

ORIGINAL ARTICLE

Rolofylline, an Adenosine A₁-Receptor Antagonist, in Acute Heart Failure

Barry M. Massie, M.D., Christopher M. O'Connor, M.D., Marco Metra, M.D., Piotr Ponikowski, M.D., John R. Teerlink, M.D., Gad Cotter, M.D., Beth Davison Weatherley, Ph.D., John G.F. Cleland, M.D., Michael M. Givertz, M.D., Adriaan Voors, M.D., Paul DeLuca, Ph.D., George A. Mansoor, M.D., Christina M. Salerno, M.S., Daniel M. Bloomfield, M.D., and Howard C. Dittrich, M.D., for the PROTECT Investigators and Committees*

ABSTRACT

BACKGROUND

Worsening renal function, which is associated with adverse outcomes, often develops in patients with acute heart failure. Experimental and clinical studies suggest that counterregulatory responses mediated by adenosine may be involved. We tested the hypothesis that the use of rolofylline, an adenosine A₁-receptor antagonist, would improve dyspnea, reduce the risk of worsening renal function, and lead to a more favorable clinical course in patients with acute heart failure.

METHODS

We conducted a multicenter, double-blind, placebo-controlled trial involving patients hospitalized for acute heart failure with impaired renal function. Within 24 hours after presentation, 2033 patients were randomly assigned, in a 2:1 ratio, to receive daily intravenous rolofylline (30 mg) or placebo for up to 3 days. The primary end point was treatment success, treatment failure, or no change in the patient's clinical condition; this end point was defined according to survival, heart-failure status, and changes in renal function. Secondary end points were the post-treatment development of persistent renal impairment and the 60-day rate of death or readmission for cardiovascular or renal causes.

RESULTS

Rolofylline, as compared with placebo, did not provide a benefit with respect to the primary end point (odds ratio, 0.92; 95% confidence interval, 0.78 to 1.09; *P*=0.35). Persistent renal impairment developed in 15.0% of patients in the rolofylline group and in 13.7% of patients in the placebo group (*P*=0.44). By 60 days, death or readmission for cardiovascular or renal causes had occurred in similar proportions of patients assigned to rolofylline and placebo (30.7% and 31.9%, respectively; *P*=0.86). Adverse-event rates were similar overall; however, only patients in the rolofylline group had seizures, a known potential adverse effect of A₁-receptor antagonists.

CONCLUSIONS

Rolofylline did not have a favorable effect with respect to the primary clinical composite end point, nor did it improve renal function or 60-day outcomes. It does not show promise in the treatment of acute heart failure with renal dysfunction. (Funded by NovaCardia, a subsidiary of Merck; ClinicalTrials.gov numbers, NCT00328692 and NCT00354458.)

From the University of California at San Francisco and San Francisco Veterans Affairs Medical Center — both in San Francisco (B.M.M., J.R.T.); Duke University Medical Center (C.M.O.) and Momentum Research (G.C., B.D.W.) — both in Durham, NC; University of Brescia, Brescia, Italy (M.M.); Medical University, Clinical Military Hospital, Wrocław, Poland (P.P.); University of Hull, Kingston upon Hull, United Kingdom (J.G.F.C.); Brigham and Women's Hospital, Boston (M.M.G.); University of Groningen, Groningen, the Netherlands (A.V.); Merck Research Laboratories, North Wales, PA (P.D., C.M.S.); Merck Research Laboratories, Rahway, NJ (G.A.M., D.M.B.); and NovaCardia, San Diego, CA (H.C.D.). Address reprint requests to Dr. Massie at the Cardiology Division, San Francisco VA Medical Center (111C), 4150 Clement St., San Francisco, CA 94121, or at barry.massie@va.gov.

*Committee members and investigators of the Placebo-Controlled Randomized Study of the Selective A₁ Adenosine Receptor Antagonist Rolofylline for Patients Hospitalized with Acute Decompensated Heart Failure and Volume Overload to Assess Treatment Effect on Congestion and Renal Function (PROTECT) are listed in the Supplementary Appendix, available at NEJM.org.

N Engl J Med 2010;363:1419-28.

Copyright © 2010 Massachusetts Medical Society.

PREEXISTING CHRONIC KIDNEY DISEASE and worsening renal function are common in patients hospitalized with acute heart failure and are associated with poor outcomes.¹⁻⁵ Multiple factors are responsible for this association,³⁻⁵ including coexisting conditions, less use of effective therapies in patients with renal dysfunction than in patients without renal dysfunction, and inadequate treatment of volume overload because of a suboptimal response to diuretics or concern regarding diuretic toxicity.^{4,5}

Adenosine has been implicated as an important intrarenal mediator of both worsening renal function and diuretic resistance.^{6,7} ATP hydrolysis releases free adenosine into the extracellular space, which in turn acts on adenosine A₁ receptors in the afferent arterioles, reducing renal blood flow and the glomerular filtration rate (GFR) and stimulating the release of renal renin. In addition, A₁-receptor activation enhances proximal tubular sodium reabsorption.^{6,7} In patients with heart failure, A₁-receptor antagonists may preserve the GFR, enhance sodium excretion, and improve diuretic responsiveness.

Previous studies involving patients with heart failure have shown that coadministration of A₁-receptor antagonists and loop diuretics enhances diuresis while maintaining or improving renal function.⁸⁻¹⁰ In the PROTECT (Placebo-Controlled Randomized Study of the Selective A₁ Adenosine Receptor Antagonist Rolofylline for Patients Hospitalized with Acute Decompensated Heart Failure and Volume Overload to Assess Treatment Effect on Congestion and Renal Function) pilot study, a dose-finding study,¹¹ rolofylline at a dose of 10, 20, or 30 mg or placebo was administered daily for up to 3 days in patients with acute heart failure, underlying renal dysfunction, and volume overload. As compared with patients who received placebo, patients who received the 30-mg dose of rolofylline had greater relief of dyspnea and less worsening of renal function, with a trend toward fewer deaths or readmissions for heart or renal failure. The present phase 3 PROTECT trial was designed to confirm these findings.¹²

METHODS

STUDY DESIGN AND OVERSIGHT

This randomized, double-blind trial compared rolofylline with placebo in patients hospitalized for acute heart failure at 173 sites in North America, Europe, Israel, and Argentina. The study,

which was sponsored by NovaCardia, a subsidiary of Merck, was designed by an executive committee consisting of seven investigators and two Merck clinical scientists and was based largely on the design and results of the pilot study.¹¹ The background, design, and results of the pilot study, as well as a summary of the design and protocol modifications that were made for the phase 3 study, are included in the Supplementary Appendix, available with the full text of this article at NEJM.org. The protocol was approved by the ethics committee at each participating center, and patients provided written informed consent.

The study was monitored by an independent data and safety monitoring committee, which was supported by an independent statistical center with a staff that was aware of the study-drug assignments (Statistics Collaborative). The only stopping rule for efficacy was a reduction in 180-day mortality crossing an O'Brien–Fleming boundary that preserved an overall (one-sided) false positive error rate of 0.005.¹³ Hospitalizations, central nervous system events, and deaths within 60 days after study-drug administration were adjudicated by an independent clinical events committee that was unaware of treatment assignments. The site investigators gathered the primary data, which was monitored by an independent contract research organization (Averion International, formerly Hesperion). Statistical analyses were performed by Averion with the use of SAS software, version 8.2 (SAS Institute), and these analyses were subsequently confirmed by Merck. The executive committee had full access to the final data set. The first author prepared the initial draft of the manuscript, which was revised on the basis of the comments of the other authors, who each approved the final version. All authors vouch for the accuracy and completeness of the reported data as well as the fidelity of the reported results to the trial protocol.

STUDY PATIENTS

Eligibility criteria included persistent dyspnea at rest or with minimal activity, impaired renal function (an estimated creatinine clearance of 20 to 80 ml per minute with the use of the Cockcroft–Gault equation), a brain natriuretic peptide level of 500 pg per milliliter or more or an N-terminal pro-brain natriuretic peptide level of 2000 pg per milliliter or more, ongoing intravenous loop-diuretic therapy, and enrollment within 24 hours after admission. Other inclusion

and exclusion criteria have been described previously¹² and are included in the Supplementary Appendix.

Since adenosine A₁-receptor antagonists may lower the threshold for seizures in predisposed patients, those with a history of seizures or predisposing factors for seizures were excluded.¹² Patients with a more distant history of conditions or factors associated with a lower seizure risk were pretreated with 1 mg of oral lorazepam or clonazepam 30 minutes before administration of the study drug. The criteria used to define a low seizure risk are available in the Supplementary Appendix.

STUDY PROCEDURES

Thirty milligrams (0.5 mg per milliliter) of rolofylline (NovaCardia) or matching placebo was administered as a 4-hour intravenous infusion daily for up to 3 days in a double-blind manner according to a computer-generated randomization scheme (in a 2:1 ratio of rolofylline to placebo), assigned through a central randomization system.

Symptoms and signs of heart failure were evaluated before the initial administration of the study drug, daily through discharge or day 6, and on days 7 and 14. Patients reported symptoms of change in their breathing and their general well-being with the use of a 7-point Likert scale of change relative to baseline (ranging from -3 to 3, with higher scores indicating greater improvement). Moderately or markedly improved dyspnea was defined as a score of either 2 or 3 on this scale. Measurements of the serum creatinine level were recorded at the same time points.^{14,15} Worsening heart failure in the period from study-drug initiation through day 7 was reported on the basis of worsening signs or symptoms leading to intensification of therapy.

STUDY END POINTS

The primary end point was treatment success, treatment failure, or no change in the patient's condition. Success was defined as patient-reported moderate or marked improvement in dyspnea both 24 and 48 hours after administration of the study drug, in the absence of any criterion for failure. Failure was defined as the occurrence of any of the following: death or readmission for heart failure through day 7, worsening symptoms and signs of heart failure occurring more than 24 hours after the initiation of the study drug requiring intervention by day 7 or discharge (if

earlier), or persistent worsening renal function, defined as an increase in the serum creatinine level of 0.3 mg per deciliter (26.5 μ mol per liter) or more from randomization to day 7, confirmed at day 14, or the initiation of hemofiltration or dialysis during the period from initiation of the study drug through day 7. Patients were classified as having unchanged treatment status if they met neither the criteria for treatment success nor the criteria for treatment failure.

Two secondary outcomes were prespecified: death from any cause or rehospitalization for cardiovascular or renal causes through day 60 and the proportion of patients with persistent renal impairment, defined as an increase in the serum creatinine level of 0.3 mg per deciliter or more by day 7, confirmed at day 14; the initiation of hemofiltration or dialysis through day 7; or death by day 7.

Adverse events were recorded through day 7, and serious adverse events were recorded through day 14; they were classified according to the Medical Dictionary for Regulatory Activities. Safety was evaluated on the basis of the incidence of adverse events and laboratory abnormalities. The seriousness of adverse events and their relatedness to the study drug were determined by the investigators. Patients or family members were contacted by telephone to identify deaths and readmissions up to day 60 and to assess vital status at day 180. Patients who were hospitalized were asked about the reason for readmission.

STATISTICAL ANALYSIS

PROTECT was initially planned as two identical 600-patient studies to be conducted simultaneously (PROTECT-1 and PROTECT-2; ClinicalTrials.gov numbers, NCT00328692 and NCT00354458, respectively). In this article, the combined trials are referred to as PROTECT. The first patient was enrolled in May 2007, and in December 2007, the protocol was amended to specify a combined analysis of the two studies and an increase in sample size from 1200 to 2000 patients to maintain 90% power with a more stringent definition of significance.

Efficacy end points were evaluated in the intention-to-treat population. The effectiveness of rolofylline with respect to the primary end point was evaluated in the combined studies at a significance level of 0.00125. The planned sample of 2000 patients provided approximately 90% power to detect a difference between a distribu-

tion of 25% failure, 34% no change, and 41% success in the rolofylline group and a distribution of 33% failure, 35% no change, and 32% success in the placebo group with the use of the Wilcoxon test.

If the primary end point was achieved, both secondary end points were to be tested at a nominal two-sided significance level of 0.05. The study design provided 95% power at the two-sided sig-

nificance level of 0.05 to detect a hazard ratio of 0.74 for death or rehospitalization for cardiovascular or renal causes and a 33% relative reduction in the rate of persistent renal impairment with the use of a Cochran–Mantel–Haenszel test.

The study groups were compared with respect to the primary end point with the use of the van Elteren extension of the Wilcoxon test,¹⁶ stratified according to study and geographic region of

Table 1. Baseline Characteristics of the Patients and Use of Concomitant Therapies in the Intention-to-Treat Population.*

Variable	Rolofylline (N=1356)	Placebo (N=677)	P Value†
Demographic characteristics			
Age (yr)	70.2±11.7	70.2±11.5	0.94
Sex (%)			0.82
Male	67.3	66.8	
Female	32.7	33.2	
Race (%)‡			0.73
White	95.2	95.5	
Other	4.8	4.5	
Measurements			
Body-mass index§	28.9±6.1	28.8±6.2	0.65
Blood pressure (mm Hg)			
Systolic	124.3±17.6	124.2±17.7	0.85
Diastolic	73.6±11.8	74.0±11.9	0.47
Heart rate at rest in the supine position (beats/min)	79.8±15.3	80.7±15.7	0.22
Respiratory rate (breaths/min)	21.2±4.5	21.3±4.4	0.50
BNP (pg/ml)¶			0.62
Median	1290	1198	
Interquartile range	833–2222	773–2235	
NT-proBNP (pg/ml)¶			0.85
Median	3000	3000	
Interquartile range	3000–3832	3000–3800	
Creatinine clearance (ml/min)	50.4±20.0	51.0±20.5	0.55
Left ventricular ejection fraction within previous 6 mo	0.323±0.129	0.325±0.135	0.76
Medical history (%)			
Heart failure 1 mo before admission	94.6	95.1	0.63
Ischemic heart disease	70.5	68.5	0.36
Myocardial infarction	50.8	46.3	0.06
Hypertension	80.2	77.8	0.21
Atrial fibrillation	53.5	57.0	0.14
Implantable cardioverter–defibrillator	16.2	15.5	0.69
Biventricular pacemaker	10.5	9.8	0.63
Diabetes	45.2	45.8	0.79
COPD or asthma	20.0	19.4	0.75

Table 1. (Continued.)

Variable	Rolofylline (N = 1356)	Placebo (N = 677)	P Value†
Treatment before admission (%)			
ACE inhibitor or ARB	76.3	74.4	0.36
Beta-blocker	76.5	75.7	0.71
Aldosterone blocker	44.5	42.4	0.36
Nitrates (oral or topical)	27.0	23.9	0.13
Digoxin	27.3	29.6	0.27
Treatment after admission			
Days of study-drug administration (%)**			0.60
1 day	7.6	7.0	
2 or 3 days	90.9	91.6	
Medications through day 7			
Total intravenous loop diuretic (mg)			0.07
Median	280	280	
Interquartile range	120–545	140–620	
Intravenous inotropes or vasopressors (%)	7.2	8.0	0.54
Intravenous vasodilators (%)	11.3	11.5	0.87
Medications at discharge or day 7, if earlier (%)			
ACE inhibitor or ARB	82.6	81.5	0.50
Beta-blocker	84.6	84.2	0.84
Aldosterone blocker	59.8	60.3	0.81
Nitrates (oral or topical)	21.0	19.1	0.33
Digoxin	31.8	34.6	0.21

* Plus–minus values are means ±SD. ACE denotes angiotensin-converting enzyme, ARB angiotensin-receptor blocker, BNP brain natriuretic peptide, COPD chronic obstructive pulmonary disease, and NT-proBNP N-terminal pro-BNP.
† The P values for comparisons of mean values between the two groups are from a two-sample t-test. Medians are based on the Wilcoxon test (except for the total milligrams of intravenous loop diuretics, which are based on the van Elteren extension of the Wilcoxon test). The P values for comparisons of percentages are based on the chi-square test.
‡ Race was self-reported.
§ The body-mass index is the weight in kilograms divided by the square of the height in meters.
¶ Either a BNP level of 500 pg per milliliter or more or an NT-proBNP level of 2000 pg per milliliter or more was required for enrollment. A point-of-care device for measuring the level of NT-proBNP was provided to study sites if needed, but measurements of more than 3000 pg per milliliter were not quantified, which explains the median values of 3000 pg per milliliter. The BNP level was measured in 537 patients, and the NT-proBNP level was measured in 1518 patients.
|| The ejection fraction was reported if it was available within 6 months before admission. Data were available for 975 patients.
** Percentages in this category do not sum to 100 because the data were not available in 1.5% and 1.6% of patients in the rolofylline and placebo groups, respectively.

enrollment (region 1 consisted of North America, Western Europe, and Israel, and region 2 consisted of Central Europe, Eastern Europe, and Argentina). The odds ratio and 95% confidence interval for the treatment effect were determined from an ordered logistic-regression (proportional-odds) model that included terms for the effects of treatment, study, and geographic region. Given the classification (–1 denoting failure, 0 no

change, and 1 success), an odds ratio of less than 1.0 would favor active treatment.

For the end point of time to death from any cause or rehospitalization for cardiovascular or renal causes through day 60, the treatment groups were compared with the use of a Cox regression model, stratified according to study and geographic region. Cumulative event rates were calculated with the use of the Kaplan–Meier method.

The proportion of subjects with persistent renal impairment was analyzed with the use of a Cochran–Mantel–Haenszel test, stratified according to study and geographic region.

For the primary end point and the two secondary end points, the treatment effect was evaluated across 11 prespecified baseline subgroups according to the study (PROTECT-1 or PROTECT-2), geographic region, sex, age (≤ 70 years or > 70 years), race (white or other), ethnic group (Hispanic or Latino, or other), pretreatment or no pretreatment with a benzodiazepine, left ventricular ejection fraction ($< 40\%$ or $\geq 40\%$), baseline serum creatinine level (less than the median or greater than or equal to the median), severity of heart failure (New York Heart Association class I or II, III, or IV), and baseline creatinine clearance (< 30 , 30 to < 60 , 60 to < 80 , or ≥ 80 ml per minute).

RESULTS

PATIENTS

Between May 2007 and January 2009, a total of 2033 patients were randomly assigned to a study drug (1356 to rolofylline and 677 to placebo). Of these patients, 21 who were assigned to the rolofylline group and 10 who were assigned to the placebo group did not receive the study drug and were excluded from safety analyses. Randomization of the patients, treatment, and outcomes are shown in Figure 1 in the Supplementary Appendix. Only 1 patient was lost to follow-up at the 60-day assessment; vital status at 180 days could not be ascertained for 5 patients.

Important demographic, clinical, and treat-

ment characteristics of the patients are shown in Table 1. The study groups were well matched with respect to all baseline variables. Most patients had chronic heart failure before admission, ischemic heart disease, and one or more additional coexisting conditions. The mean creatinine clearance was 51 ml per minute, and levels of natriuretic peptides were substantially elevated.

More than 90% of patients received two or three doses of the study drug. The median doses of intravenous loop diuretics (in furosemide dose equivalents) administered from randomization through day 7 or discharge, if earlier, were 280 mg (interquartile range, 120 to 545) in the rolofylline group and 280 mg (interquartile range, 140 to 620) in the placebo group ($P=0.07$). The highest quartile of diuretic doses was substantially higher in the placebo group than in the rolofylline group. Weight loss during the first 4 days was greater with the use of rolofylline (3.0 vs. 2.6 kg, $P=0.005$). Additional intravenous vasoactive agents were administered before day 7 in 17% of the patients in the rolofylline group and 16% of the patients in the placebo group.

PRIMARY EFFICACY END POINTS

Rolofofylline, as compared with placebo, was not beneficial with respect to the primary end point (the proportions of patients in whom treatment was successful, treatment failed, or there was no change in the patient's condition), yielding an odds ratio of 0.92 (95% confidence interval [CI], 0.78 to 1.09; $P=0.35$) (Fig. 1 and Table 2). More patients in the rolofylline group than in the placebo group met the criteria for treatment success (40.6% vs. 36.0%; odds ratio, 1.22; 95% CI, 1.01 to 1.47; $P=0.04$). However, the proportion of treatment failures was also higher in the rolofylline group than in the placebo group (21.8% vs. 19.8%; odds ratio, 1.13; 95% CI, 0.90 to 1.42; $P=0.30$), reflecting a numerical excess of patients who met the criteria for worsening renal function (12.7% vs. 11.1%, $P=0.31$). Other criteria for treatment failure were similar in the rolofylline and placebo groups, including early death (in 1.7% and 2.1% of patients in the two groups, respectively), early worsening heart failure (9.1% and 9.7%), and rates of early readmission for heart failure (0.4% and 0.6%). There was no clear evidence of heterogeneity in outcome among the prespecified subgroups or in post hoc analyses involving patients with or without diabetes or

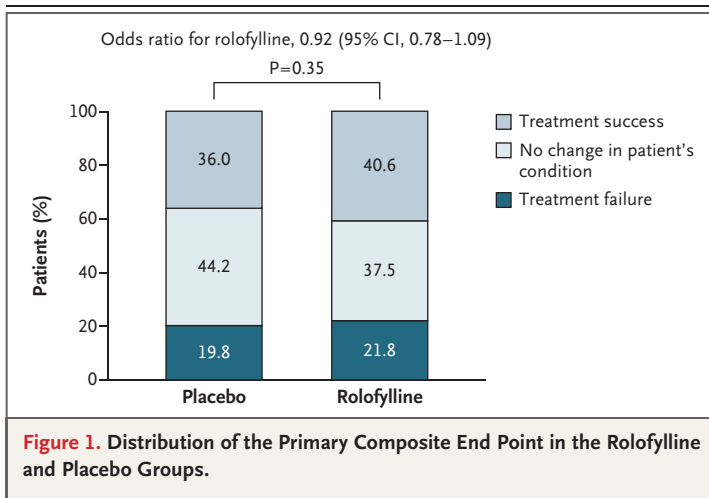


Table 2. Odds Ratios for the Primary Composite End Point in the Intention-to-Treat Population.

Variable	Rolofylline (N=1356) number (percent)	Placebo (N=677) number (percent)	Odds Ratio (95% CI)	P Value
Outcome			0.92 (0.78–1.09)*	0.35†
Treatment success	551 (40.6)	244 (36.0)	1.22 (1.01–1.47)‡	0.04‡
No change in patient's condition	509 (37.5)	299 (44.2)		
Treatment failure	296 (21.8)	134 (19.8)	1.13 (0.90–1.42)‡	0.30‡
Criterion for treatment failure§				
Death during the first 7 days	23 (1.7)	14 (2.1)		
Readmission for heart failure during the first 7 days	5 (0.4)	4 (0.6)		
Worsening heart failure, days 3–7	123 (9.1)	66 (9.7)		
Persistent worsening of renal function during the first 7 days	172 (12.7)	75 (11.1)		

* The analysis was based on a proportional-odds model that included terms for the effect of treatment, the study, and the geographic region.

† The analysis was performed with the use of the van Elteren extension of the Wilcoxon test, stratified according to the study and geographic region.

‡ The analysis was performed with the use of the Cochran–Mantel–Haenszel test, stratified according to the study and geographic region. An odds ratio of less than 1 favors rolofylline for the primary end point, and an odds ratio of more than 1 favors rolofylline for the treatment-success component of the primary end point and placebo for the treatment-failure component of the primary end point.

§ Percentages for each criterion for treatment failure are based on the total number of patients in each study group. The total percentage exceeds the percentage of patients with treatment failures, since some patients met more than one criterion. These analyses were not prespecified and are provided for informational purposes only. None of the comparisons achieved nominal statistical significance.

diuretic doses above or below the median (Fig. 2A in the Supplementary Appendix).

SECONDARY EFFICACY END POINTS

Through day 60, a total of 386 of 1356 patients assigned to rolofylline (Kaplan–Meier estimate, 30.7%; 95% CI, 27.8 to 33.6) as compared with 195 of 677 patients assigned to placebo (Kaplan–Meier estimate, 31.9%; 95% CI, 27.4 to 36.4) died or were readmitted for cardiovascular or renal causes (hazard ratio, 0.98; 95% CI, 0.83 to 1.17; $P=0.86$) (Fig. 2). Persistent renal impairment occurred in 15.0% of the patients in the rolofylline group as compared with 13.7% of the patients in the placebo group (odds ratio, 1.11; 95% CI, 0.85 to 1.46; $P=0.44$). These results were generally consistent across subgroups (Fig. 2 in the Supplementary Appendix). Rates of death over a period of 180 days were similar (17.9% in the rolofylline group and 17.4% in the placebo group; hazard ratio, 1.03; 95% CI, 0.82 to 1.28; $P=0.82$) (Fig. 3).

SAFETY

At least one adverse event was reported in 840 of 1336 patients treated with rolofylline (62.9%) and

in 409 of 666 patients who received placebo (61.4%). In the rolofylline group, 185 patients had serious adverse events (13.8%) as compared with 98 patients in the placebo group (14.7%). These serious adverse events led to discontinuation of the study drug in 1.5% of the patients in each group; they were associated with a fatal outcome in 3.7% of the patients in the rolofylline group and 5.3% of the patients in the placebo group. Most serious adverse events were cardiac events; these were reported in 96 patients who received rolofylline (7.2%) and 60 patients who received placebo (9.0%). The rates of the two most frequent serious cardiac events, worsening heart failure and ventricular tachycardia, both tended to be lower among patients who received rolofylline ($P=0.04$ and $P=0.10$, respectively).

Imbalances were observed with respect to the investigator-reported neurologic adverse events. By day 14, a total of 11 patients in the rolofylline group (0.8%), but no patients in the placebo group, had seizures (risk difference, 0.8 percentage points; 95% CI, 0.3 to 1.5; $P=0.02$), of whom only 1 had received pretreatment with a benzodiazepine. Fifteen patients who received rolofylline (1.1%) and

DISCUSSION

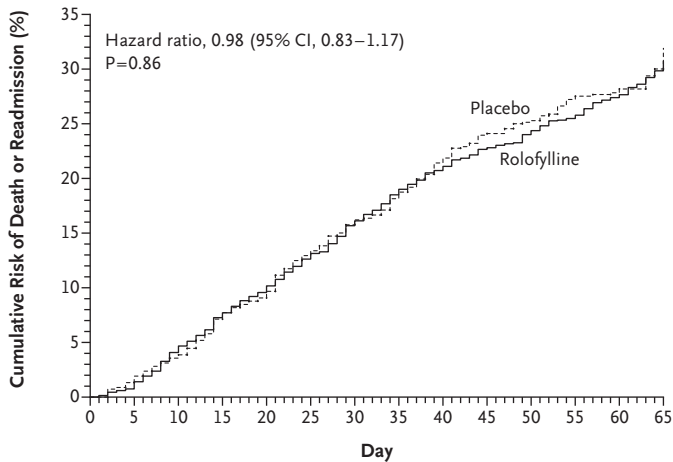


Figure 2. Cumulative Risk of Death or Readmission for Cardiovascular or Renal Causes.

Patients or their family members were contacted by telephone between days 55 and 65, so events that occurred in this interval are included in this analysis.

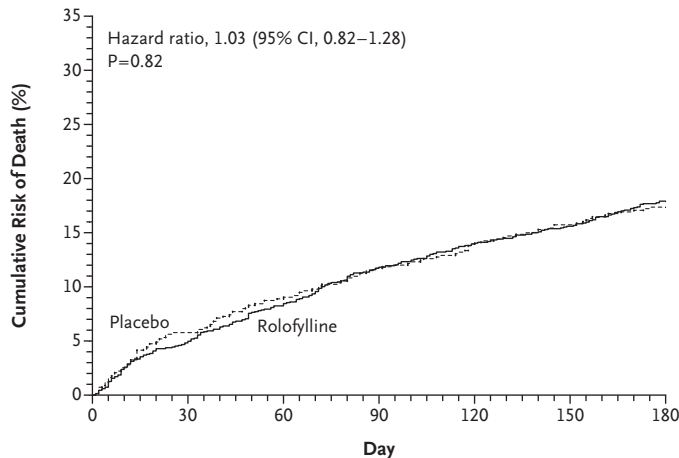


Figure 3. Cumulative 180-Day Risk of Death.

2 patients who received placebo (0.3%) had strokes (risk difference, 0.8 percentage points; 95% CI, 0.0 to 1.6; $P=0.06$). There was no particular pattern of stroke events in the rolofylline group with regard to the stroke type (5 were hemorrhagic, 6 were ischemic, 3 were embolic, and 1 was a spinal cord infarction) or the temporal relationship to administration of the study drug (10 occurred within 6 days after the last dose and 5 occurred ≥ 7 days after the last dose) (Table 1 in the Supplementary Appendix).

The clinical and economic burdens of heart failure have been well described.¹⁷ Treatment advances over the past 25 years have improved symptoms and quality of life and increased survival among patients with chronic heart failure.¹⁸ In contrast, although approximately one third of patients with acute heart failure die or are readmitted within 3 months after discharge,¹⁹⁻²¹ new therapies have consistently failed to improve their outcomes.²⁰ Patients with underlying chronic kidney disease or worsening renal function are at particularly high risk.^{1,2,4,5,22,23}

As a result, there has been considerable interest in A_1 -receptor antagonists, which have enhanced the response to diuretics in patients with heart failure, usually without further deterioration of renal function.^{7-10,23-25} With the use of a protocol that was similar to that in the present trial, the PROTECT pilot study randomly assigned 301 patients with acute heart failure to placebo or to 10-, 20-, or 30-mg doses of rolofylline.¹¹ In the pilot trial, the group of patients who received 30 mg of rolofylline were more likely than those who received placebo to have improvement in dyspnea on days 2 and 3 (59.4% of patients vs. 41.3%) and were less likely to have persistent renal impairment (8.0% vs. 18.2%), with a trend toward a lower 60-day rate of death or readmission for cardiovascular or renal causes (19% vs. 34%; hazard ratio, 0.55; 95% CI, 0.28 to 1.04; $P=0.06$).

However, despite similarities in study design, entry criteria, and dose of rolofylline, the main trial reported here did not replicate the findings of the pilot trial. Several reasons for this discordance warrant consideration. The protocol underwent several revisions. First, one of the inclusion criteria for the current trial, but not for the pilot trial, was an increased level of brain natriuretic peptide or N-terminal pro-brain natriuretic peptide; nonetheless, nearly 80% of the patients in the pilot trial would have qualified for the present trial. Second, the criterion for successful treatment was changed from a physician-directed switch from intravenous to oral diuretics to patient-reported improvement in symptoms. Nonetheless, rolofylline was associated with a significantly higher rate of success than placebo. Finally, on the basis of the data from the pilot study and regulatory input, the definition of persistent renal

impairment was changed from an increase in the serum creatinine level of 0.3 mg per deciliter or more from baseline to discharge or day 7 to an increase at both day 7 and day 14. The neutral results of PROTECT primarily reflect this lack of a favorable effect on the serum creatinine level.

Perhaps the most likely explanation for the differences between the findings in the two studies is the large uncertainty about the results of the pilot study because of the small treatment groups and the dependence on these findings in designing critical elements of the main trial, including the selection of a single dose, entry criteria, and end points.¹¹ The post hoc selection of the best of three dose groups from a pilot trial with multiple small treatment groups carries the inherent risk that an apparent superiority may be the play of chance and that these findings may not be replicable in a more definitive, larger study, as happened in the current trial. These findings should encourage the conduct of larger phase 2 studies with more robust, clinically relevant end points.

A total of 0.8% of the patients who received rolofylline (as compared with none who received placebo) had seizures, a recognized risk of agents with A₁ receptor–antagonist activity because of their tendency to lower the threshold for seizures.^{26,27} The excess of strokes in the rolofylline group was unanticipated, is difficult to interpret, and is not clearly explained by the pharmacologic characteristics of the drug.

In conclusion, the primary and secondary end points were not achieved with rolofylline in the current trial. The current trial and previous unsuccessful trials of treatment for acute heart failure highlight the complexity and heterogeneity of this clinical syndrome and the need for new, more effective therapeutic approaches.

Supported by NovaCardia, a subsidiary of Merck.

Presented at the annual meeting of the European Society of Cardiology, Barcelona, August 29–September 2, 2009.

Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

We thank Christine McCrary Sisk and Jennifer Rotonda of Merck for editorial assistance with an earlier version of the manuscript.

REFERENCES

- Gottlieb SS, Abraham W, Butler J, et al. The prognostic importance of different definitions of worsening renal function in congestive heart failure. *J Card Fail* 2002;8:136-41.
- Forman DE, Butler J, Wang Y, et al. Incidence, predictors at admission, and impact of worsening renal function among patients hospitalized with heart failure. *J Am Coll Cardiol* 2004;43:61-7.
- Fonarow GC, Adams KF Jr, Abraham WT, et al. Risk stratification for in-hospital mortality in acutely decompensated heart failure: classification and regression tree analysis. *JAMA* 2005;293:572-80.
- Smith GL, Lichtman JH, Bracken MB, et al. Renal impairment and outcomes in heart failure: systematic review and meta-analysis. *J Am Coll Cardiol* 2006;47:1987-96.
- Cowie MR, Komajda M, Murray-Thomas T, Underwood J, Ticho B. Prevalence and impact of worsening renal function in patients hospitalized with decompensated heart failure: results of the Prospective Outcomes Study in Heart Failure (POSH). *Eur Heart J* 2006;27:1216-22.
- Vallon V, Mühlbauer B, Osswald H. Adenosine and kidney function. *Physiol Rev* 2006;86:901-40.
- Vallon V, Miracle C, Thomson S. Adenosine and kidney function: potential implications in patients with heart failure. *Eur J Heart Fail* 2008;10:176-87.
- Gottlieb SS, Brater DC, Thomas I, et al. BG9719 (CVT-124), an A₁ adenosine receptor antagonist, protects against the decline in renal function observed with diuretic therapy. *Circulation* 2002;105:1348-53.
- Dittrich HC, Gupta DK, Hack TC, Dowling T, Callahan J, Thomson S. The effect of KW-3902, an adenosine A₁ receptor antagonist, on renal function and renal plasma flow in ambulatory patients with heart failure and renal impairment. *J Card Fail* 2007;13:609-17.
- Givertz MM, Massie BM, Fields TK, Pearson LL, Dittrich HC. The effects of KW-3902, an adenosine A₁-receptor antagonist, on diuresis and renal function in patients with acute decompensated heart failure and renal impairment or diuretic resistance. *J Am Coll Cardiol* 2007;50:1551-60.
- Cotter G, Dittrich HC, Weatherley BD, et al. The PROTECT pilot study: a randomized, placebo-controlled, dose-finding study of the adenosine A₁ receptor antagonist rolofylline in patients with acute heart failure and renal impairment. *J Card Fail* 2008;14:631-40.
- Weatherley BD, Cotter G, Dittrich HC, et al. Design and rationale of the PROTECT study: a placebo-controlled randomized study of the selective A₁ adenosine receptor antagonist rolofylline for patients hospitalized with acute decompensated heart failure and volume overload to assess treatment effect on congestion and renal function. *J Card Fail* 2010;16:25-35.
- O'Brien PC, Fleming TR. A multiple testing procedure for clinical trials. *Biometrics* 1979;35:549-56.
- Teerlink JR. Dyspnea as an end point in clinical trials of therapies for acute decompensated heart failure. *Am Heart J* 2003;145:Suppl:S26-S33.
- McMurray JJV, Teerlink JJ, Cotter G, et al. Effects of tezosentan on symptoms and clinical outcomes in patients with acute heart failure: the VERITAS randomized controlled trials. *JAMA* 2007;298:2009-19.
- Van Elteren PH. On the combination of independent two-sample tests of Wilcoxon. *Bull Int Stat Inst* 1960;37:351-61.
- Lloyd-Jones D, Adams RJ, Carnethon M, et al. Heart disease and stroke statistics — 2009 update: a report from the American Heart Association Statistics Committee and Stroke Statistics Subcommittee. *Circulation* 2009;119(3):e21-e181. [Erratum, *Circulation* 2009;119(3):e182.]
- Hunt SA, Abraham WT, Chin MH, et al. 2009 Focused update incorporated into the ACC/AHA 2005 guidelines for the diagnosis and management of heart failure in adults: a report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines: developed in collaboration with the International Society for Heart and Lung Transplantation. *Circulation* 2009;119(14):e391-e479.
- Felker GM, Leimberger JD, Califf RM, et al. Risk stratification after hospitalization for decompensated heart failure. *J Card Fail* 2004;10:460-6.
- Cleland JGF, Swedberg K, Follath F, et al. The EuroHeart Failure survey programme: a survey on the quality of care

- among patients with heart failure in Europe. 1. Patient characteristics and diagnosis. *Eur Heart J* 2003;24:442-63.
21. Gheorghide M, Pang PS. Acute heart failure syndromes. *J Am Coll Cardiol* 2009; 53:557-73.
22. Hillege HL, Nitsch D, Pfeffer MA, et al. Renal function as a predictor of outcome in a broad spectrum of patients with heart failure. *Circulation* 2006;113:671-8.
23. Gottlieb SS. Renal effects of adenosine A1-receptor antagonists in congestive heart failure. *Drugs* 2001;61:1387-93.
24. Greenberg B, Thomas I, Banish D, et al. Effects of multiple oral doses of an A1 adenosine antagonist, BG9928, in patients with heart failure: results of a placebo-controlled, dose-escalation study. *J Am Coll Cardiol* 2007;50:600-6.
25. Mitrovic V, Seferovic P, Dodic S, et al. Cardio-renal effects of the A1 adenosine receptor antagonist SLV320 in patients with heart failure. *Circ Heart Fail* 2009;2: 523-31.
26. Dunwiddie TV, Worth T. Sedative and anticonvulsant effects of adenosine analogs in mouse and rat. *J Pharmacol Exp Ther* 1982;220:70-6.
27. Dragunow M. Adenosine receptor antagonism accounts for seizure-prolonging effects of aminophylline. *Pharmacol Biochem Behav* 1990;36:751-5.

Copyright © 2010 Massachusetts Medical Society.

FULL TEXT OF ALL JOURNAL ARTICLES ON THE WORLD WIDE WEB

Access to the complete contents of the *Journal* on the Internet is free to all subscribers. To use this Web site, subscribers should go to the *Journal's* home page (NEJM.org) and register by entering their names and subscriber numbers as they appear on their mailing labels. After this one-time registration, subscribers can use their passwords to log on for electronic access to the entire *Journal* from any computer that is connected to the Internet. Features include a library of all issues since January 1993 and abstracts since January 1975, a full-text search capacity, and a personal archive for saving articles and search results of interest. All articles can be printed in a format that is virtually identical to that of the typeset pages. Beginning 6 months after publication, the full text of all Original Articles and Special Articles is available free to nonsubscribers.