01). * p = 0.01 for LPS-mice vs. VH-mice and LPS+MLX-mice vs. VH-mice. Data were analyzed by one-way ANOVA followed by Dunnett's post-test analysis.

Male-male reciprocal social interaction test was performed to analyze social behavior. During the 10-min test, the time spent engaging in social and non-social activities was quantified for the analysis. Prenatal LPS exposure significantly reduced social interaction in mice compared with VH-mice; MLX treatment did not significantly modify this behavioral deficit (time (seconds) spent engaging in social activity, mean \pm S.E.M.: VH 247.7 \pm 9.01, LPS 247.7 \pm 9.01, LPS+MLX 167 \pm 13.54) (Figure 6B).

The marble-burying test was also performed. The number of marbles buried was analyzed at the end of the 5-min test. Both LPS- and LPS+MLX-mice showed a significant reduction in number of marbles buried compared with VH-mice (% marbles buried mean \pm S.E.M.: VH 64.81 \pm 8.65, LPS 33.33 \pm 8.6, LPS+MLX 22.78 \pm 5.42) (Figure 6C).

Furthermore, self-grooming activity, a common stereotyped behavior, was analyzed in mice with the home cage observation test. LPS-mice showed a significant increase in time spent on self-grooming compared with VH-mice, and again MLX could not modify this negative behavior (time (seconds) spent on self-grooming: VH 22.78 \pm 5.42, LPS 497 \pm 57.7, LPS+MLX 464.2 \pm 48.71) (Figure 6D). Altogether, these data on mice behavior indicate that prenatal LPS exposure provokes significant behavioral deficits in the offspring, such as a reduction of social behavior and digging activity, other than an increase in stereotyped behavior. Meloxicam treatment has no protective effects on such behavioral alterations.

14. Discussion

In the second part of my PhD program, I studied the effect of exposure to low doses of an inflammatory agent throughout pregnancy and how this exposure can influence brain development. Moreover, the pharmacological effects of meloxicam, a preferential COX-2 inhibitor, were analyzed to understand whether blocking inflammation induced by LPS it was possible to rescue morphological and behavioral effects observed in MIA offspring. LPS was chosen for its easier handling and lower variability compared to other pathogens used in MIA models and meloxicam was chosen for its safety during pregnancy [357-360, 364].

At first, inflammation level in the offspring was measured. Adult LPS-mice reported a significant increase in IL-1 β serum levels, with IL-1 β one of the major pro-inflammatory markers. Furthermore, an increase in Iba1- and GFAP-positive cells, markers of neuroinflammation, was observed in the cortex of adult LPS mice. These results are in agreement with other MIA studies that showed that maternal immune activation could induce alterations in microglia and astrocytes activity

[59, 66 211, 365-367]. On the contrary, other studies on MIA models did not observe glial cells activation [170, 172, 368]. This variability among studies could be explained by the diversity of protocols used to induce a MIA model in rodents, such as different immune stimulants, doses and different gestational time points. Then, we demonstrated that pharmacological treatment on dams with meloxicam was able to prevent the LPS-dependent increase in IL-1 β levels in the serum of the offspring. However, only the increase in GFAP-positive cells, but not of Iba1-positive cells, was significantly prevented by meloxicam treatment in the offspring brain cortex. Several studies in the literature report the protective effect of the treatment with anti-inflammatory drugs on MIA models, but most of them have been performed on the offspring [13, 311, 312, 368, 369]. Only a few studies have been conducted on dams, but not using pharmacological treatment with anti-inflammatory drugs [83, 101, 341, 370].

Successively, we investigated whether a treatment with meloxicam was able to prevent the permanent structural alteration in the offspring's brain induced by a chronic prenatal exposure to LPS. With this aim, we performed a minicolumnar cortical structure analysis. We demonstrated that LPS prenatal exposure induces aberrant brain cytoarchitecture. In particular, we found a disorganization of minicolumnar structure in both somatosensory (SS) and anterior cingulate (AC) cortex. We focused our attention on these specific cortical areas due to their involvement in altered processes typical of NDDs [321, 323, 371, 372]. LPS-mice reported an increase in cell density in both SS and AC cortex and an increase in TPLR, an index of column organization, in the SS cortex. The meloxicam treatment was unable to prevent structural abnormalities observed in LPS-mice. These results may be related to the selective pathway in which meloxicam acts (Cox-2 pathway). Although, the mechanisms underlying morphological alterations observed in MIA models have yet to be elucidated, it is likely that it is the involvement of different pathways that induce these alterations [373-376]. Indeed, several studies have reported other functional and structural changes in the brain of MIA offspring related to different pathways or yet to be understood. For instance, magnetic resonance imaging (MRI) studies have identified enlarged ventricles and volume reductions of several brain regions in adult rodent and non-human primate MIA offspring [59, 66, 365, 366, 377]. Another study found no change in total brain volume, but unilateral variation in the relative volume of specific brain regions [378]. Moreover, other studies have shown alteration in neuronal density in specific brain areas such as the dentate gyrus of the hippocampus and the subiculum of fetal MIA offspring or in the cerebellum, hippocampus and cortex of adult MIA offspring [58, 61, 377, 379-384]. A study performed on a MIA model induced at late gestation has reported only a slight increase in the density of neurons in the corpus callosum and an increase in the density of somatostatin interneurons [385]. Other functional studies in MIA offspring's brain have reported alterations in the

migration of pyramidal neurons (expressed as reduction in the production of reelin) both in the neonatal [58], developing [6, 386] and adult [197] brain of MIA offspring. All these studies showing different morphological and functional alteration related to different pathways, may explain the ineffectiveness of meloxicam.

Finally, in order to evaluate the effect of a chronic exposure to LPS and the preventive effect of meloxicam on behavior, offspring were tested during infancy, youth and adulthood.

At first, development parameters, ultrasonic vocalizations (USVs) and behavior in pups were analyzed. In the first two weeks of life (PNDs 4–14), pups born after a chronic maternal LPS injection showed USVs alterations. We found a typical inverted U-shaped emission pattern with a peak in PND 6 in VH-pups. This pattern was altered in LPS-pups, which presented an increase in number of calls at PND 8. Meloxicam treatment preserved the typical U-shaped USV pattern, if it did not prevent the increase in number of calls emitted. The results observed for LPS-mice are in agreement with several other studies, reporting that mice exposed to MIA present alterations in USVs. In particular, some studies reported a decrease in the number or duration of USVs [83, 85, 159, 173, 387], while others found an increase in the number of USVs [388, 389]. These differences probably depend to the species (rat or mouse) and to the genetic strain used, different pro-inflammatory stimuli, timing of immune insult and pups' age.

Furthermore, during PNDs 4, 6, 8, 10 and 12, pups' body weights were measured. A significant increase in body weight in LPS- and LPS+MLX- versus VH-pups was observed only on PND 12. This weight increase may depend on metabolic consequences due to LPS exposure, as previously reported [348, 349].

Successively, social recognition and motor ability were evaluated in the homing test. LPS or meloxicam did not affect any of these parameters: VH-, LPS- and MLX+LPS-pups spent more time in the nest area compared to the clean area, whereas they did not show differences in number of square crossing and latency to reach the nest area (motor ability). Instead, juvenile offspring showed significant differences in olfactory habituation/dishabituation test. Notably, LPS-mice presented a significant interest reduction in social odors that was partially prevented by meloxicam. This effect may be explained with motivational impairments observed after prenatal exposure to LPS [389].

Finally, behavioral analyses were performed in adult mice. Reciprocal social interaction testing revealed a significant reduction in social activity in LPS-mice compared to VH-mice. Moreover, a significant increase in stereotyped and repetitive behavior was observed in adult LPS-mice. These results are in agreement with several other studies, where murine MIA models reported

a deficit in social behavior, such as social affiliation [83, 85, 390] or interaction [173, 391, 392], repetitive and stereotyped behavior [83, 85, 164, 167, 168]. The meloxicam treatment was unable to prevent the behavioral deficits.

Taken together, these results demonstrated that a chronic prenatal LPS exposure causes alterations in the cytoarchitecture of specific cortical areas, with impairments in social and repetitive behaviors. Moreover, a preventive pharmacological treatment with meloxicam in dams, despite being able to counteract inflammation, did not rescue from structural and behavioral alterations LPS-mice. This could be explained by the complexity of inflammatory response induced by LPS after its interaction with TLR-4 in the maternal system [9, 58, 136, 393, 394]. Indeed, after this interaction, LPS induces activation of cytokines, chemokines, prostaglandins, leukotrienes and hypothalamic–pituitary–adrenal axis, provoking a systemic inflammatory response in dams [9, 90-94, 395]. This response affects fetal development by inducing activation of pro-inflammatory factors, such as IL-1, IL-6 and Tumor Necrosis Factor- α (TNF- α) [9, 110, 111]. All these factors can influence brain development of the offspring in an unknown way, causing all the typical alterations of MIA models.

15. Conclusion and future prospective

Maternal immune activation (MIA) has recently become a risk factor for neurodevelopmental disorders and more importantly, the animal models of MIA have become a very important resource for research to study, not only the mechanisms underlying NDDs, but also to compared two key risk factors for NDDs: environmental and genetic factors. Our contribution in this field was to characterize a new potential gene (NF-kB1) as risk factor for NDDs, to study the effects of a chronic LPS-treatment during pregnancy and to find a new potential pharmacological treatment for NDDs.

Both the two models used in the studies we performed, $p50^{-/-}$ mice (genetic model) and WT-LPS mice (induced-model) share some structural and behavioral alterations. Indeed, we demonstrated that both our genetic model and the induced-model, in which we mimic a chronic exposure to pro-inflammatory stimulus, induce a long-lasting alteration in peripheral and central inflammation, area-specific alterations in the cortical cytoarchitecture of adult offspring and behavioral alterations in different periods of mice life. These results demonstrated that alterations in the inflammation during pregnancy, even if they are related to genetic and/or environmental factors, induce permanent alterations in the offspring. Although the mechanisms underlying these alterations require further investigation, we can speculate that a specific alteration in the inflammatory pathway is likely to induce different alterations during neurodevelopment. For instance, p50^{-/-} mice showed structural

alterations only in the somatosensory cortex, while LPS mice showed the same alteration also in the cingulate cortex. Moreover, $p50^{-/-}$ juvenile mice did not exhibit olfactory deficit, while LPS juvenile mice showed a decreased in the interest of social odors. These data suggest that probably the NF- κ B pathway is involved in specific neurodevelopmental processes that require more specific analysis.

Based on these data, in order to find a new pharmacological treatment for NDDs we decided to use LPS MIA model. Therefore, we decided to use a common anti-inflammatory drug throughout pregnancy, in order to mitigate the maternal immune activation and protect the offspring in the critical phase of gestational neurodevelopment. The majority of pharmacological treatments in MIA models have been performed over different postnatal periods of the offspring. For instance, a chronic treatment with minocycline (a microglia modulator) performed on adult mice, reverted MIA-induced behaviors and changes in pro-inflammatory cytokines in the adult brain [13, 311, 312]. A study conducted in juvenile rats prenatally treated with poly I:C showed that a treatment with a selective inhibitor for Cox-2, celecoxib, protected adult rats from developing some behavioral deficits [396]. Moreover, treating juvenile MIA offspring with a ketogenic diet or glucoraphanin was able to rescue offspring from some behavioral deficits [381, 397]. Only a few studies have focused on a prenatal intervention on mothers to mitigate the maternal immune activation and protect the offspring in the critical phase of gestational neurodevelopment. In particular, to prevent some behavioral deficits observed in MIA offspring, dams were treated with probiotics [167], specific anti-cytokine antibodies [88, 89], and environmental enrichments [398, 399], or again with maternal dietary supplementation with zinc [131], n-3 polyunsaturated fatty acids [400, 401] or N-acetyl-cysteine (NAC) [113]. A pharmacological treatment on dams was performed by Cui and colleagues. In this study, they showed that ibuprofen (a non-selective NSAID) treatment on dams at the time exposure to LPS, was unable to block the alteration in hippocampal neurons [402].

The preventive treatment with meloxicam in dams reduced inflammation in the offspring, as observed both with peripheral and central markers, but did not rescue from structural and behavioral deficits. Probably, having meloxicam a selective mechanism of action (cyclooxygenase-2 inhibition) is not able to prevent all the NDDs-related triggering mechanisms induced by pro-inflammatory stimuli.

Further studies are needed to better understand the complex connection between inflammation, brain architecture and brain function.

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