### ON THE INTERSECTION OF HERMITIAN SURFACES

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Abstract. We provide a description of the configuration arising from intersection of two Hermitian surfaces in PG(3,q), provided that the linear system they generate contains at least a degenerate variety.

#### 1. Introduction

In [2], the seven point-line configurations arising from the intersection of two Hermitian curves are described and a classification of Hermitian pencils yielding a given configuration is provided. In [3] it has been shown that these configurations are projectively unique and their full collineation group has been determined. Given two Hermitian varieties  $\mathcal{H}_1$  and  $\mathcal{H}_2$ , their intersection  $\mathcal{E}$  is just the base locus of the  $GF(\sqrt{q})$ -linear system they generate, namely

$$\Gamma(\mathcal{H}_1, \mathcal{H}_2) = \{\mathcal{H}_1 + \lambda \mathcal{H}_2 : \lambda \in \mathrm{GF}(\sqrt{q})\}.$$

In this paper we determine the size of such an intersection depending on the number of degenerate varieties in  $\Gamma$  and describe the actual point–line configurations arising in the 3–dimensional case, provided that  $\Gamma$  contains at least a degenerate surface.

### 2. Intersection numbers

The set of all singular points of a Hermitian variety  $\mathcal{H}$  is a subspace rad  $\mathcal{H}$ , the radical of  $\mathcal{H}$ . We recall that the rank of a Hermitian variety in PG(n,q) is the number  $r=n+1-\dim \operatorname{rad} \mathcal{H}$ .

Let now  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be two Hermitian hypersurfaces of PG(n,q) and denote by  $r_i$  the number of varieties of rank i in the  $GF(\sqrt{q})$ -pencil  $\Gamma$  they generate. We shall call the list  $(r_1,\ldots,r_n)$  the rank sequence of  $\Gamma$ .

It has been observed in [2] that the cardinality of the base locus  $\mathcal{E}$  of  $\Gamma$  depends only on its rank sequence. In fact the considerations provided in [2] about the 2-dimensional case may be generalised to arbitrary dimension n, as it has been done in [4].

**Proposition 1.** The rank sequence  $(r_1, \ldots, r_n)$  of a pencil  $\Gamma$  of Hermitian varieties in PG(n, q) satisfies the inequality

$$\sum_{i=1}^{n} (n-i+1)r_i \le n+1.$$

Since, see [1], the total number of points of the non-degenerate Hermitian hypersurface  $\mathcal{H}$  of PG(n,q) is,

$$\mu(n,q) = [q^{(n+1)/2} + (-1)^n][q^{n/2} - (-1)^n]/(q-1)],$$

it is possible to formulate the following proposition.

1

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2 L. GIUZZI

**Proposition 2.** Let  $\mathcal{H}_1$ ,  $\mathcal{H}_2$  be two non-degenerate Hermitian varieties in PG(n,q), and let  $(r_1,\ldots,r_n)$  be the rank sequence of the pencil  $\Gamma(\mathcal{H}_1,\mathcal{H}_2)$ . Then,

$$|\mathcal{H}_1 \cap \mathcal{H}_2| = \eta_n(\Gamma, q) = \frac{1}{\sqrt{q}(q-1)} \{ (1-q^{n+1}) + \sum_{i=1}^n r_i [(q\sqrt{q}\mu(i-1,q)+1)(q^{n+1-i}-1) - (q-1)\mu(n,q)] \} + (1+\frac{1}{\sqrt{q}})\mu(n,q).$$

Table 1 outlines the possible intersection sizes for any two non-degenerate Hermitian surfaces in PG(3,q). All cases are possible.

_	$r_1$	$r_2$	$r_3$	$\eta_3(\Gamma,q)$
	0	0	0	$(q+1)^2$
	0	0	1	$(q+\sqrt{q}+1)(q-\sqrt{q}+1)$
	0	0	2	$(q^2 + 1)$
	0	0	3	$q^2 - q + 1$
	0	0	4	$(q-1)^2$
	0	1	0	$q^2 + q\sqrt{q} + q + 1$
	0	1	1	$q^2 + q\sqrt{q} + 1$
	0	1	2	$(\sqrt{q}+1)(q\sqrt{q}-q+1)$
	0	2	0	$(\sqrt{q}+1)(q\sqrt{q}+q-\sqrt{q}+1)$
	1	0	0	$q\sqrt{q}+q+1$
	1	0	1	$q\sqrt{q}+1$

Table 1. Possible intersection numbers for Hermitian surfaces: non-degenerate pencil.

In the rest of this paper we shall usually write just  $\Gamma$  for  $\Gamma(\mathcal{H}_1, \mathcal{H}_2)$  when no ambiguity might arise and we shall denote by  $\mathcal{E}$  the intersection  $\mathcal{H}_1 \cap \mathcal{H}_2$ .

## 3. Description of the configurations

3.1. Pencils with a degenerate surface of rank 1. The simplest case to consider is when the linear system  $\Gamma$  contains a degenerate surface  $\mathcal{C}$  of rank 1, that is a plane repeated q+1 times.

In this case, the intersection is either a degenerate or non-degenerate Hermitian, according as C is secant or tangent to all the other surfaces in the pencil. It follows directly from Table 1 that the former case occurs when  $\Gamma$  contains also a surface of rank 3, a *Hermitian cone*, whereas the latter is possible only if all the surfaces in  $\Gamma \setminus \{C\}$  are non-degenerate.

3.2. Pencils whose degenerate surfaces have all rank 2. A Hermitian surface  $\mathcal{P}$  of rank 2 is a set of  $\sqrt{q} + 1$  planes through a line, the radical of  $\mathcal{P}$ . In the following propositions we distinguish several cases.

**Proposition 3.** Suppose that  $\Gamma$  contains exactly one degenerate surface  $\mathcal{P}$  of rank 2. Then, either 1, 2 or  $(\sqrt{q}+1)$  components of  $\mathcal{P}$  are degenerate Hermitian curves.

*Proof.* The radical of  $\mathcal{P}$  meets  $\mathcal{E}$  in either 1,  $\sqrt{q}+1$  or q+1 points. Let  $n=|\mathrm{rad}\mathcal{P}\cap\mathcal{E}|$  and denote by  $v_2$  the number of components of  $\mathcal{P}$  which meet  $\mathcal{E}$  in a degenerate Hermitian curve.

(1) n = 1. Then,

$$q^{2} + q\sqrt{q} + q + 1 = v_{2}(q\sqrt{q} + q) + (\sqrt{q} + 1 - v_{2})q\sqrt{q} + 1;$$

hence,  $v_2 = 1$ .

(2)  $n = \sqrt{q} + 1$ . Then,

$$q^{2} + q\sqrt{q} + q + 1 = v_{2}(q\sqrt{q} + q - \sqrt{q}) + \sqrt{q}(\sqrt{q} + 1 - v_{2})(q - 1) + \sqrt{q} + 1;$$

hence,  $v_2 = 2$ .

(3) n = q + 1. Then,

$$q^{2} + q\sqrt{q} + q + 1 = v_{2}(q\sqrt{q}) + q(\sqrt{q} + 1 - v_{2})(\sqrt{q} - 1) + q + 1;$$

hence,  $v_2 = \sqrt{q} + 1$ .

Observe that in general, if  $\mathcal{P}$  and  $\mathcal{P}'$  are any two degenerate surfaces in  $\Gamma$ , then  $\mathcal{R}=\operatorname{rad}\mathcal{P}\cap\operatorname{rad}\mathcal{P}'=\emptyset$  since, otherwise, any point  $V\in\mathcal{R}$  would be singular for all the surfaces of  $\Gamma$ . Suppose now that there are two distinct Hermitian surfaces  $\mathcal{P}$  and  $\mathcal{P}'$  both of rank 2 in  $\Gamma$ ; the previous observation proves that  $\operatorname{rad}\mathcal{P}$  and  $\operatorname{rad}\mathcal{P}'$  have to be mutually skew. Furthermore, both  $\operatorname{rad}\mathcal{P}$  and  $\operatorname{rad}\mathcal{P}'$  meet any non-degenerate surface in  $\Gamma$  in  $(\sqrt{q}+1)$  points. Thus, we obtain the following proposition.

**Proposition 4.** The intersection of two non degenerate Hermitian surfaces  $\mathcal{H}_1$ ,  $\mathcal{H}_2$  spawning a pencil with  $r_2 = 2$  is the union of all generators of  $\mathcal{H}_1$  which pass through two skew  $(\sqrt{q} + 1)$ -secants.

3.3. Pencils whose degenerate surfaces have rank 2 and 3. Given a Hermitian cone  $\mathcal{C}$  of vertex V and a non-degenerate Hermitian variety  $\mathcal{H}$ , we denote by  $\Gamma'(\mathcal{C},\mathcal{H})$  the  $\mathrm{GF}(\sqrt{q})$ -linear system of Hermitian curves generated by  $\mathcal{C}' = \mathcal{C} \cap \pi$  and  $\mathcal{H}' = \mathcal{H} \cap \pi$ , where  $\pi$  is the polar plane of V with respect to  $\mathcal{H}$ .

**Lemma 5.** Let  $C_1$  and  $C_2$  be two distinct Hermitian cones of vertices respectively  $V_1$  and  $V_2$ . Assume that the pencil  $\Gamma(C_1, C_2)$  contains at least a non-singular surface and that  $V_1 \notin \mathcal{E}$ . Then,  $V_2$  belongs to the polar plane of  $V_1$  with respect to any non-degenerate Hermitian surface in  $\Gamma$ .

*Proof.* Fix a non-degenerate Hermitian surface  $\mathcal{H} \in \Gamma$  and let  $\pi$  be the polar plane of  $V_1$  with respect to  $\mathcal{H}$ . Since  $V_1 \notin \mathcal{H}$ , the plane  $\pi$  cuts a non-singular Hermitian curve on  $\mathcal{H}$ . Suppose  $V_2 \notin \pi$ ; then the line  $V_1V_2$  would meet  $\mathcal{H}$  in  $\sqrt{q}+1$  points. On the other hand,  $V_1V_2$  meets  $\mathcal{C}_1$  and  $\mathcal{C}_2$  in either 1 or q+1 points — a contradiction. It follows that  $V_2 \in \pi$  and  $|V_1V_2 \cap \mathcal{E}| \leq 1$ .  $\square$ 

**Lemma 6.** Take C to be a Hermitian cone of vertex V and H to be a non-degenerate Hermitian surface; let also  $\pi$  be the polar plane of V with respect to H and let  $\Gamma = \Gamma(C, H)$ . Then,

(1) if  $V \notin \mathcal{H}$ ,

$$\eta_3(\Gamma, q) = q^2 + q\sqrt{q} + \sqrt{q} + 1 - \eta_2(\Gamma', q)\sqrt{q};$$

(2) if  $V \in \mathcal{H}$ ,

$$\eta_3(\Gamma, q) = q^2 - q + |\pi \cap \mathcal{E}|.$$

*Proof.* Let  $\mathcal{H}' = \mathcal{H} \cap \pi$  and  $\mathcal{C}' = \mathcal{C} \cap \pi$ . Take  $h = \eta_2(\Gamma', q)$ . Observe that any line through V tangent to  $\mathcal{H}$  is of the form PV with  $P \in \mathcal{H}'$ . If  $V \notin \mathcal{H}$ , every line through V meets  $\mathcal{H}$  in either 1 or  $\sqrt{q} + 1$  points; on the other hand, exactly h generators of  $\mathcal{C}$  are tangent to  $\mathcal{H}$ , whence it follows

$$\eta_3(\Gamma, q) = h + (q\sqrt{q} + 1 - h)(\sqrt{q} + 1).$$

Assume  $V \in \mathcal{H}$ . Hence,  $\pi$  is the tangent plane to  $\mathcal{H}$  at V and  $\mathcal{H}'$  consists of  $\sqrt{q} + 1$  lines through V. However,  $\mathcal{C}'$  consists of either 1 line or a degenerate Hermitian curve. In the former case we

4 L. GIUZZI

would have  $\eta_3(\Gamma, q) = q^2 + q + 1$ , which is not possible. Hence, C' is the union of  $\sqrt{q} + 1$  lines through V and

$$\eta_3(\Gamma, q) = \sqrt{q}(q\sqrt{q} - \sqrt{q}) + |\pi \cap \mathcal{E}|.$$

Observe that in this case, all the curves in the linear system  $\Gamma$  are degenerate.

Using the cardinality formula of Proposition 2, together with Lemma 6, it is possible to reconstruct the rank sequence of  $\Gamma(\mathcal{C}, \mathcal{H})$  from the rank sequence of  $\Gamma'$ .

**Lemma 7.** Assume  $\Gamma$  to contain at least one cone  $\mathcal{C}$  of vertex  $V \notin \mathcal{E}$ , and let the rank sequence of  $\Gamma'$  be  $(r'_1, r'_2)$ . Then, the rank sequence of  $\Gamma$  is  $(0, r'_1, r'_2 + 1)$ .

In fact, it is possible to describe in an accurate way the actual configuration  $\mathcal{E} \cap \pi$  in terms of the seven classes of [2]. We shall denote such classes as in [3].

**Proposition 8.** Let  $\Gamma$  be a  $GF(\sqrt{q})$ -pencil of Hermitian surfaces with rank sequence  $(0, r'_1, r'_2+1)$ . Then, the base configuration  $\mathcal{E}' = \mathcal{E} \cap \pi$  is uniquely determined.

Proof. The cardinality of  $\mathcal{E}'$  is determined by Lemma 7. Observe that 5 of the 7 classes of [2] are uniquely determined by their rank sequence. However, both class III and IV correspond to the same rank sequence  $(r'_1, r'_2) = (0, 1)$ . By Lemma 7,  $\Gamma$  has necessarily rank sequence (0, 0, 2) and  $|\mathcal{E}| = q^2 + 1$ . Denote then by  $\mathcal{C}_1$  and  $\mathcal{C}_2$  the two distinct Hermitian cones of  $\Gamma$  and assume they have respectively vertices  $V_1$  and  $V_2$ . There are two possibilities for  $\mathcal{E}' = \mathcal{E} \cap \pi$ :

- (1)  $\mathcal{E}'$  belongs to class III, that is  $\mathcal{E}'$  consists of  $\sqrt{q}-1$  sublines, all disjoint, and 2 more points;
- (2)  $\mathcal{E}'$  belongs to class IV, that is  $\mathcal{E}'$  consists of  $\sqrt{q}$  sublines, which meet all in a point P.

The former case occurs if  $V_2 \notin \mathcal{E}$ . If  $\mathcal{E}'$  belongs to class IV, then  $V_2 = P \in \mathcal{E}$ .

**Proposition 9.** Let  $\Gamma$  be a non-degenerate linear system of Hermitian surfaces with  $r_2(\Gamma) = r_3(\Gamma) = 1$ . Then,  $\mathcal{E}$  is either the union of  $\sqrt{q}+1$  non-degenerate Hermitian curves all with a point in common, or the union of  $\sqrt{q}$  non-degenerate Hermitian curves and a degenerate Hermitian curve, all sharing a  $(\sqrt{q}+1)$ -secant.

*Proof.* Let  $\mathcal{P}$  and  $\mathcal{C}$  be respectively the only surface of rank 2 and the only Hermitian cone in  $\Gamma$ . Let also  $L = \operatorname{rad} \mathcal{P}$  and V be the vertex of  $\mathcal{C}$ . Observe that  $l = |L \cap \mathcal{E}| \in \{1, \sqrt{q} + 1\}$ .

- (1) l=1. Let M be the point of intersection of  $\mathcal C$  and L; clearly  $M\neq V$ . If  $V\in \mathcal E$ , then there is a component  $\pi$  of  $\mathcal P$  such that  $V\in \pi$ . However, in this case  $\mathcal C\cap \pi=PM$ . However, this cannot be a plane section of a non-degenerate Hermitian surface; hence, it follows that  $V\not\in \mathcal E$ . This being the case, all the  $\sqrt q+1$  sections cut on  $\mathcal C$  by  $\mathcal P$  are non-degenerate Hermitian curves having the point M in common.
- (2)  $l = \sqrt{q} + 1$ : Let  $v_1$  be the number of the components of  $\mathcal{P}$  which meet  $\mathcal{C}$  in a degenerate Hermitian curve. Observe that  $v_1 \leq 1$  and equality occurs if and only if  $V \in \mathcal{E}$ . Since,

$$(q^2 + q\sqrt{q} + 1) = (\sqrt{q} + 1) + (\sqrt{q} + 1 - v_1)(q\sqrt{q} - \sqrt{q}) + v_1(q\sqrt{q} + q^2 - \sqrt{q}),$$
  
we get  $v_1 = 1$  and  $V \in \mathcal{E}$ .

**Proposition 10.** Let  $\Gamma$  be a non-degenerate linear system of Hermitian surfaces with  $r_2(\Gamma) = 1$  and  $r_3(\Gamma) = 2$ . Then,  $\mathcal{E}$  is the union of  $\sqrt{q} + 1$  non degenerate Hermitian curves, all sharing a chord.

*Proof.* Let  $\mathcal{P}$  be the only Hermitian surface or rank 2 in  $\Gamma$  and denote by  $\mathcal{C}_1$ ,  $\mathcal{C}_2$  be the two Hermitian cones. As before, denote by  $V_1$  and  $V_2$  the vertices of respectively  $\mathcal{C}_1$  and  $\mathcal{C}_2$  and define  $L = \operatorname{rad} \mathcal{P}$  and  $l = |L \cap \mathcal{E}|$ . Either l = 1 or  $l = \sqrt{q} + 1$ . If it were l = 1, then we would obtain that  $\mathcal{E}$  is the union of  $\sqrt{q} + 1$  non-degenerate Hermitian curves, all with a point

in common. However, this is a contradiction because of Proposition 2. Assume then  $l = \sqrt{q} + 1$  and denote by  $v_1$  the number of components of  $\mathcal{P}$  meeting  $\mathcal{E}$  in a degenerate Hermitian curve. Then,

$$(\sqrt{q}+1)(q\sqrt{q}-q+1) = (\sqrt{q}+1) + (\sqrt{q}+1-v_1)(q\sqrt{q}-\sqrt{q}) + v_1(q\sqrt{q}+q^2-\sqrt{q}).$$

This is possible only if  $v_1 = 0$ , that is,  $\mathcal{E}$  is the union of  $\sqrt{q} + 1$  non-degenerate Hermitian curves, all sharing a chord.

3.4. Pencils whose degenerate surfaces have all rank 3. All the pencils considered in this section contains at least a cone  $\mathcal{C}$ . We shall denote by  $s_1$ ,  $s_2$  and  $s_3$  the number of generators of  $\mathcal{C}$  meeting  $\mathcal{E}$  in respectively q+1,  $\sqrt{q}+1$  or 1 points.

**Lemma 11.** Let  $\Gamma$  contain at least 3 distinct cones  $C_1 \dots C_3$  of vertices  $V_1 \dots V_3$ . Then, either the vertices of all the cones in  $\Gamma$  are collinear or at most one of them is in  $\mathcal{E}$ .

*Proof.* Suppose  $V_1, V_2 \in \mathcal{E}$ ; then,  $V_1V_2 \subseteq \mathcal{E}$ . However, for  $V_1V_2$  to be a subset of  $\mathcal{E}$ , it is necessary for it to be a generator of  $\mathcal{C}_3$  also. It follows  $V_3 \in V_1V_2$ .

Observe that when  $\Gamma$  contains at least two Hermitian cones, the number of lines in  $\mathcal{E}$  is at most 1.

**Proposition 12.** If  $\Gamma$  contains 4 Hermitian cones, then none of the vertices of such cones belongs to  $\mathcal{E}$  and exactly  $\sqrt{q}(q-\sqrt{q}-2)$  generators of any cone meet  $\mathcal{E}$  in  $(\sqrt{q}+1)$  points, the remaining  $(\sqrt{q}+1)^2$  being tangent lines.

*Proof.* By Proposition 2,  $|\mathcal{E}| = (q-1)^2$ . Suppose  $V_1, V_2, V_3, V_4 \in \mathcal{E}$ . Then,

$$(q-1)^2 = (q+1) + s_2\sqrt{q} + (q\sqrt{q} - s_2),$$

that is  $q(q^2 - \sqrt{q} - 3) = s_2(\sqrt{q} - 1)$ , a contradiction since  $(\sqrt{q} - 1)$  does not divide  $q^2 - \sqrt{q} - 3$ . If  $V_1 \in \mathcal{E}$  while  $V_2, V_3, V_4 \notin \mathcal{E}$ . Observe that a generator of  $\mathcal{C}_1$  either meets a non-degenerate surface  $\mathcal{H}$  is 1 or in  $\sqrt{q} + 1$  points. Then,

$$(q-1)^2 = s_2 \sqrt{q} + 1,$$

which gives  $s_2 = q\sqrt{q} - 2\sqrt{q}$ , that is  $2\sqrt{q} + 1$  generators of  $\mathcal{C}$  meet  $\mathcal{H}$  in V only and all these generators lie in the tangent plane to  $\mathcal{H}$  at V. However, the number of generators of  $\mathcal{C}$  on any plane is at most  $\sqrt{q} + 1$ , which gives a contradiction. It follows that  $\mathcal{E}$  does not contain the vertex of any cone in  $\Gamma$  and

$$(q-1)^2 = s_2(\sqrt{q}+1) + (q\sqrt{q}+1-s_2),$$

that is,  $s_2 = \sqrt{q(q - \sqrt{q} - 2)}$ , which gives the result.

**Proposition 13.** Suppose  $\Gamma$  to contain exactly 3 cones  $C_1, C_2, C_3$ . Then, there are two possibilities:

- (1)  $V_1, V_2, V_3 \notin \mathcal{E}$ : then  $\sqrt{q}(q \sqrt{q} 1)$  components of each cone are  $(\sqrt{q} + 1)$ -secants to any non-degenerate Hermitian surface in  $\Gamma$ ;
- (2)  $V_1 \in \mathcal{E}$  but  $V_2, V_3 \notin \mathcal{E}$ : then  $\sqrt{q}(q-1)$  generators of the cone  $\mathcal{C}_1$  meet  $\mathcal{E}$  in  $(\sqrt{q}+1)$  points, the remaining intersecting  $\mathcal{E}$  in  $V_1$  only; the number of generators of the cones  $\mathcal{C}_2$  and  $\mathcal{C}_3$  meeting  $\mathcal{E}$  in  $(\sqrt{q}+1)$  points is  $q\sqrt{q}-q-\sqrt{q}$ , the others meeting  $\mathcal{E}$  in distinct points;

*Proof.* The cardinality of  $\mathcal{E}$  is  $q^2 - q + 1$ .

(1) Since  $V_1 \notin \mathcal{E}$ ,

$$q^{2} - q + 1 = s_{2}(\sqrt{q} + 1) + (q\sqrt{q} - s_{2} + 1).$$

Hence, there are  $\sqrt{q}(q-\sqrt{q}-1)$  components of  $\mathcal{C}_1$  are meeting  $\mathcal{E}$  in  $(\sqrt{q}+1)$  points, the remaining  $q+\sqrt{q}+1$  being tangent to any surface.

6 L. GIUZZI

(2) Since  $V_1 \in \mathcal{E}$ , each generator of  $\mathcal{C}_1$  meets  $\mathcal{E}$  in either 1 or  $\sqrt{q} + 1$  points. We get

$$q^2 - q + 1 = 1 + t\sqrt{q}$$
.

It follows that  $\sqrt{q}(q-1)$  generators through V meet  $\mathcal{E}$  in  $\sqrt{q}+1$  points. Consider now another cone  $\mathcal{C}_2 \in \Gamma$ . Since  $V_2 \notin \mathcal{E}$ , we get

$$q^{2} - q + 1 = s_{2}(\sqrt{q} + 1) + (q\sqrt{q} + 1 - s_{2});$$

hence  $s_2 = q\sqrt{q} - q - \sqrt{q}$ .

Suppose now  $V_1, V_2 \in \mathcal{E}$ . Then, the line  $V_1V_2$  is a generator of any surface  $\mathcal{H} \in \Gamma$  and we have

$$q^2 - q + 1 = q + 1 + s_2\sqrt{q}.$$

It follows that  $s_2 = q\sqrt{q} - 2\sqrt{q}$ . This gives that there should be  $2\sqrt{q} + 1 > \sqrt{q} + 1$  generators through  $V_1$  meeting  $\mathcal{H}$  in  $V_1$  only — a contradiction.

**Proposition 14.** Assume that the pencil  $\Gamma$  contains exactly two cones  $C_1$ ,  $C_2$  of respectively vertices  $V_1$  and  $V_2$ . Then, one of the following possibilities holds:

- (1) both  $V_1, V_2 \in \mathcal{E}$ ; then,  $\mathcal{E}$  contains the line  $V_1V_2$ ;  $q(\sqrt{q}-1)$  components of each cone meet  $\mathcal{E}$  in  $\sqrt{q}+1$  points.
- (2)  $V_1 \in \mathcal{E}$ , while  $V_2 \notin \mathcal{E}$ ;  $\mathcal{E}$  does not contain any line;  $q\sqrt{q}$  components of  $\mathcal{C}_1$  and  $q(\sqrt{q}-1)$  components of  $\mathcal{C}_2$  meet  $\mathcal{E}$  in  $\sqrt{q}+1$  points.
- (3)  $V_1, V_2 \notin \mathcal{E}$  belong to  $\mathcal{E}$ ;  $q(\sqrt{q}-1)$  components of each cone meet  $\mathcal{E}$  in (q+1) points.

*Proof.* Let V be the vertex of any cone C in  $\Gamma$ . Observe that for  $V \notin \mathcal{E}$ ,

$$q^2 + 1 = s_2(\sqrt{q} + 1) + (q\sqrt{q} + 1 - s_2);$$

hence,  $s_2 = q\sqrt{q} - q$ . On the other hand, if  $V \in \mathcal{E}$ 

$$q^2 + 1 = s_1 q + s_2 \sqrt{q} + 1;$$

hence,  $s_2 = \sqrt{q}(q - s_1)$ . The result now follows from  $s_1 \le 1$ .

**Proposition 15.** Assume that the only degenerate surface in the pencil  $\Gamma$  is a cone  $\mathcal{C}$ . Then, either

- (1)  $V \not\in \mathcal{E}$  and  $\sqrt{q}(q-\sqrt{q}+1)$  components of  $\mathcal{C}$  are meet  $\mathcal{E}$  in  $\sqrt{q}+1$  points, or
- (2)  $V \in \mathcal{E}$  and  $\mathcal{E}$  contains at least a line.

Proof.

(1) If  $V \notin \mathcal{E}$ , then  $s_1 = 0$  and

$$q^2 + q + 1 = s_2(\sqrt{q} + 1) + (q\sqrt{q} + 1 - s_2);$$

hence,  $s_2 = \sqrt{q}(q - \sqrt{q} + 1)$ .

(2) If  $V \in \mathcal{E}$ ,

$$q^2 + q + 1 = s_1 q + s_2 \sqrt{q} + 1;$$

hence,  $s_2 = \sqrt{q}(q+1-s_1)$ . Since the total number of components of  $\mathcal{C}$  is  $q\sqrt{q}+1$ , it follows that  $s_2 \leq q\sqrt{q}+1$  and  $s_1 \geq 1$ .

Suppose that  $\Gamma$  contains exactly one Hermitian cone whose vertex belongs to  $\mathcal{E}$ , and let  $\pi$  be the tangent plane at P to a non-degenerate Hermitian surface in  $\Gamma$ . Clearly, all generators in  $\mathcal{E}$  lie in  $\pi$ ; furthermore  $s_1 \in \{1, 2, \sqrt{q} + 1\}$ . Consequently,  $s_2 \in \{q\sqrt{q}, q\sqrt{q} - \sqrt{q}, q\sqrt{q} - q\}$ . We observe also that if  $s_1 > 1$ , then all non-degenerate Hermitian surfaces in  $\Gamma$  share the same tangent plane at P.

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