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Conversion gastrectomy for stage IV unresectable gastric cancer: a GIRCG retrospective cohort study

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Abstract

Background The aim of this study is to report the experience with conversion surgery from six Gruppo Italiano Ricerca Cancro Gastrico (GIRCG) centers, focusing our analysis on factors affecting survival and the risk of recurrence.

Methods A retrospective, multicenter cohort study was performed in patients who had undergone conversion gastrectomy between 2005 and 2017. Data were extracted from a GIRCG database including all metastatic gastric cancer patients submitted to surgery. Only stage IV unresectable tumors/metastases which became resectable after chemotherapy were included in this analysis.

Results Forty-five resected M1 patients were included in the analysis. Reasons for being deemed unresectable at diagnosis were peritoneal involvement (PCI>6) (n=38, 84.4%), distant metastatic nodes (n=3, 6.6%) and extensive liver involvement (n=4, 8.8%). Median follow-up was 25 months (IQR 9-50). Median overall survival from surgery was 15 months and 1-, 3- and 5-year survivals were 57.2, 36.1 and 24%, respectively. Median progression-free survival was 12 months with 1- and 3-year survival of 46.4 and 33.9%, respectively. At cox regression analysis the only independent prognostic factor for OS was the presence of more than one type of metastasis (HR 4.41, 95% CI 1.72–11.3, p=0.002). A positive microscopic resection margin was the only risk factor for recurrence (HR 5.72, 95% CI 1.04–31.4, p=0.045).

Conclusions Unresectable stage IV GC patients could benefit from radical surgery after chemotherapy and achieve long survivals. The main prognostic factor for these patients was the presence of more than one type of extra-gastric metastatic involvement.

Keywords Stage IV gastric cancer · Conversion surgery · Palliative chemotherapy · Metastases · Gastrectomy · Survival

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Introduction

Stage IV gastric cancer carries a poor prognosis. As per current guidelines [1, 2] the only therapeutic option for these patients could be palliative chemotherapy which may offer survivals up to 24 months [3]. However, there is growing literature reporting long survivals in those cases (stage IV gastric cancer) who responded to palliative chemotherapy and were subsequently submitted to gastrectomy [4–11]. In particular, this multimodal treatment, which was defined as conversion surgery, could be associated with significant improved survivals of more than 40 months when R0 resection could have been achieved [5, 11].

Still, literature about conversion surgery is scarce and studies are usually characterized by limited sample sizes and



different definitions [7, 12]. In addition, to date, all but one study was performed on a cohort from a western center [7].

With this paper, we aim to report the experience of six GIRCG centers with conversion surgery, focusing our analysis on factors affecting survival and the risk of recurrence.

Materials and methods

Study design

A retrospective, multicenter cohort study was performed in patients who had undergone conversion gastrectomy April 1, 2005 and January 1, 2017 in 6 centers belonging to Gruppo Italiano Ricerca Cancro Gastrico (GIRCG) centers. Data were extracted from a GIRCG database including all metastatic gastric cancer patients submitted to surgery. Only stage IV GC which became resectable, (showing partial or complete response) after chemotherapy were included in this analysis.

Definitions

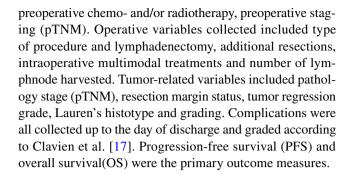
Patients' diagnosis, treatment and perioperative care were performed as recommended by GIRCG guidelines in all participating centers [2]. Conversion surgery was defined as a surgical treatment aiming at R0 resection for tumors that were deemed unresectable before chemotherapy. As per study protocol, the definition of an unresectable tumor/metastasis before chemotherapy was based on technical and oncological reasons and included: peritoneal cancer index (PCI) (as defined by Sugarbaker et al. [13]) > 6, bilobar hepatic metastases, nodal involvement outside D1-3 stations, technically unresectable metastases.

Staging laparoscopy was performed in all patients before chemotherapy. The re-assessment for resectability was based on the imaging performed during treatment at 3–6 months. Surgery was proposed only to those patients in whom gastrectomy and associated resections could have been potentially radical. In particular, the peritoneal involvement was considered for treatment only in case of PCI < 6 at exploration after palliative chemotherapy.

All specimens were histopathologically classified according to Lauren's microscopic criteria [14]. Tumor stage was presented as indicated by the Union for International Cancer Control (UICC)/American Joint Committee on Cancer (AJCC) [15]. All cases were also grouped as proposed by Yoshida et al. [16].

Variables

Baseline characteristics collected included sex, age, American Society of Anaesthesiologists (ASA) score, type f



Statistical analysis

Continuous data were presented as median and interquartile range (IQR). The Kaplan–Meier curve was used to calculate survival rates. OS was calculated as the time between both diagnosis and surgery and death/last follow-up. PFS was defined as the time between surgery and the first evidence of disease recurrence/progression and was calculated for all patients excluding R2 resections. Cox regression was performed to find independent factors affecting survival after surgery. Hazard ratios (HR) and 95% confidence intervals (95% CI) were calculated when required. Only variables with p < 0.050 at univariate analysis were entered in multivariable analyses in a stepwise manner. Follow-up was calculated as recommended elsewhere [18]. Analyses were performed with MedCalc Statistical Software (MedCalc Software bvba, Ostend, Belgium).

Results

In total, 287 stage IV GC patients were screened, of whom 242 were excluded for reasons (193 had no preoperative chemotherapy, 38 had preoperative chemotherapy for technically resectable disease, 11 had no details about preoperative period), leaving 45 patients for analysis. Patients' characteristics at diagnosis are presented in Table 1. Reasons for being deemed unresectable at diagnosis were peritoneal involvement (n=38, 84.4%), distant metastatic nodes (n=3, 6.6%) and extensive liver involvement (n=4, 8.8%).

Operative variables are shown in Table 2. In 33 (73.3%) cases peritoneal resections were required while in 3 these were not performed due to the extent of the disease. Two patients with peritoneal involvement had complete response after palliative chemotherapy and peritonectomy procedures were not performed as no residual disease was found. Postoperative complications occurred in 18 (40%) patients; and they had a Clavien-Dindo grade above 2 in 14 cases (31.1%). Tumor-related variables are presented in Table 3.

Median follow-up was 25 months (IQR 9-50). Median overall survival was 15 months and 1-, 3- and 5-year survivals were 57.2, 36.1 and 24%, respectively (Fig. 1). Median



Table 1 Patients' characteristics at diagnosis		Table 3 Pathology variables			
Age—median (IQR)	54 (48–61)	Residual disease, n (%)			
Female, n (%)	18 (40)	R0	30 (66.6		
ASA > 2, n (%)	2 (4.1)	R1	7 (15.5)		
Tumor site, n (%)		R2	8 (17.7)		
Upper third	6 (13.3)	Gastric margin status, n (%)			
Middle third	12 (26.7)	R0	40 (88.9		
Lower third	18 (40)	R1	5 (11.1)		
Linitis	9 (20)	pT			
cT, n (%)		T2	2 (4.4)		
cT3	9 (20)	T3	15 (33.3		
cT4	33 (73.3)	T4a	23 (51.1		
Not available	3 (6.7)	T4b	5 (11.1)		
cN, n (%)		pN			
N0	10 (22.2)	N0	3 (6.6)		
N+	35 (77.8)	N1	5 (11.1)		
cM, n (%)		N2	7 (15.5)		
M1	45 (100)	N3a	10 (22.2		
Positive peritoneal cytology n (%)	10 (22.2)	N3b	17 (37.8		
Yoshida types		Nx	3 (6.6)		
1	0 (0)	pM			
2	7 (15.5)	M1	45 (100		
3	29 (64.4)	Lymphnodes harvested n (%)			
4	9 (20)	< 16	3 (6.6)		
Types of palliative chemotherapy		15–29	2 (4.4)		
Cisplatin plus fluoropyrimidine	20 (44.4)	30–44	13 (28.9		
Epirubicin and Cisplatin plus fluoropyrimidine	9 (20)	45–59	13 (28.9		
Docetaxel and Oxaliplatin plus fluoropyrimidine	9 (20)	60–74	13 (28.9		
Other types	7 (15.5)	>75	1 (2.2)		
		TRG T site $(n=28)$			
		1b	7 (14.3)		
		2	8 (16.3)		
		3	13 (26.5		
		Not specified	21 (42.8		
Table 2 Operative variables		Lauren's hystotype, n (%)			
Type of procedure, n (%)		Intestinal	11 (24.4		
Total gastrectomy	33 (73.3)	Diffuse	29 (64.4		
Subtotal gastrectomy	12 (26.7)	Mixed	4 (8.8)		
Type of lymphadectomy, n (%)	, ,	Not specified	1 (2.2)		
D1	4 (8.9)	Tumor grade			
D2	19 (42.2)	G2	4 (8.8)		
D3	22 (48.9)	G3	34 (75.5		
Additional procedure, n (%)	, ,	Not specified	7 (15.5)		
None	23 (51.1)	mn G			
>1 additional resection	7 (15.5)	TRG tumor regression grade			
Colectomy	6 (13.3)				
Splenectomy	5 (11.1)	00 -1-116 1 1 1 6 2	20.6		
Distal pancreatectomy	2 (4.4)	OS calculated from diagnosis of GC was 20.6 months wit			
Small bowel resection	1 (2.2)	1-, 3- and 5-year survival of 78.2, 38.			
Distal splenopancreatectomy	1 (2.2)	PFS was 12 months with 1- and 3-yea	r survival of 46.4 an		
HIPEC, n (%)	23 (51.1)	33.9%, respectively (Fig. 2).			
III DC, 11 (70)	23 (31.1)	At cox regression analysis the only	independent prognos		



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Fig. 1 Kaplan-Meier curve of overall survival for the whole cohort

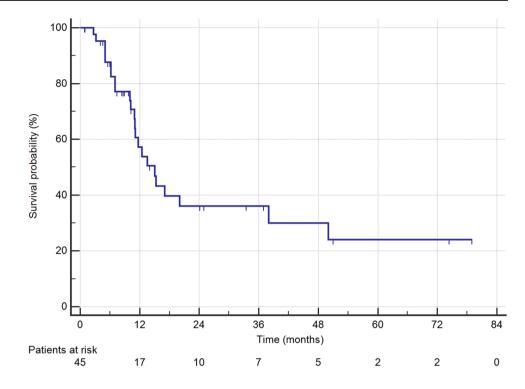
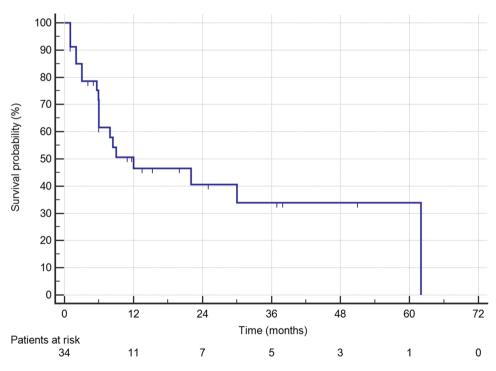


Fig. 2 Kaplan-Meier curve of progression free survival



metastasis (HR 4.41, 95% CI 1.72–11.3, p = 0.002) (Table 4) (Fig. 3). A positive microscopic resection margin was the only risk factor for recurrence (HR 5.72, 95% CI 1.04–31.4, p = 0.045) (Table 5).

Discussion

Stage IV unresectable gastric cancer patients could benefit from surgery, which may offer prolonged survival.

More than 20 years ago, first, Nakajima et al. [8] described similar findings. Authors showed that unresectable



Table 4 Cox regression analysis of overall survival

Variable	n	OS					
		Univariate		Multivariate			
		HR (95% CI)	P	HR (95% CI)	p		
Age*	45	1.01 (0.96–1.05)	0.467				
Sex		, ,					
Male	27	1.00	1.000				
Female	18	0.75 (0.31-1.84)	0.536				
ASA	43	1.00	1.000				
≤2	2	2.76 (0.63-12.1)	0.180				
>2							
cT							
cT3	9	1.00	0.000				
cT4	33	1.91 (0.56-6.49)	0.305				
cN							
cN0	10	1.00	1.000				
cN+	35	1.29 (0.67–2.51)	0.444				
Type of lymphadenectomy							
D1	4	1.00	1.000				
D2	19	0.46 (0.12–1.72)	0.253				
D3	22	0.27 (0.07–1.07)	0.065				
Additional resection							
No	23	1.00	1.000				
Yes	22	1.35 (0.58–3.13)	0.485				
HIPEC							
No	22	1.00	1.000				
Yes	23	0.85 (0.37–1.91)	0.689				
Postoperative complications							
No	27	1.00	1.000				
Yes	18	0.80 (0.33–1.94)	0.622				
Lymphnode harvested*	45	0.97 (0.93–1.01)	0.108				
Grading							
G2	4	1.00	1.000				
G3	34	1.04 (0.30–3.56)	0.949				
Lauren's hystotype		1.00	1.000				
Intestinal	11	1.00	1.000				
Diffuse	29	0.87 (0.37–2.32)	0.877				
Mixed	4	4.16 (1.01–17.2)	0.049				
pT pT<4	17	1.00	1.000				
pT < 4 pT4a-b)	28	1.5 (0.62–3.62)	0.365				
pN pN	26	1.5 (0.02–5.02)	0.303				
N0	3	1.00	1.000				
N1	5	0.63 (0.08–4.51)	0.649				
N2	7	1.39 (0.23–8.32)	0.721				
N3a	10	2.32 (0.48–11.3)	0.721				
N3b	17	1.11 (0.23–5.35)	0.293				
Radical treatment	1 /	1.11 (0.23–3.33)	0.07/				
R0	30	1.00	1.000				
R1	7	0.93 (0.21–4.09)	0.922				
R2	8	2.24 (0.67–7.54)	0.194				
Type of metastasis	U	2.2 (0.07 7.34)	U.17T				
Single site, non-peritoneal	5	1.00	1.000				
Peritoneal	29	4.37 (0.57–33.1)	0.156				
>1 type	11	16.0 (1.91–134.7)	0.011	4.41 (1.72–11.3)	0.002		

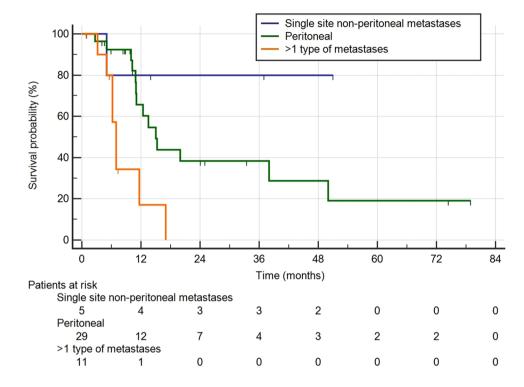


Table 4 (continued)

*Analyzed as continuous variables

HIPEC hyperthermic intraperitoneal chemotherapy

Fig. 3 Kaplan-Meier curves of overall survival according to the type of extra-gastric involvement



GC could become resectable after intensive chemotherapy and found that long survivors were only in the group of patients who could have radical surgery after chemotherapy.

Since then, an increasing number of researchers tried to investigate the role of the combination of preoperative/palliative/induction chemotherapy followed by surgery in the treatment of advanced unresectable gastric cancer. Currently, literature demonstrated that so-called conversion surgery for unresectable stage III or stage IV gastric cancer was associated with longer survival than chemotherapy alone. The most recent studies on stage III/IV unresectable patients undergoing conversion surgery reported survivals ranging from 37 to 56 months [4, 5, 7, 9–11, 19–22].

The survival reported in our study is lower than those reported by others and this could be ascribed to the inclusion criteria we selected for our analysis. As such, our study population included only part of stage IV patients who had undergone chemotherapy plus surgery. In particular, we excluded from this study all stage IV GC patients who had chemotherapy plus surgery with PCI ≤ 6, unilobar technically resectable hepatic metastasis, only positive cytology or positive nodal metastases in D3 stations. As a consequence, our inclusion criteria identified a selected population composed mainly by Yoshida type 3 and 4 patients for whom an extraordinary response to chemotherapy could have led to the complete resection of the primary tumor and the

peritoneal, nodal and liver metastases. As it has been high-lighted by Yoshida et al. [16] and Yamaguchi et al. [11], peritoneal metastases in these cases may be technically resected but they often recur. In our study, a sub-population of Yoshida type 3 (macroscopic peritoneal dissemination without other organs involvement) patients showed unexpected survivals, being more than 35% alive 3 years after surgery. We believe that the results presented in this study may recommend not to exclude surgery a priori in selected advanced cases of stage IV GC.

In our analysis we found that more than one type of metastasis significantly affect prognosis. Similar findings were found by other authors [5, 10, 22], who showed that more than one non-curative factors were associated with a poor prognosis in unresectable GC patients. In light of those findings, it might be suggested not to proceed with surgery in those patients who have more than one district involved by metastases. Still, further data are required to confirm this hypothesis.

To date, the highest level of evidence about stage IV gastric cancer and the potential benefit of surgery in these patients is available thanks to the REGATTA trial [23]. This study showed that stage IV patients can benefit from surgery in terms of survival only when this is radical. Recently, these conclusions were also reached by the analyses of retrospective series of stage IV/unresectable patients undergoing



Table 5 Cox regression analysis of disease-free survival

Variable	n	PFS°					
		Univariate		Multivariate			
		HR (95% CI)	P	HR (95% CI)	p		
Age*	34	0.99 (0.95–1.04)	0.744				
Sex							
Male	21	1.00	1.000				
Female	13	0.93 (0.38-2.29)	0.871				
ASA							
≤2	33	1.00	1.000				
>2	1	2.34 (0.29-18.4)	0.421				
cT							
сТ3	7	1.00	1.000				
cT4)	25	2.33 (0.51–10.6)	0.275				
cN (cN0 vs cN+)		0.65 (0.31-1.36)	0.258				
Type of lymphadenectomy		•					
D1	3	§	0.069				
D2	13	2.37 (0.93–6.02)	1.000				
D3	18	1.00					
Additional resection							
No	16	1.00	1.000				
Yes	18	0.53 (0.20-1.34)	0.187				
HIPEC							
No	15	1.00	1.000				
Yes	19	0.69 (0.26-1.84)	0.469				
Postoperative complications							
No	24	1.00	1.000				
Yes	10	2.53 (0.16-1.20)	0.112				
Lymphnode harvested*	45	1.01 (0.97–1.06)	0.466				
Grading							
G2	4	1.00	1.000				
G3	24	2.99 (0.39-22.7)	0.292				
Lauren's hystotype							
Intestinal	10	1.00	1.000				
Diffuse	19	2.00 (0.63-6.39)	0.244				
Mixed	4	4.38 (0.95-20.2)	0.059				
pT							
pT < 4	12	1.00	1.000				
pT4a-b	21	2.19 (0.77-6.22)	0.141				
pN							
N0	3	§	0.594				
N1	2	§					
N2	5	0.66 (0.14-3.00)	0.438				
N3a	8	1.49 (0.54-4.12)					
N3b	14	1.00	1.000				
Radical treatment							
R0	30	1.00	1.000	5.72 (1.04-31.4)	0.045		
R1	4	5.72 (1.04-31.4)	0.045				
Type of metastasis							
Single site, non-peritoneal	5	1.00	1.000				
Peritoneal	21	0.90 (0.19-4.16)	0.895				
>1 type	8	3.29 (0.64–16.8)	0.153				

^{*}Analyzed as continuous variables, § no cases of recurrence, calculated on 34 patients (of 37 R0-R1 patients, 3 did not have details about recurrence), *HIPEC* hyperthermic intraperitoneal chemotherapy



induction chemotherapy followed by surgery [5, 11]. Yamaguchi et al. [11] in their multi institutional study on 77 stage IV GC patients who had undergone conversion surgery found that median survival was 41.3 for R0 patients while it was 21.2 months for R1-2.

Our study, which is the largest in the western literature, confirmed that R0 conversion surgery was associated with a significantly longer PFS than R1 resections. In our opinion, this latter finding further highlights the importance of radical surgery in the attempt to improve survival in metastatic GC patients.

Current literature about conversion surgery lacks of standardized definitions as it was also highlighted by Terashima [12]: the criteria for initial determination of oncologically unresectable or determination of resectability post-chemotherapy are heterogeneous among studies and results should always be interpreted in light of these differences. An effort from the scientific community would be required to find common definitions, and thus, reliable results in future studies.

The main limitation of this study is that the long study period covers several advances in oncology and, thus, in the chemotherapy regimens adopted. This resulted in a heterogeneous population in terms of type of chemotherapy used which made it difficult to understand the real impact of a regimen in the achievement of complete/partial response.

In conclusion, conversion gastrectomy is a treatment option for selected patients with stage IV GC. The main prognostic factor for these patients was the presence of more than one type of extra-gastric metastatic involvement. A radical procedure was significantly associated with a reduced risk of recurrence.

Compliance with ethical standards

Ethical standards All procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1964 and later versions.

Conflict of interest The authors declare that they have no conflict of interest.

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